Processes & ECF



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Agenda

- Definition & OS responsibilities
- Exceptional control flow
 - synch vs. asynch exceptions
 - exception handling procedure



§Definition & OS responsibilities





a **process** is a *program in execution* programs *describe* what we want done, processes *carry out* what we want done

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a process comprises ...

- { code (program)
 - + runtime data (global, local, dynamic)
 - + PC, SP, FP & other registers }







essential to program execution is *predictable*, *logical control flow*

which requires that nothing disrupt the program mid-execution



easiest way to guarantee this is for a process to "own" the CPU for its entire duration (i.e., no-one else allowed to run) ... downsides?



1. No multitasking!

- 2. A malicious (or badly written) program can "take over" the CPU forever
- 3. An idle process (e.g., waiting for input) will underutilize the CPU



the operating system simulates a *seamless logical control flow* for each active process

many of which can be taking place *concurrently* on one or more CPUs











to implement this, we need

- 1. a mechanism to *periodically interrupt* the current process to run the OS
- 2. an OS module that *schedules* processes
- 3. a routine to help seamlessly *switch* between processes seamlessly



(1) is the *periodic clock interrupt;* (2) is the OS *scheduler;* (3) is the *context switch*







to implement scheduling and carry out context switches, the OS must maintain a wealth of *per-process metadata*



a process comprises ...

- { code (program)
 - + runtime data (global, local, dynamic)
 - + PC, SP, FP & other registers
 - + OS metadata, aka process control block }



a process comprises ...

- { code (program)
 - + runtime data (global, local, dynamic)
 - + PC, SP, FP & other registers
 - + e.g., PID, mem/CPU usage, pending syscalls }



actions that take place outside a process's logical control flow (e.g., context switches), but may still affect its behavior are part of the process's *exceptional* control flow



§Exceptional Control Flow



```
int main() {
    while (1)
    {
        printf("hello world!\n");
    }
    return 0;
}
```



















Two classes of exceptions:

I. synchronousII. asynchronous



I. synchronous exceptions are caused by the *currently executing* instruction (i.e., the one actively running on the CPU)



3 subclasses of synchronous exceptions:

- 1. traps
- 2. faults
- 3. aborts



1. traps

traps are *intentionally* triggered by a process e.g., to invoke a system call



```
char *str = "hello world";
int len = strlen(str);
write(1, str, len);
...
movl len, %edx
movl str, %ecx
movl $1, %ebx
movl $4, %eax # syscall num
int $0x80 # trap instr
```

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return from trap (if it happens) resumes execution at the next instruction

i.e., looks like a function call!



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2. faults

faults are usually *unintentional*, and may be recoverable or irrecoverable

e.g., segmentation fault, protection fault, page fault, div-by-zero



often, return from fault will result in *retrying* the faulting instruction — esp. if the handler "fixes" the problem



3. aborts

aborts are *unintentional* and *irrecoverable* i.e., abort = program/OS termination e.g., memory ECC error



II. asynchronous exceptions are caused by events *external to* the current instruction



```
int main() {
    while (1) {
        printf("hello world!\n");
    }
    return 0;
}
```





hardware initiated asynchronous exceptions are known as *interrupts*



e.g., ctrl-C, ctrl-alt-del, power switch



interrupts are associated with specific processor (hardware) pins

- checked after every CPU cycle
- associated with handler functions via the "interrupt vector"







interrupt procedure (typical)

- save context (for outgoing process)
- load OS
- run handler & scheduler
- load context (for incoming process)
- return





OS (kernel)

















OS (kernel)











switching context to the kernel is potentially *very expensive*

— but the only way to invoke system calls and access $\mathrm{I/O}$



moral (to be reinforced ad nauseum): use system calls (traps) sparingly and as efficiently as possible

