# T-joins in Strongly Connected Hypergraphs

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#### Abstract

Given an edge-weighted undirected hypergraph  $K = (V_K, E_K)$  and an even-sized set of vertices  $R \subseteq V_K$ , a T-cut is a partition of  $V_K$  into two parts Q and  $\bar{Q} := V_K \setminus Q$  such that  $|Q \cap R|$  is odd. A T-join in K for R is a set of hyperedges  $M \subseteq E_K$  such that for every T-cut  $(Q, \bar{Q})$  there is a hyperedge  $e \in M$  intersecting both Q and  $\bar{Q}$ .

A *directed hypergraph* has for every hyperedge exactly one vertex, called the head, and several vertices, that are tails. A directed hypergraph is strongly connected if there exists at least one directed path between any two vertices of the hypergraph, where a directed path is defined to be a sequence of vertices and hyperedges for which each hyperedge has as one of its tails the vertex preceding it and as its head the vertex following it in the sequence. Orienting an undirected hypergraph means choosing a head for each hyperedge.

We prove that every edge-weighted undirected hypergraph that admits a strongly connected orientation has a T-Join of total weight at most 7/8 times the total weight of all the edges of the hypergraph, and sketch an improvement to 4/5. We also exhibit a series of example showing that one cannot improve the constant above to  $2/3 - \epsilon$ .

keywords: weighted hypergraph, directed hypergraph, T-join, T-cut

### **1** Introduction

Given an edge-weighted hypergraph  $K = (V_K, E_K)$  and an even-sized set of vertices  $R \subseteq V_K$ , a T-cut is a partition of  $V_K$  into two parts Q and  $\overline{Q} := V_K \setminus Q$  such that  $|Q \cap R|$  is odd. A T-join in Kfor R is a set of hyperedges  $M \subseteq E_K$  such that for every T-cut  $(Q, \overline{Q})$  there is a hyperedge  $e \in M$ intersecting both Q and  $\overline{Q}$ ; such an hyperedge is said to *cross* the T-cut. See Figure 1 for intuition.

A minimum-weight T-join can be computed in polynomial-time if K is a graph (Chapter 29 of [9]). The generalization of Minimum Weight Graph T-join to hypergraphs, which we call *Hypergraph T-join*, is however NP-hard (Section 2).

A *directed hypergraph* has for every hyperedge exactly one vertex, called the tail, and several vertices, that are heads (to avoid having to rewrite most of the paper, we **reverse** the standard tail-head notation from the abstract above or from [5]; this reversal does not affect the results). A directed hypergraph is strongly connected if there exists at least one directed path between any two vertices

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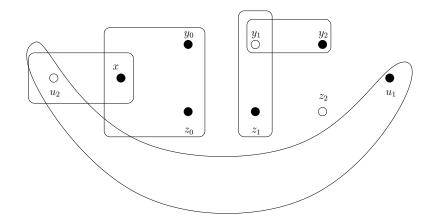


Figure 1: An example of a hypergraph T-join. R is given by the solid dark nodes. Another example appears later in Figure 3.

of the hypergraph, where a directed path between vertices u and v is defined to be an alternating sequence of vertices and hyperedges, starting with u and ending with v and such that each hyperedge has as tail the vertex preceding it and as one of its heads the vertex following it in the sequence. Orienting an undirected hypergraph means choosing a tail for each hyperedge. [5] characterizes the undirected hypergraphs that admit strongly connected orientations.

We study the supremum, over classes of hypergraphs and all possible R, of the minimum weight T-join divided by the weight of (all hyperedges in) the hypergraph. For (the class of) two-edge-connected graphs, this *T-ratio* is known (and not too hard to prove) to be 1/2 [4].

Let  $K = (V_K, E_K)$  be an undirected hypergraph. A path in a hypergraph consists of an alternating sequence of vertices and hyperedges for which each hyperedge contains the two vertices which precede and follow it in the sequence. Two vertices of K are in the same *connected component* if there exists a path with the two vertices as endpoints. It is easy to see that M is a T-join in K for R if and only if every connected component of the hypergraph  $(V_K, M)$  has an even number of vertices of R.

A hypergraph is two-edge-connected if there exist two hyperedge-disjoint paths between any two vertices. Note that Menger's theorem holds for hypergraphs and a hypergraph is two-edge-connected if and only if the removal of any single hyperedge does not disconnect the graph. It is not true [5] that every two-edge-connected hypergraph admits a strongly connected orientation (for graphs, this property holds and is known since at least 1939 [8]). However it is easy to prove that the undirected version of a strongly connected hypergraph is two-edge-connected.

In this paper we prove that for the class of edge-weighted undirected hypergraph that admit a strongly connected orientation, the T-ratio is at most 7/8, and sketch an improvement to 4/5. We also exhibit a series of example showing that the T-ratio is at least 2/3. For the class of edge-weighted undirected hypergraphs that are two-edge-connected, we show that the T-ratio is 1.

The T-ratio for hypergraphs that admit strongly connected orientations was used by [2] to improve the approximation ratio for a power assignment problem, described below. A submitted journal version has an improved ratio (for the same algorithm) without using the T-ratio. In the first analysis of this algorithm, the T-ratio plays a similar role to the often used Steiner ratio [10, 1] for Steiner Tree. This paper still uses power assignment notation in addition to hypergraphs.

#### **1.1** Power Assignment and Min-Power Strong Connectivity

Power Assignment problems take as input a directed simple graph G = (V, E) and a cost function  $c : E \to R_+$ . The *power* of a vertex u in a directed spanning simple subgraph H of G is given by  $p_H(u) = \max_{uv \in E(H)} c(uv)$ , and corresponds to the energy consumption required for wireless node u to transmit to all nodes v with  $uv \in E(H)$ . The *power* (or *total power*) of H is given by  $p(H) = \sum_{u \in V} p_H(u)$ .

The study of Power Assignment was started by Chen and Huang [3], which consider, as we do, the case when E is bidirected, (that is,  $uv \in E$  if and only if  $vu \in E$ , and if weighted, the two edge have the same cost; this case was sometimes called "symmetric" or "undirected" in the literature) while H is required to be strongly connected. See also [7]. We call this problem MIN-POWER STRONG CONNECTIVITY. We use with the same name both the (bi)directed and the undirected version of G. Hypergraphs arise naturally for MIN-POWER STRONG CONNECTIVITY (more so than for Steiner Tree), see Figure 2 for intuition.

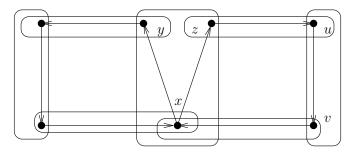


Figure 2: The arrows present a directed graph H. The rounded rectangles show an undirected hypergraph, obtained from H, by having a hyperedge (a subset of vertices) consisting of a vertex  $v \in V$  and all  $u \in V$  with  $vu \in H$ , with the weight of a hyperedge typically being  $p_H(v)$ . Thus in this example, the weights  $w(\{x, y, z\}) = \max(c(xy), c(xz))$  and  $w(\{u, v\}) = c(uv)$ .

### 2 Preliminaries

In directed graphs, we use *arc* to denote a directed edge. In a directed graph K, an *incoming arbores*cence rooted at  $x \in V(K)$  is a spanning subgraph T of K such that the underlying undirected graph of T is a tree and every vertex of T other than x has exactly one outgoing arc in T. Given an arc xy, its *undirected version* is the undirected edge with endpoints x and y.

An alternative definition of MIN-POWER STRONG CONNECTIVITY (how it was originally posed) is: we are given a simple undirected graph G = (V, E) and a cost function  $c : E \to R_+$ . A power assignment is a function  $p : V \to R_+$ , and it induces a simple directed graph H(p) on vertex set V given by xy being an arc of H(p) if and only if  $\{x, y\} \in E$  and  $p(x) \ge c(\{x, y\})$ . The problem is to minimize  $\sum_{u \in V} p(u)$  subject to H(p) being strongly connected. To see the equivalence of the definition, given directed spanning subgraph H, define for each  $u \in V$  the power assignment  $p(u) = p_H(u)$ .

While it may be already known, it is easy to check that Hypergraph T-join is indeed NP-hard, by a reduction from 4-D Matching (which asks if a 4-regular hypergraph K with V(K) a multiple of 4,

contains a perfect matching, that is, a set of disjoint hyperedges containing every vertex of the input hypergraph; see Garey and Johnson [6] problem SP1). It is easy to check that for R = V(K), a T-join of size at most |V(K)|/4 must be a perfect matching.

For  $u \in V$  and  $r \in \{c(uv) \mid uv \in E\}$ , let S(u, r) be the directed star with center u containing all the arcs uv with  $c(uv) \leq r$ ; note that r is the power of S. For a directed star S, let E(S) be its set of arcs and V(S) be its set of vertices. The vertices of the star other than the center are also called *leafs*. For a collection  $\mathcal{A}$  of directed stars  $S(u_i, r_i)$ , define  $w(\mathcal{A}) = \sum_{S(u_i, r_i) \in \mathcal{A}} r_i$ , the total power used by the stars in  $\mathcal{A}$ .

Let  $(S_v)_{v \in V}$  be the directed stars of OPT, with  $S_v$  centered at v, where OPT is the optimum feasible solution to a MIN-POWER STRONG CONNECTIVITY instance. As an aside, this and next section only use that OPT is feasible. Let  $\mathcal{A}$  be collection of the stars of OPT. Let  $K = (V_K, E_K)$ be the (undirected) hypergraph defined by  $V_K = V$  and  $E_K = \{V(S) \mid S \in \mathcal{A}\}$ . Define the weight of an hyperedge to be the power of the corresponding directed star. Recall from the introduction that, with given  $R \subseteq V$  with |R| even, a T-cut is a partition of V into two parts Q and  $\overline{Q} := V \setminus Q$  such that  $|Q \cap R|$  is odd. A T-join in K for R is a set of hyperedges  $M \subseteq E_K$  such that for every T-cut  $(Q, \overline{Q})$  there is a hyperedge  $e \in M$  intersecting both Q and  $\overline{Q}$ .

## **3** T-ratio in Hypergraphs that Admit Strongly Connected Orientation

Before the proof of our main result, Theorem 1 below, it is instructive to see why we cannot get a T-ratio of 1/2, as it would be if we were dealing with graphs rather than hypergraphs. Below is a 3/5 small example: (obtained from a Power Assignment instance, see Figure 3) nine vertices  $x, y_0, y_1, y_2, z_0, z_1, z_2, u_1, u_2$ , edges of cost 2:  $xy_0$  and  $xz_0$ , edges of cost 1:  $y_1y_2, z_1z_2$ , and  $u_1u_2$ , and edges of cost 0:  $y_0y_1, z_0z_1, y_2u_1, z_2u_1$ , and  $u_2x$ . *OPT* has power 5: x has power 2 and  $y_1, z_1$ , and  $u_1$  each have power 1. Thus K, obtained from *OPT* as above, has hyperedges of weight 0:  $\{u_2, x\}$ ,  $\{y_0, y_1\}, \{z_0, z_1\}, \{y_2, u_1\}$ , and  $\{z_2, u_1\}$ , of weight 1:  $\{u_1, u_2\}, \{y_1, y_2\}$ , and  $\{z_1, z_2\}$ , and of weight 2:  $\{x, y_0, z_0\}$ . One can check by inspection that any T-join in K for  $R = \{x, y_0, z_0, u_1\}$  has weight at least 3.

A series of examples where the ratio approaches 2/3 is given in Subsection 5.1, in the appendix.

The theorem below is proved for K obtained from OPT as at the end of the previous section. However, the proof below never uses that OPT is an optimum. To get the theorem for an arbitrary hypergraph K' that admits a strongly connected orientation, construct from K' a strongly connected graph H as follows:  $V_H = V_{K'} \cup E_{K'}$ , and for any oriented hyperedge e of K', put in H arcs from eto all of e's heads, each with cost w(e). Also, for any vertex v of K', put in H arcs of cost 0 from vto all  $e \in E_{K'}$  such that v is the tail of e.

Use *H* as *OPT* (notice that *H* is strongly connected), and obtain *K* from *OPT*. Use the same  $R \subseteq V_{K'}$ ; this is possible since  $V_{K'} \subset V_K$ . Note that  $w(E_K) = w(E_{K'})$ . If one takes *M* to be a T-join for *R* in *K*, removes from *M* the hyperedges with tail a vertex of  $V_{K'}$ , and replaces every hyperedge of *M* with tail a hyperedge  $e \in E_{K'}$  by *e*, then one obtains a T-join for *R* in *K'* of the same weight, as it can be easily checked. Viceversa, if one starts with a T-join for *R* in *K'* (which we call *M'*) then one can obtain a T-join *M* for *R* in *K* of the same weight as follows: put in *M* all the hyperedges of *K* corresponding to stars of power 0 in *H*, and all the hyperedges of *K* corresponding to stars centered

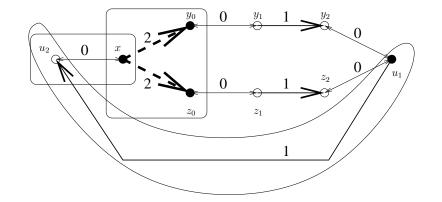


Figure 3: All edges have their cost written: thinnest edges have cost 0, medium thick have cost 1, and thickest edges have cost 2. Arrows indicate the optimum power assignment solution. Solid edges give the minimum spanning tree, and its vertices of odd degree are dark solid and form the set R. An example of a hypergraph T-join for R is given by the hyperedges represented by the three rounded shapes.

at vertices of H that are also hyperedges  $e \in M'$ , with power w(e). One can check that indeed any connected component of the hypergraph  $(V_K, M)$  has an even number of vertices of R.

**Theorem 1** For K the hypergraph obtained from strongly connected graph OPT and for arbitrary  $R \subseteq V$ , there is a T-join in K with weight at most (7/8)w(K).

**Proof.** Recall that  $(S_v)_{v \in V}$  are the directed stars of OPT, with  $S_v$  centered at v, and A is the collection of these stars.  $K = (V_K, E_K)$  is the (undirected) hypergraph defined by  $V_K = V$  and  $E_K = \{V(S) \mid S \in A\}$ . The weight of an hyperedge is the power of the corresponding directed star. For technical reasons reset in OPT costs as follows:  $c(e) := p(S_v)$  if e has tail v. This does not change powers or weights, since for any v,  $p_{OPT}(S_v)$  does not change.

We do the following ear-decomposition of OPT (see Figure 4 for an illustration): start with one arbitrary directed cycle (graph)  $H_1$  inside OPT. We will construct strongly connected  $H_{i+1}$  out of  $H_i$ , stopping only when  $V(H_i) = V$ , as follows: Since OPT is strongly connected, there exist  $x_i \in V(H_i)$  such that  $V(S_{x_i})$  contains vertices not in  $H_i$ . Let  $\tilde{S}_i$  be the maximal substar of  $S_{x_i}$ whose leafs are not in  $H_i$ . Let  $u_1, u_2, \ldots, u_{k_i}$  be the vertices of  $V(\tilde{S}_i) \setminus \{x_i\}$ . For j = 1 to  $k_i$ , find a minimal path  $P_j^i$  in OPT from  $u_j$  to either a vertex in  $H_i$  or a vertex on some  $P_q^i$  with q < j. (strong connectivity guarantees the existence of these paths. Intuitively, the nice thing about these paths (and arborescences) is that their power equals their cost.) Add  $\tilde{S}_i$  and  $B_i := \bigcup_j P_j^i$  to  $H_i$  to make  $H_{i+1}$ . Let  $\bar{i}$  be such that  $V(H_{\bar{i}}) = V$ , our last subgraph H.

We have that  $H_i$  is a subgraph of OPT, but not necessarily  $H_i$  is exactly the subgraph of OPT induced by  $V(H_i)$ , as for example some  $u_j$  may have two arcs of OPT going to vertices of  $H_i$ , and only one is included in  $H_{i+1}$ .

Note that a vertex v has outdegree one when it joins its first  $H_i$ ; we call  $e_v$  the unique arc out of v in this  $H_i$ . Also note that a v can appear as an  $x_i$  at most once above (v is not used twice in this role in the ear decomposition). Let  $e_i$  be  $e_{x_i}$  (also depicted in Figure 4). For such an  $x_i$ , let  $\hat{S}_i = \hat{S}_{x_i}$  be the star that contains  $e_i$  and all the arcs of  $\tilde{S}_i$ .

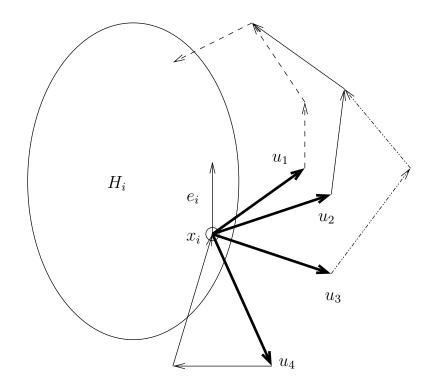


Figure 4: The vertices of  $H_i$ , a strongly connected subgraph, are in the ellipse. We select  $x_i$  to construct  $H_{i+1}$ .  $\tilde{S}_i$  is represented by thick arcs, with four leafs  $u_1, u_2, u_3, u_4$ . The path  $P_1$  is represented by dashed arrows,  $P_2$  and  $P_4$  use solid arrows, while  $P_3$  uses dash-dots arrows. Altogether,  $\tilde{S}_i$  and these paths are added to  $H_i$  to make  $H_{i+1}$ .

Let  $K_i$  be the following hypergraph:  $V(K_i) = V(H_i)$  and  $E(K_i)$  consists of the undirected version of the arcs of  $E(H_i)$  and, if i > 1, the hyperedges  $V(\hat{S}_i)$ , for  $1 \le j \le i - 1$ .

We use recursion to obtain a T-join  $J_{\bar{i}}$  in  $K_{\bar{i}}$ , and an accounting scheme to prove that  $J_{\bar{i}}$  has low weight. When processing  $H_i$ , we are given the set  $R_i$  for which we must find a T-join  $J_i$  in hypergraph  $K_i$ , and costs  $c_i$  on the arcs of  $H_i$ ; for  $H_{\bar{i}}$ ,  $R_{\bar{i}} := R$  and  $c_{\bar{i}} := c$ . Costs  $c_i$  give power function  $p_i$  on  $H_i$ , and as we will see when we set up the recursion,  $c_i$  may differ from c only on arcs  $e_j$  for  $j \ge i$ , for which  $c_i$  may be 0; if the recursion picks one such an arc e of cost  $c_i(e) = 0$ , then the proof (later) makes sure that e will be removed at some point and not used in the final T-join; moreover e does not appear in any star of  $H_i$  with more than e as arcs (as  $e = e_v$  for some v).

Moreover, vertices v of  $V(H_i)$  can each have *debt*:  $debt_i(v)$ , where  $debt_i(v) = 0$  for all  $v \in V$ . For  $K_i$ , the weight  $w_i$  of a hyperedge is obtained with respect to cost function  $c_i$ . If i = 1, we will obtain (later):

$$w(J_1) + \sum_{v \in V(H_1)} debt_1(v) \le (7/8)p_1(H_1)$$
(1)

For i > 1, we will carefully (later) select  $R_{i-1}$  and  $c_{i-1}$ , and recourse. Then we will construct  $J_i$ , a

T-join in  $K_i$  for  $R_i$  from  $J_{i-1}$  and some hyperedges of  $E(K_i) \setminus E(K_{i-1})$  to satisfy:

$$w_i(J_i) - w_{i-1}(J_{i-1}) + \sum_{v \in V(H_i) \setminus V(H_{i-1})} debt_i(v)$$
(2)

$$\leq (7/8) \left( p_i(H_i) - p_{i-1}(H_{i-1}) \right) + \sum_{v \in V(H_{i-1})} \left( debt_{i-1}(v) - debt_i(v) \right).$$
(3)

By summing up Inequations (1) and (3), one gets for all *i*:

$$w_i(J_i) + \sum_{v \in V(H_i)} debt_i(v) \le (7/8)p_i(H_i),$$
(4)

which is exactly what we need once we plug in  $i = \overline{i}$ . What actually happens when we look at the cases later is that only for  $v = x_{i-1}$ , we can have  $debt_{i-1}(v) \neq debt_i(v)$ , so one can also think as " $x_{i-1}$  gets into debt for the operation (reduction in size) and for retiring the debt of those nodes in  $H_i$  but not  $H_{i-1}$ ". This way of thinking is also correct since  $x_i \neq x_j$  for  $i \neq j$ , so  $x_{i-1}$  had no debt before we recourse from  $H_i$  to  $H_{i-1}$ . Thus we think, when doing a recursive step, that we have  $(7/8) (p_i(H_i) - p_{i-1}(H_{i-1}))$  cash in hand, to pay for the operation and retiring the debt of those nodes in  $V(H_i) \setminus V(H_{i-1})$ ; if this cash is not enough we borrow from (or, in other words, place a debt on)  $x_{i-1}$ .

We will prove that our recursion also maintains the following invariant: vertices have no debt except for those  $v \in V(H_i)$  (for some *i*) such that  $v = x_j$  for some  $j \ge i$ , for which

$$debt_i(v) \le \frac{1}{8}c_i(e_v),\tag{5}$$

where recall that  $e_v$  is the unique arc out of v in  $H_i$ , i.e. if  $v = x_i$ ,  $e_v = e_i$ .

If v is added in  $H_{\overline{i}}$  ( $v \in V(H_{\overline{i}}) \setminus V(H_{\overline{i}-1})$ , is in our last "ear"), then as implied before, v carries no debt. Also, recall that  $c_{\overline{i}}(e) = c(e)$  for every arc e. For the maintenance of these invariants and the definition of  $c_i$ , we look at three cases.

In the first case, i = 1, and we deal with  $H_1$ , which is a directed cycle. We have  $(7/8)p_1(H_1) = (7/8)c_1(H_1)$  cash (with outdegree 1 for every vertex, its power equals the cost of the outgoing arc). Exactly as in Christofides' analysis, the arcs of  $H_1$  are partitioned into two T-joins,  $D_0$  and  $F_0$  of  $K_1$ : go around the cycle and change T-join whenever meeting a vertex of  $R_1$ . That is, start with an arc arbitrarily and put it in  $D_0$ , and then process each e arc of C as follows: if the preceding arc  $e' \in D_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in D_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in F_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in F_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in F_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in F_0$  and the tail of e is not in  $R_1$ , put  $e \in D_0$ ; if  $e' \in F_0$  and the tail of e is not in  $R_1$ .

We use for our T-join:  $D_0$  if  $c_1(D_0) \leq c_1(F_0)$ ; otherwise we use  $F_0$ . Our cash pays for the hyperedges we use as well for retiring the debt of all  $v \in V(H_1)$ : indeed this debt does not exceed  $(1/8)(c_1(D_0) + c_1(F_0))$  provided the invariant is maintained. In other words, we get Inequation (1) using Invariant (5).

In the second case, i > 1 and  $p_i(\hat{S}_{i-1}) \ge 2(c_i(B_{i-1}))$ . We pick  $J_i$ , the T-join in  $K_i$  for  $R_i$ , as follows: all the hyperedges of  $K_i$  obtained from  $B_{i-1}$  and all the hyperedges of  $J_{i-1}$ , a recursively-obtained a T-join in  $K_{i-1}$  for  $R_{i-1} \subseteq V(K_{i-1})$ , where  $R_{i-1}$  is constructed as follows: We set  $R_{i-1} = R_i$ , but then we modify it below, keeping in mind we must at the end have  $R_{i-1} \subseteq V(H_{i-1})$  and

 $|R_{i-1}|$  even.  $B_{i-1}$  consists of a collection of vertex-disjoint incoming arborescences  $A_{i-1}^{j}$ , each with its own distinct root  $r_{i-1}^{j}$  in  $V(H_{i-1})$ . If  $A_{i-1}^{j}$  has, including its root, an odd number of vertices of  $R_i$ , remove those vertices from  $R_{i-1}$  and add  $r_{i-1}^{j}$  in  $R_{i-1}$ . If  $A_{i-1}^{j}$  has, including its root, an even number of vertices of  $R_i$ , remove those vertices from  $R_{i-1}$  and  $R_{i-1}$ . Both transformation keep  $R_{i-1}$  even-sized. Also, the final  $R_{i-1}$  is a subset of  $V(H_{i-1})$ .

Moreover, the union of  $B_{i-1}$  and a T-join in  $K_{i-1}$  for this  $R_{i-1}$  is indeed a T-join in  $K_i$  for  $R_i$ , as we argue below. An arbitrary T-cut  $(Q, \overline{Q})$  in  $K_i$  for  $R_i$  is crossed by (one of the arcs of)  $B_{i-1}$  unless, for each index j, Q contains all the arborescence  $A_{i-1}^j$  or  $\overline{Q}$  contains all the arborescence  $A_{i-1}^j$ . If the T-cut  $(Q, \overline{Q})$  has this property, then replacing  $R_i$  by  $R_{i-1}$  does not change the parity of  $Q \cap R_{i-1}$ . Thus  $(Q \cap V(K_{i-1}), \overline{Q} \cap V(K_{i-1}))$  is a T-cut in  $K_{i-1}$  for  $R_{i-1}$ , and is therefore crossed by the recursively constructed T-join in  $K_{i-1}$  for  $R_{i-1}$ .

Also, in this second case, we keep  $c_{i-1}(e) = c_i(e)$  for every  $e \in E(H_{i-1})$ , and in particular  $c_{i-1}(e_{i-1}) = c(e_{i-1})$ , as  $e_{i-1}$  was not considered for a costs modification before this recursive step. We need to pay for the hyperedges obtained from the arcs of  $B_{i-1}$  as well as debt accumulated by the vertices of  $V(H_i) \setminus V(H_{i-1})$ . The total payment is, using Invariant (5), at most  $(9/8)c_i(B_{i-1})$ .

Our cash in hand is  $(7/8) (p_i(H_i) - p_{i-1}(H_{i-1})) = (7/8)c_i(B_{i-1})$ . We also put on  $x_{i-1}$  a debt of  $(1/8)c(e_{i-1})$  (thus satisfying Invariant (5)), and use this amount for the payment.

Using the fact that in this (second) case,  $p_i(\hat{S}_{i-1}) \ge 2(c_i(B_{i-1}))$ , and that  $p_i(\hat{S}_{i-1}) = c_{i-1}(e_{i-1}) = c(e_{i-1})$  (the first equality follows from the fact that we reset the costs such that all the arcs leaving a vertex have the same cost c), we can immediately verify that that the cash in hand plus the one taken as a loan from the debt on  $x_{i-1}$  is enough to do the payment. Precisely, we verified that:

$$\frac{9}{8}c_i(B_{i-1}) \le \frac{7}{8}c_i(B_{i-1}) + \frac{1}{8}c(e_{i-1}),\tag{6}$$

or in other words Inequation (3) holds.

In the **third case**, i > 1 and  $p_i(\hat{S}_{i-1}) < 2c_i(B_{i-1})$ . In this case we plan to use  $\hat{S}_{i-1}$  as well as some arcs from  $B_{i-1}$  in addition to a T-join in  $K_{i-1}$  for carefully defined  $R_{i-1}$  and cost  $c_{i-1}$ , as described below. We set  $R_{i-1} = R_i$ , but then we modify it below, keeping in mind we must at the end have  $R_{i-1} \subseteq V(H_{i-1})$  and  $|R_{i-1}|$  even. Consider, one by one the vertex-disjoint arborescences in  $B_{i-1}$ , that is, for each j,  $A_{i-1}^j$ , and let  $R_{i-1}^j = R_i \cap V(A_{i-1}^j)$ . Make  $A_{i-1}^j$  undirected, and add to it, if  $r_{i-1}^j \neq x_{i-1}$ , the vertex  $x_{i-1}$  and the edge of weight 0:  $r_{i-1}^j x_{i-1}$ . For an edge/arc of  $A_{i-1}^j$ , have its weight equal its cost  $c_i$ . Add to  $A_{i-1}^j$  the undirected version of the arcs of the star  $\tilde{S}_{i-1}$  with head in  $A_{i-1}^j$  (tail is  $x_{i-1}$  for all such arcs), each with weight 0.

This way we create a two-edge-connected undirected graph  $Z_{i-1}^j$ . Indeed, there are two edgedisjoint paths between any two vertices of  $Z_{i-1}^j$ , as explained in the remainder of this paragraph. If one vertex is the ancestor of the other in  $A_{i-1}^j$ , one path is in  $A_{i-1}^j$ , and the other goes from the lower of the two vertices to a leaf of  $A_{i-1}^j$  to  $x_{i-1}$  to  $r_{i-1}^j$  to the highest of the two vertices. If none is the ancestor of the other, one path is obtained by going up from both vertices in  $A_{i-1}^j$  until the least common ancestor, the other path by going down to leafs of  $A_{i-1}^j$  and passing through  $x_{i-1}$ .

If  $|R_{i-1}^j|$  is even, let  $\hat{R}_{i-1}^j = R_{i-1}^j$ , else  $\hat{R}_{i-1}^j = R_{i-1}^j \otimes r_{i-1}^j$ . In all cases,  $\hat{R}_{i-1}^j$  is even-sized. There exists a *minimal* T-join  $Y_{i-1}^j$  in  $Z_{i-1}^j$  for  $\hat{R}_{i-1}^j$  of weight at most  $\frac{1}{2}w(E(Z_{i-1}^j))$ . If this  $Y_{i-1}^j$  contains the edge ( of weight 0)  $r_{i-1}^j x_{i-1}$ , then set  $\hat{Y}_{i-1}^j$  be  $Y_{i-1}^j$  without this edge; otherwise  $\hat{Y}_{i-1}^j := Y_{i-1}^j$ . Also

take out of  $\hat{Y}_{i-1}^{j}$  the edges/arcs of  $\tilde{S}_{i-1}$ ; we are left only with the undirected version of some of the arcs of  $A_{i-1}^{j}$ , a subgraph of  $B_{i-1}$ . Also, modify  $R_{i-1}$  as indicated in the four subcases below.

In **Subcase 1**,  $|R_{i-1}^j|$  is even, and  $Y_{i-1}^j$  contains the edge ( of weight 0)  $r_{i-1}^j x_{i-1}$  (so  $x_{i-1} \neq r_j^{i-1}$ ); then set  $R_{i-1} = (R_{i-1} \setminus R_{i-1}^j) \otimes \{x_{i-1}\} \cup \{r_{i-1}^j\}$ . Note that whether  $r_{i-1}^j \in R_{i-1}^j$  or not,  $R_{i-1}$  stays even-sized.

In Subcase 2,  $|R_{i-1}^j|$  is even and  $Y_{i-1}^j$  does not contain the edge ( of weight 0)  $r_{i-1}^j x_{i-1}$  (this is also the case when  $r_{i-1}^j = x_{i-1}$ ); then set  $R_{i-1} = R_{i-1} \setminus R_{i-1}^j$ . Note that  $R_{i-1}$  stays even-sized.

In Subcase 3,  $|R_{i-1}^j|$  is odd and  $Y_{i-1}^j$  contains the edge ( of weight 0)  $r_{i-1}^j x_{i-1}$  (so  $x_{i-1} \neq r_j^{i-1}$ ); then set  $R_{i-1} = (R_{i-1} \setminus R_{i-1}^j) \otimes \{x_{i-1}\}$ . Note that whether  $r_{i-1}^j \in R_{i-1}^j$  or not,  $R_{i-1}$  stays even-sized. In Subcase 4,  $|R_{i-1}^j|$  is odd and  $Y_j^{i-1}$  does not contain the edge ( of weight 0)  $r_{i-1}^j x_{i-1}$ , (this is also

the case when  $r_{i-1}^j = x_{i-1}$ ; then set  $R_{i-1} = (R_{i-1} \setminus R_{i-1}^j) \cup \{r_{i-1}^j\}$ . Note that whether  $r_{i-1}^j \in R_{i-1}^j$  or not,  $R_{i-1}$  stays even-sized.

In all four (sub)cases, the vertices of  $A_{i-1}^j$  other than  $r_{i-1}^j$ , are removed from  $R_{i-1}$ . Thus the final  $R_{i-1} \subseteq V(H_{i-1})$ . After we finish this for all j ( $x_{i-1}$  may enter and exit  $R_{i-1}$  several times), set  $c_{i-1}(e_{i-1}) = 0$  (for all the other arcs e, keep  $c_{i-1}(e) = c_i(e)$ ). Thus the final  $R_{i-1} \subseteq V(H_{i-1})$ .

Recourse in  $K_{i-1}$ , obtaining T-join  $J_{i-1}$ . Now we construct  $J_i$ , our desired (but not proven yet to be one) T-join in  $K_i$  for  $R_i$ , as follows:  $J_i = (J_{i-1} \setminus \{e_{i-1}\}) \cup \{\hat{S}_{i-1}\} \cup (\bigcup_j \hat{Y}_{i-1}^j)$ . That is, we use the whole star of  $x_{i-1}$ , and if recursion uses the arc out of  $x_{i-1}$  of cost  $c_{i-1}$  zero, we give it up (since it is included in the star anyway). Note that in the end, all the arcs selected at artificial (reduced by the procedure) cost 0 are removed and replaced by a bigger star/hyperedge.

We need the following fact, for which we could only find a very long proof by case analysis despite the fact that this fact may be intuitively clear to the reader. Again, it makes sense to delay reading the proof.

**Claim 1** In all cases,  $J_i$  is a T-join in  $K_i$  for  $R_i$ .

**Proof.** If  $e_{i-1} \in J_{i-1}$ , we used  $\hat{S}_{i-1}$  in  $J_i$  instead of  $e_{i-1}$  and  $\tilde{S}_{i-1}$ . However, with hyperedges  $e_{i-1}$  and  $\tilde{S}_{i-1}$  sharing vertex  $x_{i-1}$ , using  $\hat{S}_{i-1}$  is equivalent, for crossing T-cuts, to using  $e_{i-1}$  and  $\tilde{S}_{i-1}$ .

Let us look again at the construction of  $R_{i-1}$ . We started with  $R_{i-1}(0) = R_i$  (please do not confuse  $R_{i-1}(k)$  with  $R_{i-1}^k$ , they are not the same set). We processed one by one the arborescences  $A_{i-1}^j$ , for  $j = 1, 2, \ldots, q$  (for some  $q = q_i$ ), constructing set of edges  $Y_{i-1}^j$ , and  $R_{i-1}(j)$  from  $R_{i-1}(j-1)$ , until  $R_{i-1} = R_{i-1}(q)$  is the subset of  $V(H_{i-1})$  used for the T-join  $J_{i-1}$  in  $K_{i-1}$ .

Thus it is enough to show that  $J_i = J_{i-1} \cup \{\tilde{S}_{i-1}\} \cup \left(\cup_j \hat{Y}_{i-1}^j\right)$  is a T-join in  $K_i$  for  $R_i$  (since, if  $e_{i-1} \notin J_{i-1}$ , we make the proof with  $\tilde{S}_{i-1}$  instead of the larger set  $\hat{S}_{i-1}$  as a hyperedge). Let  $M_l := J_{i-1} \cup \{\tilde{S}_{i-1}\} \cup \left(\cup_{j=q-l+1}^q \hat{Y}_{i-1}^j\right)$  (with  $M_0 := J_{i-1} \cup \{\tilde{S}_{i-1}\}$ ), and note that we need to prove that  $M_q$  is a T-join in  $K_i$  for  $R_i$ . We prove by induction on l that:  $M_l$  is a T-join for  $R_{i-1}(q-l)$  in  $K_i$ . Applying this with l = q yields the claim.

For the base case (l = 0), let  $(Q, \overline{Q})$  be an arbitrary T-cut for  $R_{i-1}(q) = R_{i-1}$ . Then  $(Q \cap V(H_{i-1}), \overline{Q} \cap V(H_{i-1}))$  is a T-cut for  $R_{i-1}$  in  $K_{i-1}$ , and therefore a hyperedge of the T-join  $J_{i-1}$  crosses this T-cut, and it crosses  $(Q, \overline{Q})$  in  $K_i$  as well. Thus  $M_0$  is a T-join in  $K_i$  for  $R_{i-1}(q-0)$ .

For the inductive case, proving for l + 1 assuming it holds for l, we must look at how  $Y_{i-1}^{q-l}$  and  $R_{i-1}(q-l)$  are constructed from  $A_{i-1}^{q-l}$  and  $R_{i-1}(q-l-1)$ . To simplify notation, in the rest of the

proof, let  $x := x_{i-1}$ ,  $r := r_{i-1}^{q-l}$ ,  $Z := Z_{i-1}^{q-l}$ ,  $R := R_{i-1}(q-l-1)$ ,  $R' := R_{i-1}(q-l)$ , and  $Y := Y_{i-1}^{q-l}$ , the minimal T-join in Z for  $\hat{R}_{i-1}^{q-l}$ . To prove below that  $M_{l+1}$  is a T-join for R in  $K_i$ , we use that  $M_l$  is a T-join for R' in  $K_i$ .

To further simplify notation let  $\tilde{S} := \tilde{S}_{i-1}$ ,  $\hat{R} := \hat{R}_{i-1}^{q-l}$ ,  $\tilde{R} := R_{i-1}^{q-l} \setminus \{r\}$ , and  $\hat{Y} := \hat{Y}_{i-1}^{q-l}$ . Note that  $M_{l+1} = M_l \cup \hat{Y}$ , that  $\tilde{R} = R \cap (V(Z) \setminus \{x, r\})$ , that  $\hat{R} = \tilde{R}$  or  $\hat{R} = \tilde{R} \cup \{r\}$  (whichever makes  $|\hat{R}|$  even), and that in all four subcases,  $R' \subseteq (R \cup \{x, r\}) \setminus \tilde{R}$ .

Let  $(Q, \bar{Q})$  be an arbitrary T-cut for R, that is, a partition of  $V_{H_i}$  such that  $|Q \cap R|$  has odd size. We need to find a hyperedge of  $M_{l+1}$  crossing the T-cut. First, we switch Q and  $\bar{Q}$  if necessary such that  $r \in Q$ . If  $R' \cap Q$  is odd,  $M_l$  has a hyperedge crossing  $(Q, \bar{Q})$  and therefore  $M_{l+1}$  also has a hyperedge crossing  $(Q, \bar{Q})$ . So, from now on we assume  $|R' \cap Q|$  is even (and so is  $|R' \cap \bar{Q}|$ ).

We have, unfortunately, 16 cases based on whether  $x \in R$  or not,  $r \in R$  or not, |R| even or not, and Y contains xr or not. One could combine cases, but for checking correctness one needs to split them again. In all cases, we find a hyperedge of  $M_{l+1}$  that crosses  $(Q, \bar{Q})$ : either  $\tilde{S}$  or an edge of  $\hat{Y}$ . To do so, it is enough to find an edge e of Y, other than xr, crossing  $(Q \cap V(Z), \bar{Q} \cap V(Z))$ . Indeed,  $\hat{Y}$  is obtained from Y by removing the edges incident to x (if any), and all such edges other than xrare contained in the hyperedge  $\tilde{S}$ ; so if e is incident to x,  $\tilde{S}$  also crosses  $(Q, \bar{Q})$ . To find e, one reduces the 16 cases to one of the following three arguments:

- **Argument I.** If Y does not contain xr and  $Q \cap \hat{R}$  is odd-sized, then Y, being a T-join for  $\hat{R}$  in Z, has an edge e of Y crossing in Z the cut  $(Q \cap V(Z), \bar{Q} \cap V(Z))$ ; note that  $e \neq xr$  as  $xr \notin Y$ , and we are done.
- **Argument II.** If Y contains  $xr, x \in Q$ , and  $|Q \cap \hat{R}|$  odd, then Y, being a T-join for  $\hat{R}$  in Z, has an edge e of Y crossing in Z the cut  $(Q \cap V(Z), \overline{Q} \cap V(Z))$ ; note that  $e \neq xr$  since both x and r are in Q, and we are done.
- **Argument III.** If Y contains  $xr, x \notin Q$ , and  $|Q \cap \hat{R}|$  even, then we argue as follows. Recall that Y is a minimal T-join in the graph Z for  $\hat{R}$ . Let D be the connected component of (V(Z), Y)containing both x and r, and split D in components  $D_r$  and  $D_x$  by removing the edge rx, which belongs to Y. Then both  $|D_r \cap \hat{R}|$  and  $|D_x \cap \hat{R}|$  are odd (or else,  $Y \setminus \{xr\}$  would have an even number of elements of  $\hat{R}$  in each connected component, and thus would also be a T-join for  $\hat{R}$ , contradicting the minimality of Y).

If  $D_r \not\subseteq Q$ , using that  $r \in Q \cap D_r$ , we get that an edge of Y other than xr crosses  $(Q \cap V(Z), \overline{Q} \cap V(Z))$ , since  $D_r$  is connected and contains only edges of  $Y \setminus \{xr\}$ . Now assume that Q contains  $D_r$ . Using that  $|D_r \cap \hat{R}|$  is odd, we get that  $\hat{R} \cap ((Q \cap V(Z)) \setminus D_r)$  is an odd-sized subset of  $\hat{R}$ , and thus Y, being a T-join for  $\hat{R}$  in Z, has an edge e crossing from  $((Q \cap V(Z)) \setminus D_r)$ . e cannot have x and r as endpoints, as neither of x, r is in  $((Q \cap V(Z)) \setminus D_r)$  (recall that  $x \notin Q$  and  $r \in D_r$ ). The endpoint of e not in  $((Q \cap V(Z)) \setminus D_r)$  cannot be in  $D_r$  by the maximality of the connected component  $D_r$ ; indeed the only edge of Y crossing  $D_r$  is xr and we ruled out e = xr. Therefore  $Y \setminus \{xr\}$  has the edge e crossing  $(Q \cap V(Z)), \overline{Q} \cap V(Z))$ , and we are done.

Here are the 16 cases:

- x ∈ R, r ∈ R, |R
   | even, Y contains xr (so x ≠ r). Then R
   = R

   , we are in Subcase 3, and R' = R \ V(Z). If x ∈ Q, then in order to have |Q ∩ R| odd, we must have |Q ∩ R

   odd (as we assumed |R' ∩ Q| is even, and x, r ∈ R ∩ Q). Argument II applies. If x ∉ Q, then in order to have |Q ∩ R| odd, we must have |Q ∩ R

   is even, and r ∈ Q, x ∉ Q). Argument III applies
- x ∈ R, r ∈ R, |R| even, Y does not contain xr. It does not matter below whether x ∈ Q (x = r is possible) or x ∉ Q (so x ≠ r). Then R̂ = R̂, we are in Subcase 4, and R' = R \ R̂. In order to have |Q ∩ R| odd, we must have |Q ∩ R̂| odd (as we assumed |R' ∩ Q| is even). Argument I applies.
- 3. x ∈ R, r ∈ R, |R̃| odd, Y contains xr (so x ≠ r). Then R̂ = R̃ ∪ {r}, we are in Subcase 1, and R' = (R \ V(Z)) ∪ {r} = (R \ R̃) \ {x}. If x ∈ Q, then in order to have |Q ∩ R| odd, we must have |Q ∩ R̃| even (as we assumed |R' ∩ Q| is even, and x ∈ Q), and therefore |Q ∩ R̃| is odd. Argument II applies. If x ∉ Q, then in order to have |Q ∩ R̂| odd, we must have |Q ∩ R̃| odd, we assumed |R' ∩ Q| is even, and x ∉ Q); thus |Q ∩ R̂| is even. Argument III applies.
- 4. x ∈ R, r ∈ R, |R̃| odd, Y does not contain xr. It does not matter below whether x ∈ Q (x = r is possible) or x ∉ Q (so x ≠ r). Then R̂ = R̃ ∪ {r}, we are in Subcase 2, and R' = R \ R̂. In order to have |Q ∩ R| odd, we must have |Q ∩ R̂| odd (as we assumed |R' ∩ Q| is even). Argument I applies.
- 5.  $x \in R, r \notin R$  (so  $x \neq r$ ),  $|\tilde{R}|$  even, Y contains xr. Then  $\hat{R} = \tilde{R}$ , we are in Subcase 1, and  $R' = (R \setminus V(Z)) \cup \{r\} = ((R \setminus \tilde{R}) \setminus \{x\}) \cup \{r\}$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \hat{R}|$  odd (as we assumed  $|R' \cap Q|$  is even, and using that  $x \in Q \cap R$  and  $r \in (Q \cap R') \setminus R$ ). Argument II applies. If  $x \notin Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \hat{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $x \notin Q$  and  $r \in (Q \cap R') \setminus R$ ). Argument II applies.
- 6. x ∈ R, r ∉ R (so x ≠ r), |R| even, Y does not contains xr. It does not matter below whether x ∈ Q or x ∉ Q. Then R̂ = R̂, we are in Subcase 2, and R' = R \ R̂. In order to have |Q ∩ R| odd, we must have |Q ∩ R̂| odd (as we assumed |R' ∩ Q| is even). Argument I applies.
- 7.  $x \in R, r \notin R$  (so  $x \neq r$ ),  $|\tilde{R}|$  odd, Y contains xr. Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 3, and  $R' = (R \setminus V(Z))$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and also  $x \in Q \cap R$  and  $r \notin (R \cup R')$ ). With  $r \in Q$ , we get  $|Q \cap \hat{R}|$  odd and Argument II applies. If  $x \notin Q$ , in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \hat{R}|$  odd (as we assumed  $|R' \cap Q|$  is even, and using that  $x \notin Q$  and  $r \notin (R \cup R')$ ). Then  $|Q \cap \hat{R}|$  is even, and Argument III applies.
- 8.  $x \in R, r \notin R$  (so  $x \neq r$ ),  $|\hat{R}|$  odd, Y does not contains xr. It does not matter below whether  $x \in Q$  or  $x \notin Q$ . Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 4, and  $R' = (R \setminus \tilde{R}) \cup \{r\}$ . In order

to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $r \in R' \setminus R$ ). As  $(Q \cap \hat{R}) = (Q \cap \tilde{R}) \cup \{r\}, |Q \cap \hat{R}|$  is odd, an Argument I applies.

- 9.  $x \notin R, r \in R$  (so  $x \neq r$ ),  $|\tilde{R}|$  even, Y contains xr. Then  $\hat{R} = \tilde{R}$ , we are in Subcase 3, and  $R' = (R \setminus V(Z)) \cup \{x\}$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  odd (as we assumed  $|R' \cap Q|$  is even, and using that  $r \in R \setminus R'$  and  $x \in (R' \cap Q) \setminus R$ ). Therefore  $|Q \cap \hat{R}|$  is odd and Argument II applies. If  $x \notin Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $r \in R \setminus R'$  and  $x \in R' \setminus Q$ ). Therefore  $|Q \cap \hat{R}|$  is even,  $x \notin Q$ , and Argument III applies.
- 10.  $x \notin R, r \in R$  (so  $x \neq r$ ),  $|\tilde{R}|$  even, Y does not contains xr. It does not matter below whether  $x \in Q$  or  $x \notin Q$ . Then  $\hat{R} = \tilde{R}$ , we are in Subcase 4, and  $R' = (R \setminus V(Z)) \cup \{r\} = R \setminus \tilde{R}$ . In order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  odd (as we assumed  $|R' \cap Q|$  is even). With  $Q \cap \hat{R} = Q \cap \tilde{R}$ , Argument I applies.
- 11.  $x \notin R, r \in R$  (so  $x \neq r$ ),  $|\tilde{R}|$  odd, Y contains xr. Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 1, and  $R' = (R \setminus V(Z)) \cup \{r, x\}$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $x \in Q \setminus R$ ) and thus  $|Q \cap \hat{R}|$  odd. Argument II applies. If  $x \notin Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  odd (as we assumed  $|R' \cap Q|$  is even, and using that  $x \notin Q \cap R$ ), and therefore  $|Q \cap \hat{R}|$  even. Argument III applies.
- 12.  $x \notin R, r \in R$  (so  $x \neq r$ ),  $|\tilde{R}|$  odd, Y does not contains xr. It does not matter below whether  $x \in Q$  or  $x \notin Q$ . Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 2, and  $R' = R \setminus \hat{R}$ . In order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \hat{R}|$  odd (as we assumed  $|R' \cap Q|$  is even). Argument I applies.
- 13.  $x \notin R, r \notin R, |\tilde{R}|$  even, Y contains xr (so  $x \neq r$ ). Then  $\hat{R} = \tilde{R}$ , we are in Subcase 1, and  $R' = (R \setminus V(Z)) \cup \{r, x\}$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  odd (as we assumed  $|R' \cap Q|$  is even, and using that  $\{r, x\} \subseteq ((R' \setminus R) \cap Q))$ ). With  $\hat{R} = \tilde{R}$  and  $|Q \cap \tilde{R}|$  odd, Argument II applies. If  $x \notin Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $r \in (R' \setminus R) \cap Q$ ) and  $x \in (R' \setminus R) \setminus Q$ ). With  $\hat{R} = \tilde{R}$  and  $|Q \cap \tilde{R}|$  even and  $x \notin Q$ , Argument III applies.
- 14. x ∉ R, r ∉ R, |R| even, Y does not contain xr. It does not matter below whether x ∈ Q (x = r is possible) or x ∉ Q (so x ≠ r). Then R = R, we are in Subcase 2, and R' = R \ R. In order to have |Q ∩ R| odd, we must have |Q ∩ R| odd (as we assumed |R' ∩ Q| is even). Argument I applies.
- 15.  $x \notin R, r \notin R, |\tilde{R}| \text{ odd}, Y \text{ contains } xr \text{ (so } x \neq r\text{)}$ . Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 3, and  $R' = (R \setminus V(Z)) \cup \{x\} = (R \setminus \tilde{R}) \cup \{x\}$ . If  $x \in Q$ , then in order to have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $x \in ((R' \setminus R) \cap Q)$ ). Then  $|Q \cap \hat{R}|$  is odd (as  $r \in Q$ ), and Argument II applies. If  $x \notin Q$ , in order to have  $|Q \cap R|$  odd, we

must have  $|Q \cap \tilde{R}|$  odd, (as we assumed  $|R' \cap Q|$  is even, and using that  $x \in ((R' \setminus R) \setminus Q)$ ). Then  $|Q \cap \hat{R}|$  is even (as  $r \in Q$ ), and Argument III applies.

16.  $x \notin R, r \notin R, |\tilde{R}|$  odd, Y does not contain xr. It does not matter below whether  $x \in Q$ (x = r is possible) or  $x \notin Q$  (so  $x \neq r$ ). Then  $\hat{R} = \tilde{R} \cup \{r\}$ , we are in Subcase 4, and  $R' = (R \setminus \tilde{R}) \cup \{r\}$ . To have  $|Q \cap R|$  odd, we must have  $|Q \cap \tilde{R}|$  even (as we assumed  $|R' \cap Q|$  is even, and using that  $r \in Q \cap (R' \setminus R)$ ). Then  $|Q \cap \hat{R}|$  is odd, and Argument I applies.

This was the last case of the claim.

We resume the proof of Theorem 1 (we are in the third case). We must pay for  $w_i(J_i) - w_{i-1}(J_{i-1})$ , which is at most  $\frac{1}{2}c_i(B_{i-1}) + p_i(\hat{S}_{i-1})$ , since  $c_i(\hat{Y}_{i-1}^j) \leq \frac{1}{2}c_i(A_{i-1}^j)$  for all j. We must also retire debt accumulated by the vertices  $v \in V(H_i) \setminus V(H_{i-1})$  (recall that each such vertex has  $e_v \in B_{i-1}$ ), which is at most  $(1/8)c_i(B_{i-1})$ . Keep in mind that  $x_{i-1}$  does not contribute by going in debt (the only way to accumulate debt is the second case); here  $c_{i-1}(e_{i-1}) = 0$  and  $debt_{i-1}(x_{i-1}) = 0$ , maintaining Invariant (5), as indeed for any vertex  $v \in V(H_{i-1}) \setminus \{x_{i-1}\}$ ,  $debt_{i-1}(v) = debt_i(v)$  and  $c_{i-1}(e_v) = c_i(e_v)$ .

The cash in hand is  $(7/8)(p_i(H_i) - p_{i-1}(H_{i-1})) = (7/8)(c_i(B_{i-1}) + p(\hat{S}_{i-1}))$ , keeping in mind that  $c_i(e_{i-1}) = c(e_{i-1}) = p(\hat{S}_{i-1}) = p_i(\hat{S}_{i-1})$  and  $c_{i-1}(e_{i-1}) = 0$ . Therefore to maintain the debt invariant we need the inequality:

$$\frac{1}{2}c_i(B_{i-1}) + p_i(\hat{S}_{i-1}) + \frac{1}{8}c_i(B_{i-1}) \le (7/8)\left(c_i(B_{i-1}) + p(\hat{S}_{i-1})\right),\tag{7}$$

which is true since in this (third) case  $p_i(\hat{S}_{i-1}) < 2c_i(B_{i-1})$ . In other words, Inequality (3) holds.

This is the last case of the recursion, finishing the proof of Theorem 1. ■

### 4 Conclusions

We proved that for the class of edge-weighted undirected hypergraph that admit a strongly connected orientation, the T-ratio (the supremum, over a class of hypergraphs and all possible R, of the minimum weight T-join divided by the weight of the hypergraph) is at most 7/8.

A series of examples where the ratio approaches 2/3 is given in the appendix. The appendix also sketches the following: hypergraphs that admit a strongly connected orientation have a T-ratio of at most 4/5, and for the class of two-edge-connected hypergraphs, the supremum of T-ratios converges to 1 as the number of hyperedges increases.

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### 5 Appendix

#### 5.1 Lower bound for the T-ratio

For a series of examples where the T-ratio approaches 2/3, start (see Figure 5 for an illustration) with a complete binary tree of height h with nodes i,  $1 \le i \le 2^{h+1} - 1$  (as in a binary heap, the children of node j, with  $j < 2^h$ , are 2j and 2j + 1).

Replace each node *i* with nodes  $y_i, z_i$ , connected by an edge of cost 0, and, for  $i < 2^h$ , add edges of cost 2:  $z_i y_{2i}$  and  $z_i y_{2i+1}$ . Call the resulting tree *B*; assume it is rooted at  $y_1$ , and for each  $y_i$ , let  $B_i$  be (the vertex set of) the subtree of *B* consisting of  $y_i$  and all its descendants. Add vertex *u* and edge of cost 1  $uy_1$ . Add another  $2^h$  vertices  $x_1, \ldots, x_{2^h}$  and edges of cost 1:  $x_i z_{i+2^h-1}$  and edges of cost 0:  $x_i u$ .

For this MIN-POWER STRONG CONNECTIVITY instance, OPT has, for  $i = 1, 2, ..., 2^{h} - 1$ ,  $p(z_i) = 2$ , for  $i = 2^{h}, ..., 2^{h+1} - 1$ ,  $p(z_i) = 1$ , and p(u) = 1, with all the other vertices having power 0. The total power of this solution is  $2 \cdot (2^{h} - 1) + 2^{h} + 1 = 3 \cdot 2^{h} - 1$ .

As an aside, we prove that OPT is indeed an optimum feasible solution, as its power is only 2 more than the cost of minimum spanning tree cost, described below, and one has, for every input graph and any feasible solution FS, that given the most costly edge e of the MST (the minimum spanning tree of G),  $p(FS) \ge c(MST) + c(e)$ , as proved in the remaining of this paragraph. Let U, W be the partition of the vertex set defined by MST after removing e. Since FS is strongly connected, there must exist an arc a = (u, w) in E(FS) with  $u \in U$  and  $w \in W$  and such e is not the undirected version of a. If  $p_{E(FS)}(u) < c(e)$  then  $c(a) \le p_{E(FS)}(u) < c(e)$ , so the tree  $T' = (MST \setminus e) \cup a$ is cheaper than MST, a contradiction. Hence  $p_{E(FS)}(u) \ge c(e)$ . Using u as the root of a spanning

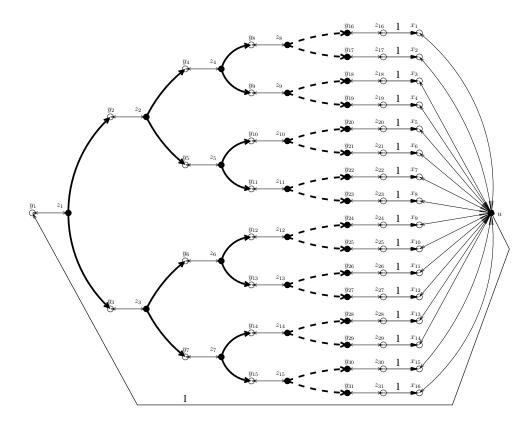


Figure 5: Thinnest edges have cost 0, medium thick have their cost written: 1, and thickest edges have cost 2. Arrows indicate the optimum power assignment solution. Solid edges give the minimum spanning tree, and its vertices of odd degree are solid and form the set R. Here h = 4.

in-arborescence inside FS, we obtain that for all  $v \in V \setminus \{u\}$ ,  $p_{FS}(v)$  is at least the cost of the arc connecting v to its parent in this incoming arborescence, whose total cost is at least the cost of an undirected minimum spanning tree of G. Thus  $\sum_{v \in V \setminus \{u\}} p_{E(FS)}(v) \ge c(MST)$ . One minimum spanning tree T includes all the edges of cost 1 and 0, as well as the edges of cost

One minimum spanning tree T includes all the edges of cost 1 and 0, as well as the edges of cost 2 except the last level of the complete binary tree, that is T contains the edges  $z_i y_{2i}$  and  $z_i y_{2i+1}$ , for  $i < 2^{h-1}$ . We choose R to be the set of vertices of odd degree of the MST (this set was important in MIN-POWER STRONG CONNECTIVITY algorithms). Here, R consists of  $u, z_i$ , for  $i < 2^h$ , and  $y_i$ , for  $2^h < i < 2^{h+1}$ .

Now we show that every T-join M for R has weight at least  $2(2^h - 1)$ . Assume that for some  $i 1 \le i < 2^h$ , M does not use the star with power 2 rooted at  $z_i$  (or else, we are done). Look at the subtrees  $B = B_{2i}$  and  $B' = B_{2i+1}$ , each having an odd number of vertices of R. Now apply to B the following "pruning" procedure: if some  $z_j \in B$  and  $j < 2^h$  also is such that M does not use the star with power 2 rooted at  $z_j$ , then remove from B the vertices of  $(B_j \setminus \{y_j, z_j\})$ ; note that B continues to have an odd number of vertices of R, since  $B_j$  has an odd number of vertices of R, and therefore  $B_j \setminus \{y_j, z_j\}$  had an even number of vertices of R. After doing this for all possible j, B still has an odd number of vertices of R and thus a hyperedge of K must have an endpoint in B and one outside - this cannot be the star rooted at  $z_i$  or some pruned  $z_j$  (it must be the edge  $z_k x_{k-2^h+1}$  for some k with

 $z_k$  descendant of *i* in *B*), and this hyperedge must have weight at least 1. Similarly, after pruning, another hyperedge of weight at least 1 is obtained crossing *B'*; associate these two hyperedges to  $z_i$ . Notice that for  $j \neq i$ , we cannot associate the same hyperedge to both  $z_i$  and  $z_j$  since such an (hyper)edge  $z_k x_{k-2^{h+1}}$  will have  $z_k$  as descendant in *B* of both  $z_i$  and  $z_j$  - but then pruning will make sure that the higher (in *B*) of  $z_i$  and  $z_j$  cannot use  $z_k x_{k-2^{h+1}}$ .

Thus whenever M does not use the star with power 2 rooted at  $z_i$ , for some  $1 \le i < 2^h$ , it must use two (hyper)edges of weight 1, not shared with another i. We conclude that indeed  $w(M) \ge 2(2^h - 1) \ge (2/3 - \epsilon)opt$ . Note that this 2/3 lower bound holds for the T-ratio in hypergraphs that admit strongly connected orientations.

#### **5.2 Upper bound on the T-ratio**

**Theorem 2** There exists a collection of stars  $\mathcal{B}$  with  $f(\mathcal{B}) = c(T)$  and  $w(\mathcal{B}) \le (4/5)opt$ , where opt is the power of the optimum solution.

**Proof sketch.** The proof is as in Theorem 1 before the charging/accounting scheme. However, we allow debt  $debt_i(v) \leq (1/5)c_i(e_v)$  instead of  $(1/8)c_i(e_v)$ , and we recourse in a similar but more complicated way.

The base case needs to pay  $(1/2)c_1(H_1) + (1/5)c_1(H_1)$  for the T-join  $J_1$  and retiring the debt of all vertices, using cash of  $(4/5)p_1(H_1)$ , which is enough.

For the recursion, as before, we have  $H_i$ , and follow the third case of the proof of Theorem 1. We construct  $Z_{i-1}^j$  as there, but then instead of settling for one  $Y_{i-1}^j$  of weight at most  $\frac{1}{2}w(Z_{i-1}^j)$ , find (next paragraph) two T-joins  $\tilde{Y}_{i-1}^j$  and  $\bar{Y}_{i-1}^j$  such that  $\tilde{Y}_{i-1}^j$  contains  $r_{i-1}^j x_{i-1}$  (assuming this edge exists, i.e.  $r_{i-1}^j \neq x_{i-1}$ ) and  $\bar{Y}_{i-1}^j$  does not contain  $r_{i-1}^j x_{i-1}$  and such that  $w(\bar{Y}_{i-1}^j) + w(\tilde{Y}_{i-1}^j) \leq w(Z_{i-1}^j)$ ; this is indeed possible as argued below.

If the edge  $r_{i-1}^j x_{i-1}$  does not exist (that is, if  $r_{i-1}^j = x_{i-1}$ ) then set  $\bar{Y}_{i-1}^j = \tilde{Y}_{i-1}^j = Y_{i-1}^j$ , where  $Y_{i-1}^j$  comes from the proof of Theorem 1. Otherwise, do an ear decomposition of  $Z_{i-1}^j$  with the first cycle containing the edge  $r_{i-1}^j x_{i-1}$ . For every ear other than the first cycle, traverse it changing sides each time you meet a vertex of  $\hat{R}_{i-1}^j$  - then pick the cheapest of the two edge sets. Set up recursion R - like in the Theorem 1, but simpler; we pay half of the cost reduction when we recourse. Finally, in the last cycle, partition it into two sets of edges as in the base case of Theorem 1, making sure the edge  $r_{i-1}^j x_{i-1}$  is in  $\tilde{Y}_{i-1}^j$  and not  $\bar{Y}_{i-1}^j$ .

Let  $B' = \bigcup_j \bar{Y}_{i-1}^j$  and  $B'' = \tilde{Y}_{i-1}^j$ ; thus  $w(B') + w(B'') \le c_i(B_i)$ . Edges of B' and B'' come from either arcs of  $B_{i-1}$  or arcs of  $\tilde{S}_{i-1}$  or are of type  $r_{i-1}^j x_{i-1}$  for some j, with all edges of this later type in B''. Let  $\bar{B}'$  be the arcs of  $B_{i-1}$  which give rise to edges of B', and  $\bar{B}''$  be the arcs of  $B_{i-1}$  which give rise to edges of B''. We do not have that  $\bar{B}''$  and  $\bar{B}'$  are disjoint but we do have

$$c_i(\bar{B}') + c_i(\bar{B}'') \le c_i(B_{i-1}).$$
 (8)

In a first case,  $p_i(\hat{S}_{i-1}) \leq c_i(\bar{B}'')$ . Then we proceed as in the third case of Theorem 1. The cash in hand is  $(4/5) \left( p_i(\hat{S}_{i-1}) + c_i(B_{i-1}) \right)$ . We use it to pay  $p_i(\hat{S}_{i-1}) + \min \left( c_i(\bar{B}'), c_i(\bar{B}'') \right)$ , the cost of upgrading  $J_{i-1}$  to  $J_i$ , and another  $(1/5)c_i(B_{i-1})$  to pay for retiring the debt of vertices in

 $V(H_i) \setminus v(H_{i-1})$ . Thus to maintain the credit invariant it will be enough if

$$\frac{3}{5}c_i(B_{i-1}) \ge \frac{1}{5}c_i(\bar{B}'') + \min\left(c_i(\bar{B}'), c_i(\bar{B}'')\right),\tag{9}$$

where we used  $p_i(\hat{S}_{i-1}) \leq c_i(\bar{B}'')$ . Then, if  $c_i(B'') \leq c_i(\bar{B}')$ , then the inequality above becomes  $\frac{3}{5}c_i(B_{i-1}) \geq \frac{1}{5}c_i(\bar{B}'') + c_i(\bar{B}'')$ , which is indeed true in this subcase, using Inequation (8). If  $c_i(\bar{B}'') > c_i(\bar{B}')$ , then Inequality (9) becomes  $\frac{3}{5}c_i(B_{i-1}) \geq \frac{1}{5}c_i(\bar{B}'') + c_i(\bar{B}')$ , which is, using Inequation (8), true in this second subcase.

So from now we assume  $p_i(\hat{S}_{i-1}) > c_i(B'')$ . Also, if

$$\frac{3}{5}c_i(B_{i-1}) \ge \frac{1}{5}p_i(\hat{S}_{i-1}) + c_i(B'') \tag{10}$$

then we proceed as above, and the credit invariant is maintained.

So from now on, Inequality (10) does not hold, and  $p_i(\hat{S}_{i-1}) > c_i(\bar{B}'')$ . Set  $c_{i-1}(e_{i-1}) = c_i(e_{i-1}) - c_i(B'')$ ; recall that  $c_i(e_{i-1}) = p_i(\hat{S}_{i-1})$ . Set  $R_{i-1}$  as in the third case in the proof of Theorem 1 using for each j,  $\bar{Y}_{i-1}^j$  instead of  $Y_{i-1}^j$ . We are either in Subcase 2 (with  $R_{i-1}^j$  even-sized) or Subcase 4 (when on can check that  $r_{i-1}^j$  is in the final  $R_{i-1}$ ). It is important to observe that  $R_{i-1}$  is reset the same way as in the second case of the proof of Theorem 1. We recourse in  $H_{i-1}$  with cost  $c_{i-1}$ , obtaining T-join  $J_{i-1}$  in  $K_{i-1}$  for  $R_{i-1}$ . If  $J_{i-1}$  does not contain  $e_{i-1}$ , we set  $J_i = J_{i-1} \cup B_{i-1}$ , which is indeed a T-join in  $K_i$  for  $R_i$  as argued in the second case of the proof of Theorem 1. Otherwise,  $J_{i-1}$  contains  $e_{i-1}$ , we set  $J_i = J_{i-1} \setminus \{e_{i-1}\} \cup \{\hat{S}_{i-1}\} \cup \bar{B}'$ , which is indeed a T-join in  $K_i$  for  $R_i$  as argued in the second case of the proof of Theorem 1. Note that in the end, all the arcs selected at artificial (reduced by the procedure) cost are removed and replaced by a bigger star/hyperedge, with its original cost.

In both subcases, we have:

$$w_i(J_i) - w_{i-1}(J_{i-1}) \le c_i(B_{i-1}), \tag{11}$$

using in the second subcase that  $c_{i-1}(e_{i-1}) = c_i(e_{i-1}) - c_i(\bar{B}'') = p_i(\hat{S}_{i-1}) - c_i(\bar{B}'')$  and Inequality (8).

Thus we need to pay at most  $(6/5)c_i(B_{i-1})$  for the operation, including retiring the debt of the vertices of  $V(H_i) \setminus V(H_{i-1})$ . The cash in hand is  $(4/5)(p_i(H_i) - p_{i-1}(H_{i-1})) =$  $(4/5)(c_i(B_{i-1}) + c_i(\bar{B}''))$ . In addition, we put a debt of  $(1/5)c_{i-1}(e_{i-1})$  on  $x_{i-1}$  (previously, debtfree). Thus, to maintain the credit invariant, it is enough that

$$\frac{6}{5}c_i(B_{i-1}) \le \frac{4}{5}\left(c_i(B_{i-1}) + c_i(\bar{B}'')\right) + \frac{1}{5}\left(p_i(\hat{S}_{i-1}) - c_i(\bar{B}'')\right).$$
(12)

This is equivalent to

$$\frac{2}{5}c_i(B_{i-1}) \le \frac{1}{5}p_i(\hat{S}_{i-1}) + \frac{3}{5}c_i(\bar{B}'').$$
(13)

Since Equation 10 does not hold in this subcase, we obtain:

$$\frac{2}{5}c_{i}(B_{i-1}) = \frac{2}{3} \cdot \frac{3}{5}c_{i}(B_{i-1}) 
< \frac{2}{3} \left(\frac{1}{5}p_{i}(\hat{S}_{i-1}) + c_{i}(\bar{B}'')\right) 
\leq \frac{2}{15}p_{i}(\hat{S}_{i-1}) + \frac{2}{3}c_{i}(\bar{B}'') 
< \frac{2}{15}p_{i}(\hat{S}_{i-1}) + \frac{2}{3}c_{i}(\bar{B}'') + \frac{1}{15}p_{i}(\hat{S}_{i-1}) - \frac{1}{15}c_{i}(\bar{B}'') 
= \frac{1}{5}p_{i}(\hat{S}_{i-1}) + \frac{3}{5}c_{i}(\bar{B}''),$$

with the last inequality holding since we are in the case  $p_i(\hat{S}_{i-1}) > c_i(\bar{B}'')$ . Thus there is enough cash to maintain the credit invariant and pay for the operation.

#### 5.3 T-ratio in two-edge-connected hypergraphs

For the class of two-edge-connected hypergraphs, the supremum of T-ratios converges to 1 as the number of hyperedges increases, as we see in the following series of examples. For integer k multiple of 8, have  $\binom{k}{2}$  vertices  $u_{ij}$ , where  $1 \le i < j \le k$ . The k hyperedges are  $e_1, e_2, \ldots, e_k$  (all with weight 1), and  $e_i$  contains, for all j with  $1 \le j < i$ ,  $u_{ji}$ , and for all j with  $i < j \le k$ ,  $u_{ij}$ . This hypergraph is two-edge-connected: two edge-disjoint paths connecting  $u_{12}$  and  $u_{34}$  are  $u_{12}, e_1, u_{13}, e_3, u_{34}$  and  $u_{12}, e_2, u_{24}, e_4, u_{34}$ , two edge-disjoint paths connecting  $u_{12}$  and  $u_{13}$  are  $u_{12}, e_1, u_{13}$  and  $u_{12}, e_2, u_{23}, e_3, u_{13}$ , with all the other pairs of vertices being connected in a cases symmetric to one of these two cases. With R given by V, missing any two hyperedges (say,  $e_i$  and  $e_j$  with i < j) results in an isolated vertex ( $u_{ij}$ ), and then the T-cut with this vertex on one side is not crossed; thus any T-join has size/weight at least k - 1.