Towards Energy Efficient Duty-Cycled Networks: Analysis, Implications and Improvement

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Abstract—Duty cycling mode is widely adopted in wireless sensor networks to save energy. Existing duty-cycling protocols cannot well adapt to different data rates and dynamics, resulting in a high energy consumption in real networks. Improving those protocols may require global information or heavy computation and thus may not be practical, leading to many empirical parameters in real protocols. To fill the gap between the application requirement and protocol performance, in this paper, we analyze the energy consumption for duty cycled sensor networks with different data rates. Our analysis shows that existing protocols cannot lead to an efficient energy consumption in various scenarios. Based on the analysis, we design a light-weight adaptive duty-cycling protocol (LAD), which reduces the energy consumption under different data rates and protocol dynamics. LAD can adaptively adjust the protocol parameters according to network conditions such as data rate and achieve an optimal energy efficiency. To make LAD practical in real network, we further precalculate optimal parameters offline and store them on sensor nodes, which significantly reduces the computation time. We theoretically validate the performance improvement of the protocol. We implement the protocol in TinyOS and extensively evaluate it on 40 TelosB nodes. The evaluation results show the energy consumption can be reduced by 28.2%~40.1% compared with state-of-the-art protocols. Results based on data from a 1200-node operational network further show the effectiveness and scalability of the design.

1 INTRODUCTION

Recent advances in Wireless Sensor Networks (WSNs) have fostered a large collection of applications [1] [2]. In those networks, a collection of battery powered sensor nodes are self-organized to form a network, interact with the physical world and perform certain tasks, e.g., data collection. Due to the limited energy budget on wireless sensor nodes, the dutycycling mode is often used to achieve a long lifetime. In the duty-cycling mode, each node periodically turns on the radio to sense the channel and receive packets. Then the node turns off the radio when there are no packets in order to reduce energy consumption of idle listening.

Due to the importance of duty-cycling mode, a large collection of duty-cycling protocols are developed in WSNs. In *synchronous* duty-cycling protocols [3] [4] [5], the sender and receiver are synchronized, which enables the sender transmit packets right after the receiver wakes up. Synchronous dutycycling protocols require time synchronization [6] with extra overhead and hence are not flexible and efficient [4]. To overcome those shortcomings, *asynchronous* duty-cycling protocols, e.g., [7] [8] [9] [10], are proposed. In those protocols, each node employs the Low Power Listening (LPL) technique to periodically wake up after sleeping for a certain period (namely *sleep interval*). After waking up, a node stays awake for channel sensing and packet reception (namely *awake time*). With packets to transmit, a node first transmits preambles until the receiver wakes up. Recently, some variant techniques, such as low power probing (LPP) [11], are proposed to support receiver initiated duty-cycling protocols, e.g., [12].

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With those basic designs, there are many works to further improve energy efficiency and support adaptive duty-cycling. For example, MiX-MAC [13] improves the energy efficiency by switching between different duty-cycling MAC protocols. In IDEA [14], a centralized method is proposed to tune the parameters in LPL protocols. In GDSIC [15], a distributed method is presented to achieve energy fairness. In X-MAC [9], energy efficiency is improved by tuning the sleep interval. In [16] [17], heuristic approaches are proposed to improve energy efficiency. In [18], an efficient method is presented to reduce unnecessary awake time due to interference.

Those existing protocols propose promising approaches to improve energy efficiency. However, there exist several problems while applying those protocols to practical WSNs. First, many impacting factors, e.g., data rate, which significantly impact the performance, are not thoroughly addressed in practical designs. Second, many existing protocols require global information, centralized or heavy computation to improve the performance. Third, in practical protocols which are widely used, e.g., TinyOS LPL MAC, still relies on empirical parameter settings. Those parameters, which have a significant impact to system performance [19], are not thoroughly analyzed and addressed.

Due to the existence of those problems, the performance of duty-cycling protocols may significantly deviate from the optimal performance. Such problems are also experienced in a real network CitySee [2], in which 1200 nodes are deployed in an urban area. The duty-cycling mode is adopted to save energy for the network. Based on the collected data, we find that without carefully considering the traffic impact and the awake time, the duty cycle radio is significantly different from

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Fig. 1: An example for the mechanism of duty cycling protocols.



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Fig. 2: Illustration of different time durations in duty-cycling protocols.

small scale tests. We also find that state-of-the-art protocols with empirical parameters [19] are not adequate to optimize the performance, and also not adaptive for nodes with various traffic patterns in the network.

In this work, we propose a framework for distributed duty-cycling protocol design under different traffic patterns with protocol dynamics. The framework incorporates different impacting factors such as awake time and traffic pattern to optimize energy consumption and derives a method for setting parameters. We theoretically analyze the performance gain of the proposed framework. Further, as an example, we apply the analysis to the *de facto* duty-cycling protocols in WSNs, i.e., TinyOS LPL MAC [20] and ContikiMAC [21]. We find those protocols, though widely adopted by application developers, is inefficient under various network conditions. Our design can significantly improve the performance.

We implement our design LAD in TinyOS [22] and conduct extensive experiments on a network with 40 TelosB nodes. LAD can adaptively adjust the protocol parameters according to network conditions such as data rate and acheive an optimal energy efficiency. To make LAD practical in real network, we further precalculate optimal parameters offline and store them on sensor nodes, which significantly reduces the computation time. We also examine the performance based on data from a 1200-node network to show the scalability of our design. The contributions are summarized as follows.

- We present a framework to qualitatively analyze the significant impact of traffic and protocol dynamics in duty-cycling protocols. We apply the analysis to existing protocols and show the problems of real protocol designs.
- We propose a light-weight distributed duty-cycling protocol design (LAD) which can achieve optimal energy efficiency with different data rates and protocol dynamics in real networks.
- We implement the protocol in TinyOS with TelosB nodes. The experimental results demonstrate that our protocol can achieve 28.2%-40.1% performance gain compared to existing duty-cycling protocols.

The remainder of this paper is organized as follows. Section 2 presents the analysis of the energy consumption in the duty-cycling mode and the analysis on a real protocol implementation. Section 3 shows our protocol design based on the analysis. Section 4 presents the implementation and evaluation results. Section 5 introduces related work and Section 6 concludes this work.

2 PROTOCOL ANALYSIS

In this section, we first show the basic mechanism of LPL protocol with a simple motivating example. Then we analyze the impact of different parameters to protocol performance. Based on the analysis, we explain why existing adaptive protocols cannot achieve optimal performance and how to achieve this. We also analyze the *de facto* duty-cycling protocol implementation in TinyOS as well as the duty cycling protocol in Contiki OS, and show that our design can significantly improve the performance.

2.1 Preliminary

2.1.1 LPL mechanism

While there exist a variety of duty-cycling protocols, they share a similar design principle. As shown in Figure 1(a), each node periodically wakes up and senses the channel. If the channel is busy, the node remains awake for a certain period of time. With packets to send, a sender generates signals, by sending preambles or data packets, to let the receiver know its existence. Once the receiver wakes up, it will sense the signal from the sender. Upon receiving a packet, the receiver extends the awake time for a certain period t_d (e.g., TinyOS LPL MAC).

2.1.2 Motivating example

Figure 1 shows three examples. Case (a) illustrates the basic mechanism of the simplified LPL protocol. In case (b), the time interval between two consecutive channel sensing is larger than that in Case (a). Thus the energy consumption at the receiver is reduced. However, the energy consumption at the sender increases because the sender needs to wait for a longer time (sending more packets or preambles) until the receiver wakes up and senses the signal from the sender. In case (c),

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the traffic rate also impacts the energy consumption. When the awake time is large, the energy consumption at the sender can be reduced since multiple packets may be sent during the same waking up period of the receiver. However, the energy consumption at the receiver will increase in the meantime. On the other hand, for a lower traffic rate, multiple packets cannot be sent during the same waking up period of the receiver. Thus both the sleep interval and awake time should be carefully set in order to reduce the total energy consumption.

2.1.3 Parameters

In this work, as shown in Figure 2, we have the following important parameters in our analysis.

- *t_s*: the sleep interval.
- t_w : the time a node stays awake after waking up, $t_w = 0$ means the node will immediately go to sleep when no signal is sensed.
- t_d : the dynamically extended awake time, i.e., a node extends the awake time to $t + t_d$ after receiving a packet at *t*.
- τ : the overhead to sense the channel.

In the analysis, we assume the data rate is λ and different nodes may have different λ . In LPL protocol, as we have introduced, a node needs to check received signal strength in the channel to see if there is any signal in the channel. To improve the reliability, a node often checks the channel multiple times. τ is used to denote such an overhead to sense the channel.

2.2 Energy Analysis

According to the mechanism, there are two cases for sending a packet in duty-cycling protocols:

- If the receiver is sleeping, the sender needs to wait until the receiver wakes up and turns on the radio. We denote such kind of transmission as *preambled transmission*, e.g., *x*₃ in Figure 2(a).
- Otherwise, the packet is transmitted when the receiver's radio is on. We denote such kind of transmission as *non-preambled transmission*, e.g., x_1 and x_2 in Figure 2(a).

In the analysis, we mainly focus on the radio-on (awake) time, which is the main source of energy consumption on sensor nodes [9][15]. As shown in Figure 2 (a), when there is no packet transmission, each node keeps the radio off for t_s and then on for t_w . Considering the dynamic protocol behaviors, upon receiving a packet at time t, the receiver extends the radio-on time to $t + t_d$.

We denote the period from the time a node goes to sleep state to the next time the node goes to sleep state as a cycle, i.e., the period of length $t_s + L$ in Figure 2(a). Note that the cycle length may not be fixed if $t_d \neq 0$. In each cycle, the energy consumption consists of the following two parts [9][15]: (1) radio-on time for receiving packets; (2) radioon time for sending packets. To calculate the average energy consumption per packet, we first calculate the expected total radio-on time for sending and receiving packets in each cycle. Then we calculate the number of packets in each cycle in order to calculate per-packet energy consumption.

2.2.1 Energy consumption for receiving packets

In order to calculate the radio on time, we first calculate the radio-on time for receiving packets. If $t_d = 0$, the radio-on time at the receiver for each cycle is t_w according to the mechanism of LPL. If $t_w = 0$, a node immediately goes to sleep when no signal is detected [9]. In real protocols the receiver may dynamically extend the awake time upon a reception. We assume there are k packets to extend the awake time, namely *extending packets*. Denote those k packets as x_1, x_2, \ldots, x_k and the corresponding receiving time as t_1, t_2, \ldots, t_k . To facilitate the analysis, we denote the packet after x_k as x_{k+1} at time t_{k+1} . Then we calculate the expected radio-on time for two cases, $t_w \ge t_d$ and $t_w < t_d$. We first calculate the probability of k extending packets. Without ambiguity, we use the notation to denote the corresponding period as well as its length in the figure. Assume in each cycle, the time starts at 0 for presentation simplicity.

Case 1 $(t_w \ge t_d)$: As shown in Figure 2(b), a packet extends the radio-on time only when it is received after time $t_s + (t_w - t_d)$. Denote the probability for k extending packets as $P_1(k)$ and the number of transmissions in a time interval t by N(t). Only when there is no packet in the time period $[t_s + (t_w - t_d), t_s + t_w]$, there is no extending packet for case 1. Thus we have $P_1(0) = p(N(t_d) = 0)$. For k > 0, we have

- $N(t_d) > 0$: there should be at least one transmission in the time window $[t_s + (t_w t_d), t_s + t_w]$ as shown in Figure 2(b), otherwise k = 0.
- $t_i t_{i-1} \le t_d$ for $1 < i \le k$, otherwise t_i will not be an extending transmission.
- $t_{k+1} t_k > t_d$, otherwise t_{k+1} should also be an extending transmission in the same cycle.

Then the probability for k (k > 0) extending packets is

$$P_1(k) = p(N(t_d) > 0 \land t_2 - t_1 \le t_d \land \cdots \land t_k - t_{k-1} \le t_d \land t_{k+1} - t_k > t_d).$$

Case 2 ($t_w < t_d$): Denote the probability with *k* extending packets as $P_2(k)$. This case can be further divided into two cases considering whether there are packet transmissions in the sleeping time of length t_s .

Case 2.1 ($N(t_s) > 0$): There are packet transmissions in the sleep time of length t_s . The receiver can receive packets after it wakes up and the radio-on time is extended by a length of t_d . Then this case transforms to case 1 with $t_w = t_d$.

Case 2.2 $(N(t_s) = 0)$: There is no packet transmission in the sleep time of length t_s . The radio-on time will only be extended if there are packets received in time period t_w after the receiver wakes up, i.e., $N(t_w) > 0$. If k = 0, we have $P_2(0) = p(N(t_w) = 0)$. For k > 0, the probability can be calculate as follows,

$$P_2(k) = p(N(t_w) > 0 \land t_2 - t_1 \le t_d \land \cdots \land t_k - t_{k-1} \le t_d \land t_{k+1} - t_k > t_d)$$

Till now, we have calculated the probability for k extending packets. To calculate the expected radio-on time for k extending packets in case 1 and case 2, we first calculate expected

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(b)

Fig. 3: Performance of duty-cycling for different data rates, with $\tau = 10$, $\alpha = 1$, $\beta = 1$ and $\gamma = 1$. (a) $\lambda = 0.0001$, (b) $\lambda = 0.02$, (c) $\lambda = 0.1$ (pkt/ms). For different data rates, using the same parameter setting results in totally different performance. For example, a small t_w and t_d , which is preferred in (a), is prohibited in (b).

inter-packet time between two consecutive extending packets. Given the maximum inter-packet time t, the expected inter-packet time T(t) is calculated as

(a)

$$T(t) = \int_0^t x p(d = x | N(t) > 0) dx.$$
(1)

where p(d = x) denotes the probability that the inter-packet interval is *x*.

For case 1, as shown in Figure 2(b), the expected radio-on time $L_1(k)$ with k packets is calculated as

$$L_1(k) = t_w + kT(t_d) \tag{2}$$

For case 2, as shown in Figure 2(c), the expected radio-on time $L_2(k)$ with k packets is calculated as

$$L_{2}(k) = \begin{cases} t_{d} + kT(t_{d}) & Case2.1\\ t_{w} & Case2.2\&k = 0\\ T(t_{w}) + (k-1)T(t_{d}) + t_{d} & Case2.2\&k > 0 \end{cases}$$
(3)

Eq.(2) and (3) show that for different data rates, the resulted radio on time are different. Then we can calculate the expected radio-on time.

$$E(L) = \begin{cases} \sum_{k=0}^{\infty} L_1(k) P_1(k) & Case1\\ \sum_{k=0}^{\infty} L_2(k) P_2(k) & Case2 \end{cases}$$
(4)

Note here for case 2 we should calculate the expected radio-on time across different cases.

2.2.2 Energy consumption for sending packets

The expected energy consumption for sending packets depends on the number of preambled transmissions and non-preambled transmissions. We first calculate the number of non-preambled transmission M_i and preambled transmission M_p . For case 1, the expected number of non-preambled packets is the sum of packets in the time window of length $t_w - t_d$ and packets after such a time period. For case 2, the expected number of nonpreambled transmission is $E(M_i) = P_2(k)k$. We have

$$E(M_i) = \begin{cases} \sum_{k=0}^{\infty} kp(N(t_w - t_d) = k) + \sum_{k=0}^{+\infty} P_1(k)k & Case 1\\ \sum_{k=0}^{+\infty} P_2(k)k & Case 2 \end{cases}$$

According to the data rate, the expected number of preambled transmissions is

$$E(M_p) = \lambda t_s. \tag{5}$$

2.2.3 Average energy consumption

The energy consumption for each cycle depends the radio-on time for sending and receiving packets, the channel sensing and the energy to send/receive packets. The energy consumption for radio-on time for receiving packets, which is proportional to E(L), is calculated as $\alpha E(L)$, where α is a coefficient for energy consumption. The energy consumption for channel sensing is denoted by τ . For each preambled transmission, the expected radio-on time at the sender is $t_s/2$. For each non-preambled transmission, the extra radio-on time is negligible. Therefore, the expected energy consumption for sending packets can be calculate as $\beta E(M_p)t_s/2$ with β as a coefficient.

(c)

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Theorem 1: The average energy consumption per packet is calculated as

$$\mathscr{G} = \frac{\alpha E(L) + \beta E(M_p)t_s/2 + \gamma(E(M_p) + E(M_i)) + \tau}{E(M_p) + E(M_i)}$$
(6)

where τ is the additional overhead for sense the channel. Usually, τ should be very small. Our goal is to optimize \mathscr{G} for different parameters. We use the equation as the guideline for our protocol design. In the design section, we show how to leverage this equation to improve the energy efficiency.

2.3 Example

Assume the traffic follows a poisson distribution. Considering the memorylessness and independence of inter-arrival time, we have

$$P_1(k) = p(N(t_d) > 0) \prod_{i=2}^k p(t_i - t_{i-1} \le t_d) p(t_{k+1} - t_k > t_d)$$

Denote $t'_i = t_i - t_{i-1}$, since the probability density function of inter-arrival time is $f(t) = \lambda e^{-\lambda t}$, we have

$$P_{1}(k) = p(N(t_{d}) > 0) \prod_{i=2}^{k} \int_{0}^{t_{d}} f(t'_{i}) dt'_{i} \int_{t_{d}}^{+\infty} f(t'_{k+1}) dt'_{k+1}$$

$$= p(N(t_{d}) > 0) \prod_{i=2}^{k} \int_{0}^{t_{d}} \lambda e^{-\lambda t'_{i}} dt'_{i} \int_{t_{d}}^{+\infty} \lambda e^{-\lambda t'_{k+1}} dt'_{k+1}$$

$$= (1 - e^{-\lambda t_{d}})^{k} e^{-\lambda t_{d}}$$
(7)

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Similarly, we can calculate the $P_2(k)$ as

$$P_{2}(k) = p(N(t_{w}) > 0) \prod_{i=2}^{k} \int_{0}^{t_{d}} f(t'_{i}) dt'_{i} \int_{t_{d}}^{+\infty} f(t'_{k+1}) dt'_{k+1}$$

$$= (1 - e^{-\lambda t_{w}})(1 - e^{-\lambda t_{d}})^{k-1} e^{-\lambda t_{d}}$$
(8)

where $P(0) = e^{-\lambda t_w}$.

Consequently, the expected awake time at the receiver E(L) can be calculated as $E(L) = \sum_{k=0}^{\infty} L(k)P(k)$. More specifically, we have the following lemma:

Lemma 2: The expected awake time E(L) on the receiver is

$$E(L) = \begin{cases} t_w + T(t_d)(e^{\lambda t_d} - 1) & Case1\\ e^{-\lambda t_s}A + (1 - e^{-\lambda t_s})B & Case2 \end{cases}$$

where

$$A = e^{-\lambda t_w} t_w + (1 - e^{-\lambda t_w}) (T(t_w) - T(t_d) + t_d + T(t_d) e^{\lambda t_d})$$
$$B = t_d + T(t_d) (e^{\lambda t_d} - 1)$$

Proof: For Case 1, the expected radio-on time is calculated as $E(L) = \sum_{k=0}^{+\infty} P_1 L_1(k)$. For Case 2, the expected radio-on time is calculated as $E(L) = \sum_{k=0}^{+\infty} P_2 L_2(k)$. It should be noted here for Case 2, we need to calculate the expected radio-on time across different cases. Combining Eq. (7), (8), (2) and (3), we can calculate E(L).

Thus we have

$$E(M_i) = \begin{cases} \lambda(t_w - t_d) + e^{\lambda t_d} - 1 & Case1\\ e^{-\lambda t_s}C + (1 - e^{-\lambda t_s})(e^{\lambda t_d} - 1) & Case2 \end{cases}$$
(9)

where $C = e^{\lambda t_d} - e^{-\lambda(t_w - t_d)}$. Based on those parameters, we finally obtain \mathscr{G} for the average energy consumption per packet with poisson distributed traffic.

2.4 Revisiting Existing Protocols

We revisit two widely used low duty cycling protocols, the *de facto* duty-cycling protocol implementation, i.e TinyOS LPL MAC, and the ContikiMAC [21] in ContikiOS. We also demonstrate the implications of leveraging the analysis to improve energy efficiency.

2.4.1 TinyOS LPL MAC

In TinyOS LPL MAC, the typical channel sensing time is $5\sim 15$ ms and the typical sleep interval is 500ms. We set $\alpha = 1$, $\beta = 1$, $t_s = 500$ and $\tau = 10$. According to Eq. (6), we calculate average energy consumption \mathscr{G} for different t_w , t_d and data rate λ . We show the average energy per packet in Figure 3. We find that current parameter settings in TinyOS LPL MAC may lead to very poor performance.

For a low data rate (e.g., $\lambda = 0.0001$ pkt/ms), as shown in Figure 3(a), the energy consumption increases when t_w or t_d increases. This is because though increasing the awake time reduces the energy consumption at the sender, this increases energy consumption at the receiver. For a low data rate, the reduced energy consumption at the sender is relative small and is defeated by the increased energy consumption at the receiver. In the default TinyOS LPL MAC, the typical value of t_d is set to 100ms. According to Figure 3(a), such a setting

will introduce a significant additional overhead. Thus t_d should be set smaller.

When the data rate becomes higher (e.g., $\lambda = 0.02$ pkt/ms), as shown in Figure 3(b), the energy consumption decreases when both t_w and t_d increase. This is because when the traffic is relative high, prolonging the awake time, though increases the energy consumption at the receiver, increases the probability of non-preambled transmissions and thus reduces the energy consumption for the senders. Therefore, increasing t_w , which is previously prohibited, is beneficial in this case. Similarly, prolonging t_d can also increase the probability for non-preambled transmissions and hence reduce the energy consumption.

When the data rate is even higher (e.g., $\lambda = 0.1$ pkt/ms), as shown in Figure 3(c), the energy consumption quickly decreases as the increasing of t_d . This is because when the data rate is high, the probability of receiving packets, during the extended time of t_d , becomes very high. When the number of received packets during t_d increases, the benefit can overcome the overhead. Thus t_d is a crucial factor to the performance. We should set t_w and t_d to larger values.

2.4.2 ContikiMAC

ContikiMAC [21] provides the default duty-cycling MAC layer protocol in Contiki OS. In ContikiMAC, the channel sensing overhead τ is smaller than that in TinyOS and $t_d = 0$. We also evaluate the performance for different settings based on the result in Eq. (6) for different data rates. The results are similar to those shown in Figure 3. We also observe that ContikiMAC is not always energy efficient. This shows that in current ContikiMAC design, the parameters should be carefully determined.

2.4.3 Summary

As a result, we can see that 1) using the fixed settings, e.g., t_w and t_d in different protocols for different scenarios, are not appropriate and may lead to a high energy consumption, 2) the extended time t_d impacts the energy efficiency under different scenarios, 3) traditional methods to optimize the sleep interval may not result in an optimal result under different data rates, and 4) according to the analysis we can derive the appropriate settings for LPL protocols under different network scenarios.

3 PROTOCOL DESIGN

Based on the analysis result, we present the design of a light-weight distributed adaptive duty-cycling protocol to improve energy efficiency and tackle the problems for existing protocols. The design aims to improve energy efficiency for different protocols under different network conditions.

3.1 Design Overview

The design consists of three major components, (1) a network estimation component, (2) an online parameter optimization component and (3) an adaptive duty-cycling protocol component. First, the network estimation component measures the

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Fig. 4: Implementation of adaptive duty-cycling protocol based on TinyOS LPL MAC.

required network status for calculating optimal parameters. Based on the result, the parameter optimization component provides the optimal parameters for the duty-cycling protocol. Based on the optimal parameter settings, the adaptive dutycycling protocol accordingly adjusts the protocol parameters such as sleep interval, awake time and extending time.

3.2 Network Estimation & Parameter Optimization

To optimize the energy efficiency according to Eq. (6), we need to estimate the parameters λ and τ . We estimate the parameter λ using maximum likelihood estimation (MLE). By dividing the time into time slots of length *w*, we count the number of packets k_i in the latest *n* time slots. Then we obtain the estimation of λ by $\hat{\lambda}_{MLE} = \frac{1}{nw} \sum_{i=1}^{n} k_i$. Usually, the time used for a node to check the channel is fixed. Thus we can measure the time for channel sensing, i.e., reading the received signal strength, in an offline fashion. Therefore, τ can be measured offline. For example, in TinyOS, the time used for channel sensing is 10ms.

Based on Eq. (6) and the estimated parameters, we calculate the optimal t_w , t_d and t_s . The challenge is that calculating those parameters according to Eq. (6) introduces a significant computation overhead, which is not applicable for resource limited sensor nodes. To conquer this challenge, we precalculate the optimal values of t_w , t_d and t_s for different λ and store those values on sensor nodes. According to the measured parameters from the parameter estimation component, each node locally searches for corresponding optimal settings of t_w , t_d and t_s to reduce the computation overhead.

Storing the parameter settings for different λ also consumes a lot of space, as theoretically λ may vary from 0 to a very large range. It should be noted here in wireless sensor networks the data rate λ is bounded by the limited link capacity. Thus we set a maximum and minimum data rate for data rate λ as λ_{min} and λ_{max} . When $\lambda_{min} \leq \lambda \leq \lambda_{max}$, we calculate the corresponding values of t_w , t_d and t_s for the optimal energy consumption. Then we store the optimal values corresponding to discrete values of λ in a table on each sensor node. To find the optimal values, we find the entry in the table with the closest data rate to the given data rate.

3.3 Adaptive Duty-cycling Protocol

To be adaptive to network conditions, the component takes the optimal parameters from the parameter optimization compo-

nent as input and accordingly adjust the protocol behavior.

First, each node adjusts the sleep interval and awake time according to t_s , t_w and t_d . Meanwhile, the sender should know the parameter settings of the receiver in order to send packets. In our protocol, each node records the sleep interval t_s for all neighbors. The information is piggybacked in broadcast or data packets in order to notify other nodes. To increase the probability that the information is successfully received by other nodes, the preamble length of broadcast is set to the maximum length of t_s in all neighbors. Considering packet losses in real networks, when a sender does not have the sleep interval information for a particular node, the sender uses the maximum of t_s to ensure that the receiver wakes up at least once and sense the transmission from the receiver.

4 IMPLEMENTATION AND EVALUATION

We implement our protocol in TinyOS 2.1 and evaluate its performance in a network consisting of 40 TelosB nodes. To further validate its scalability and effectiveness, we conduct trace driven simulations based on data from a 1200-node network.

4.1 Implementation

The architecture of the implementation is shown in Figure 4. We build our protocol LAD based on the default duty-cycling protocol in TinyOS. The value of τ is determined offline by measuring the channel sensing operation in TinyOS LPL MAC. in TinyOS, we measure the time for each channel sensing, i.e., reading the received signal strength. Since the time for channel sensing is usually fixed, we can use the time for protocol implementation. In TinyOS, each node checks RSSI values for up to 400 times to improve detection accuracy. Thus in the default implementation, the channel sensing takes up to about 10ms. The time is relative longer than that in the implementation of ContikiMAC. We implement a traffic monitor on top of the TinyOS LPL MAC to record the number of received packets for each time window (currently we set the window size to 1 second). As introduced in Section 3, we use the latest 10 windows to estimate λ . For a shorter window size, it will change more frequently. For a longer window size, more data are averaged. Our method can also deal with bursty traffic without frequent parameter change. We also test our method for bursty traffic and the result shows that our method is effective for traffic with bursty.

To obtain the optimal parameter settings, as introduced in Section 3, we store the optimal parameter settings for different λ on sensor nodes. For $\lambda < 0.0001$, we set the $t_w^{min} = 0$ and $t_d^{min} = 0$. For $\lambda > 0.1$, we check the space according to optimal value of \mathscr{G} in Eq. (6) for t_w , t_d and t_s . We find that increasing t_d indicates improvement on energy efficiency. However, when t_d is larger than 100ms, the improvement becomes limited. Thus we set $t_d^{max} = 100$ ms and $t_w^{max} = 200$ ms. When $0.0001 < \lambda < 0.1$, we discretize λ with an interval of 0.0002. Then we can calculate the optimal values for t_s , t_w and t_d with respect to different values of λ and store those values



Fig. 5: Duty cycle ratio under different data rates: (a) under a high data rate; (b) under a low data rate.

in a table. The storage overhead is of 1500 bytes, which is acceptable on a sensor node, e.g., TelosB node with 10KB memory. The duty-cycling protocol takes the output from parameter optimization component and accordingly adjust the schedule. The implementation of our protocol is transparent to applications and can be used in different upper layer services such as [23] [2].

4.2 Evaluation

4.2.1 Methodology

We evaluate our protocol on a testbed consisting of 40 TelosB nodes. To evaluate the multi-hop performance, we incorporate our protocol with CTP [23] protocol for data collection. We compare our design with the following protocols on the testbed:

- TinyOS LPL MAC [20] (LPL) with default settings $t_s = 500ms$, $t_w = 10ms$ and $t_d = 100$.
- TinyOS LPL MAC with minimal t_d value, $t_d = 0$ (LPL-noextending).
- Parameter optimization with X-MAC [9].
- A-MAC [12], i.e., the most recent receiver-initiated duty cycling protocol.

We evaluate the performance of those protocols under a relative high data rate (0.25 pkt/s for each node) and a relative low data rate (0.025 pkt/s). For different data rates, we compare the performance of different protocols from the following aspects:

- Duty cycle ratio, the percentage of radio-on time.
- Average energy consumption per packet.
- · Packet loss ratio.
- Adaption to different data rates.
- Detailed radio operations.

We further conduct trace-driven simulations with data trace from a network consisting of 1200 nodes.

4.2.2 Overall performance

We first compare the duty cycle ratio for different protocols under different data rates. Figure 5(a) shows the result under a high data rate. We can see that our design outperforms other approaches in terms of duty cycle ratio. More specifically, in our protocol, more than 90% of nodes have a duty cycle ratio lower than 16%. While among other protocols, X-MAC achieves the best performance, because X-MAC can adaptively adjust the parameters. However, the performance of X-MAC is lower than our protocol since X-MAC does not consider the traffic and protocol dynamics. In X-MAC, there are more than 50% of nodes with a duty cycle ratio higher than 15%. Figure 5(b) shows the result for a low data rate. Under a low data rate, the radio duty cycle for all protocols are reduced. In our protocol, more than 80% of nodes have a duty cycle ratio lower than 7%. The average duty cycle improvement to X-MAC with parameter optimization is about 28.8% under a high data rate and 28.2% under a low data rate. The average duty cycle improvement to the default LPL MAC is about 40.1% under a high data rate and 28.6% under a low data rate.

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We also evaluate the average energy consumption per packet. Figure 6(a) shows the average energy consumption per packet under a high data rate. First, we find that under a high data rate, the LPL with delay after receiving $(t_d > 0)$ is significantly better than the protocol LPL-noextending ($t_d = 0$). This verifies our observation in Section 3 that a longer awake time even leads to a lower energy consumption since the time for preambles can be reduced. This also coincides with our analysis result in Figure 3(a). In our protocol, most nodes have average radio-on time of less than 40ms while the best among others has only 50% of nodes with average radio-on time of less than 40ms. Figure 6 shows the energy consumption per packet under a low data rate. First, the energy consumption per packet under a low data rate is smaller than that under a high data rate. Under a low data rate, our protocol is still better than other protocols. We can also find that under a low traffic rate, the LPL-noextending becomes slightly better than LPL. This coincides with our result in Figure 3(c).

4.2.3 Packet losses

Reliability is an important metric for data collection. If the sleep interval and awake time are not appropriate, packets may not be able to be processed in time and thus get lost. We evaluate the packet losses for different nodes. Figure 9 shows the result for different protocols. We can see that under different data rates, our protocol achieves a high reliability.

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Fig. 6: Energy consumption under different data rates: (a) under a high data rate; (b) under a low data rate.



Fig. 7: Node positions and the corresponding sleep interval distribution. The sink node resides at position (0, 0).

The reliability of A-MAC is relative lower. We investigate the data and find that there are mainly two reasons. First, according to the A-MAC implementation, the sink in A-MAC is not set to be always-on. This causes more packets accumulated near the sink node and results in collisions and overflow. Second, there is no approach provided to adjust the probe time. As introduced in A-MAC [12], probes are easy to collide in A-MAC with a relative high data rate on the testbed. We also test for different network scales and data rates. We find that when the network density is low, the A-MAC performs better and presents similar results with other protocols.

4.2.4 Adaption to different data rates

We further investigate that how our approach adapts to different data rates. More specifically, we calculate the sleep interval for different nodes with different data rates on the testbed. Figure 7(a) shows the node layout on the testbed. The sink node resides on the left-bottom corner. Figure 7(b) shows the sleep interval distribution for different nodes in our protocol. The darker color indicates a smaller sleep interval. We can see by using our protocol nodes near the sink node with a high data rate have a smaller sleep interval than other nodes. This coincides with our analysis and also further shows that our protocol can adjust the sleep interval according to the data rate. We use the power level 1 for sensor nodes and the communication range is about 20cm to 60cm. Figure 8 shows the topology of the network.



Fig. 8: The network topology.

4.2.5 Radio profiling

To examine the effectiveness of our protocol, we measure the detailed radio behaviors on each node. To precisely record the radio behavior, we log all radio operations (i.e. radio on/off and packet receiving events) as a tuple < event, time > on the local flash of each node. Then we derive the radio status according to the recorded events. For example, for two consecutive events radio-on and radio-off at time t_1 and t_2 , we derive the period $[t_1, t_2]$ as a radio-on period. Then we plot the radio status according to the logged events. The data rate in our experiment is set to 4 pkt/s. Figure 10(a) shows the radio operations in the default TinyOS LPL MAC. Figure 10(b) shows the radio operations in our protocol. The upper figures are for the senders and the lower figures are for the receivers. From Figure 10(a), we can see for the default TinyOS LPL MAC there exist many long radio-on time periods for the sender due to the long sleep interval on the receiver. This is because t_s and t_d are not adjusted according to the traffic. Further, Figure 10(b) shows the result for our protocol. By leveraging parameter optimization, the sleep interval and radio-on time can be adjusted according to the traffic. Thus the radio-on time for sending a packet is significantly reduced. While at the receiver side, the radio-on operations are more frequent than that in the default LPL MAC. This is because our protocol considers the energy consumption both at the sender and receiver to optimize the parameters. Therefore, the average energy consumption per packet is significantly reduced.

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Fig. 9: Reliability of packet transmission for different data rates: (a) under a high data rate; (b) under a low data rate.

4.3 Trace driven simulations

We further conduct trace driven simulations based on data from CitySee network, which consists of 1200 sensor nodes deployed in the urban area. The primary goal of CitySee is to precisely measure CO_2 emissions in a city-wide area. The network covers an area of approximately 1,000,000 square meters. The network employs a tiered architecture with four subnets consisted of three kinds of nodes, i.e., normal TelosB nodes, CO_2 nodes and mesh nodes. A routing tree is built in the network based on the ETX metric [24]. We use CTP protocol to collect data from the network. Each node in the network transmits 4 data packets back to the sink node every 10 minutes. In the network, we use the TinyOS LPL with $t_s = 512$, $t_d = 10$ and $t_w = 10$.

4.3.1 Performance improvement for different nodes

We first evaluate the real traffic distribution on each node in the network. In our application, each node records the packet receiving time. Based on the receiving time, we calculate the inter-packet interval to see if the incoming traffic follows poisson distribution. If the traffic follows a poisson distribution, the inter-packet interval should follow the exponential distribution. The result is shown in Figure 11. We also show the curve fitting result for the data. We can see that the real data well fit the exponential distribution. This shows that in the network, the traffic can be approximated with the poisson distribution.

From the collected data, we can calculate the real duty cycle ratio for each node. Accordingly, we can also use the data rate as the input to our protocol and then calculate the corresponding energy consumption. We compare the duty cycle ratio of LAD to the actual duty cycle ratio in CitySee. Figure 11(b) shows the improvement for nodes at different locations in one subnet. We can see that the duty cycle ratio for most nodes with our protocol is smaller than that in the original network. For nodes near the sink, the improvement is larger because of a higher data rate. We further show the CDF of nodes with duty cycle ratio improvement in Figure 11(c). The duty cycle ratio for more than 80% of nodes can be significantly reduced with our protocol.

4.3.2 Different Traffic Distributions

We also evaluate the performance for different traffic distributions. We fix the data rate and set the inter-packet interval according to different distributions (geometry, binomial, normal, exponential distribution and etc). We calculate the radio-on time per packet for different distributions. The result is shown in Figure 11(d). We can see that for different distributions, the energy consumptions on radio are similar. This shows that our protocol can also be used in other traffic distributions.

5 RELATED WORK

There are mainly two types of duty-cycling protocols in WSNs. The first type is synchronized duty-cycling protocol, e.g., [3] [4] [5], in which the sender and receiver are synchronized. Protocols of this type may introduce additional computation and communication overhead. Meanwhile, those protocols also have a fixed sleep schedule and are inefficient to handle traffic dynamics [4].

The second type is asynchronized duty-cycling protocol. A representative asynchronized duty-cycling protocol is studied in B-MAC [7]. The sender uses preambles to wake up the receiver. Based on the basic mechanism of B-MAC, many protocols are proposed to improve the performance of B-MAC, such as X-MAC [9], C-MAC [8], Wise-MAC [10], PW-MAC [25] and etc. The basic principles of those protocols are similar. Besides those sender initiated duty-cycling protocols, recently receiver initiated duty-cycling protocols are proposed to reduce the overhead due to collisions in preamble packets. In those protocols, e.g., RI-MAC [11] and A-MAC [12], the receiver will notify the sender to send packets, which is different from the sender initiated protocols in which the sender continuously sending preambles. In this paper, we analyze the mechanism of widely use sender-initiated dutycycling protocols.

There are also many works proposed to support adaptive duty-cycling and improve the performance. DSF [26] selects a forwarding set to optimize the end-to-end reliability/cost/delay. MiX-MAC [13] improves the energy efficiency by switching between different duty-cycling MAC protocols. IDEA [14] proposes a centralized method to tune the parameters for LPL



Fig. 10: Radio operations at the sender and receiver. (a)Radio operations for the default LPL MAC. (b) Radio operations for LAD design.



Fig. 11: Trace driven simulation based on data in CitySee network. (a) Evaluation of real data distribution and the curve fitting result using exponential distribution function. (b) Duty cycle improvement in a subnet, the red circle denotes the duty cycle calculated from CitySee and the dark circle indicates the duty cycle ratio of our protocol. (c) CDF of the duty cycle improvement. (d) Performance for different distributions.

protocols. T-MAC [27] is proposed to adjust the sleep and awake time. In T-MAC, packets are sent in a bursty fashion in order to improve energy efficiency. If there is no packet to send, nodes turn off the radio. MS-MAC [28] proposes a method to adjust the sleep and awake time by incorporating node movement. In DMAC [29], nodes on a path to the destination are synchronized in order to reduce the energy consumption. In GDSIC [15], a distributed method is proposed to achieve energy fairness. Recently, Sha et al. [18] present an efficient design to improve energy efficiency of LPL in practical networks by addressing the false wake up problem due to interference. pTunes [30] proposes a centralized parameter optimization method which can work for different protocols. In X-MAC [9], sleep interval is calculated to improve energy efficiency. In [16] [17], heuristic approaches are presented to improve energy efficiency. However, as we shown in our analysis, there are various important impacting parameters which are not thoroughly considered in existing works. In this paper, we show that by solely considering the sleep interval and duty cycle ratio, the energy consumption cannot be optimized, especially in practical duty-cycling design with

dynamically extended awake time. We analyze the impact of different parameters and present a practical mechanism to optimize energy consumption for real networks.

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6 CONCLUSION

During operation of a 1200-node network, we find that current duty-cycling protocols may lead to a high energy consumption. State-of-the-art protocols cannot efficiently adapt to traffic and protocol dynamics. Thus they are not accurate and adequate to optimize the energy consumption, resulting in many empirical parameters in practical protocols. In this paper, we present a practical adaptive duty-cycling protocol to reduce energy consumption. The proposed protocol minimizes the energy consumption per packet with only local information under various traffic rates and protocol dynamics. We evaluate our approach on 40 TelosB nodes and the results show that our approach can improve the performance by 28.2%-40.1%. Data from a large-scale network deployed in an urban area also validate the effectiveness of our approach.

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