

MST Algorithms

MST-KRUSKAL

MST-KRUSKAL(G, w)

1. $A \leftarrow \emptyset$
2. **for** each vertex $v \in V[G]$
3. **do** MAKE-SET(v)
4. sort the edges of E into nondecreasing order by weight w
5. **for** each edge $(u, v) \in E$, taken in nondecreasing order by weight
6. **do if** FIND-SET(u) \neq FIND-SET(v)
7. **then** $A \leftarrow A \cup \{(u, v)\}$
8. UNION(u, v)
9. **return** A

The implementation of Kruskal's algorithm uses a disjoint-set data structure to maintain several disjoint sets of elements. The definitions of disjoint-set operations are listed in page 499 of the text book.

We assume that the input undirected graph $G = (V, E)$ is connected. Thus $|E| \geq |V| - 1$.

Let us first argue that Kruskal's algorithm finishes with a tree. Indeed, Kruskal's algorithm does not create cycles as we never add edges whose endpoints are already connected by the existing edges. And if we assume, for a contradiction, that Kruskal's algorithm stops before it has a connected graph, then there must be a cut (S, \bar{S}) that no selected edge crosses. However, edges crossing (S, \bar{S}) do exist, since otherwise G would not be connected. The lightest of these edges crossing (S, \bar{S}) would have been selected by the algorithm.

The blue rule ensures that the output of Kruskal's algorithm is a subset of a minimum spanning tree, so it is a minimum spanning tree.

Running time: $O(|E| \log |E|)$ - since sorting is $O(|E| \log |E|)$, and all the disjoint set operations are $O(\log |V|)$ each (actually, they are faster).

MST-PRIM

MST-PRIM(G, w, r)

1. **for** each vertex $u \in V[G]$
2. **do** $key[u] \leftarrow \infty$
3. $\pi[u] \leftarrow NIL$
4. $key[r] \leftarrow 0$
5. $Q \leftarrow V[G]$
6. **while** $Q \neq \emptyset$
7. **do** $u \leftarrow \text{EXTRACT-MIN}(Q)$
8. **for** each $v \in \text{Adj}[u]$
9. **do if** $v \in Q$ and $w(u, v) < key[v]$
10. **then** $\pi[v] \leftarrow u$
11. $key[v] \leftarrow w(u, v)$
12. DECREASE-KEY($Q, v, key[v]$)

The implementation of Prim's algorithm uses a min-priority queue Q , containing vertices v using $key[v]$ as the key-value. The performance of Prim's algorithm depends on how we implement Q .

We assume that the input undirected graph $G = (V, E)$ is connected.

It is an invariant of the algorithm that, if $key[v] < \infty$, then the edge from v to $\pi[v]$ is a minimum-weight edge among the edges with one endpoint v and the other among the vertices not in Q .

Moreover, as long as $Q \neq \emptyset$, there always exists a vertex $v \in Q$ with $key[v] < \infty$. Indeed, if we reach the situation that for all $v \in Q$, $key[v] = \infty$, then there is no edge with one endpoint in Q and one outside Q , contradicting the fact that $G = (V, E)$ is connected.

Therefore Prim's algorithm adds $|V| - 1$ edges, connecting all the vertices to r using the edges defined by $\pi[\]$, and thus it outputs a tree.

The blue rule ensures that the output of Prim's algorithm is a subset of a minimum spanning tree, so it is a minimum spanning tree.

Running time: $O(|E| \log |V|)$ - since there are $O(|E|)$ DECREASE-KEY() operations and each can be done in $O(\log |V|)$ with binary heaps. Fibonacci heaps achieve $O(|E|)$ running time for all the DECREASE-KEY() operations, and the running time becomes $O(|V| \log |V| + |E|)$, with $O(\log |V|)$ enough to do each of the $|V|$ EXTRACT-MIN(Q) operations.