



Power Assignment for k -Connectivity in Wireless Ad Hoc Networks

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Abstract. The problem Min-Power k -Connectivity seeks a power assignment to the nodes in a given wireless ad hoc network such that the produced network topology is k -connected and the total power is the lowest. In this paper, we present several approximation algorithms for this problem. Specifically, we propose a $3k$ -approximation algorithm for any $k \geq 3$, a $(k + 12H(k))$ -approximation algorithm for $k(2k - 1) \leq n$ where n is the network size, a $(k + 2\lceil(k + 1)/2\rceil)$ -approximation algorithm for $2 \leq k \leq 7$, a 6-approximation algorithm for $k = 3$, and a 9-approximation algorithm for $k = 4$.

Keywords: k -connectivity, power assignment, wireless ad hoc sensor networks

1. Introduction

One of the major concerns in ad hoc wireless networks is reducing node power consumption. In fact, nodes are usually powered by batteries of limited capacity. Once the nodes are deployed, it is very difficult or even impossible to recharge or replace their batteries in many application scenarios. Hence, reducing power consumption is often the only way to extend network lifetime. For the purpose of energy conservation, each node can (possibly dynamically) adjust its transmitting power between zero and its maximal transmission

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power. Throughout this paper, we use V to denote the set of networking nodes and $\bar{p}(v)$ to denote the maximal transmission power of node v . In addition, we use $c(uv)$ to represent the power requirement for both node u and node v to establish a bidirectional link between u and v . Then the wireless ad hoc network can be represented by a weighted graph $G = (V, E, c)$ with edges uv whenever $c(uv) \leq \min(\bar{p}(u), \bar{p}(v))$. A power assignment to V is a function $p : V \rightarrow R$ such that $0 \leq p(v) \leq \bar{p}(v)$ for each $v \in V$. The network topology produced by a power assignment is a spanning subgraph of G with edges uv whenever $c(uv) \leq \min(p(u), p(v))$.

Two or more paths in a (di)graph are *independent* if none of them contains an inner vertex of another. A (di)graph is *k-connected* if it contains at least k independent paths between any pair of distinct vertices. By the well-known Menger's theorem, a (di)graph k -connected if and only if the deletion of any set of less than k nodes leaves a connected (di)graph. The connectivity of (di)graph is defined to be the maximum k for which it is k -connected. In this paper, we study the following Min-Power k -Connectivity problem: Find a power assignment with minimal total power to a given set of network nodes which produces a k -connected topology. An alternative description of this problem is as follows: For any subgraph H of G , define $p_H(v) = \max_{uv \in H} c(uv)$ for each $v \in V(H)$ and $p(H) = \sum_{v \in V(H)} p_H(v)$; we call $p(H)$ the *power* (or power cost) of H . Since assigning $p(v) \geq p_H(v)$ is necessary to produce the subgraph H and $p(v) > p_H(v)$ is just wasting power, the Min-Power k -Connectivity problem is equivalent to finding a k -connected spanning subgraph H of G with minimum $p(H)$.

For $k = 1$ or 2 , the Min-Power k -Connectivity problem is NP-hard even with nodes located in a two-dimensional Euclidean plane (see (Blough et al., 2002) for $k = 1$ and (Calinescu and Wan, 2003) $k = 2$). Thus, only polynomial-time approximation algorithms would be expected. It is strongly believed that for any positive integer k , the problem min-power for k -connectivity is NP-hard as well. Various approximation algorithms have been proposed for Min-Power k -Connectivity with different values of k . Table 1 summarizes the best-known approximation ratios published in the literature and the new approximation ratios obtained in this paper. More related works can be found from the cited works listed in Table 1.

Table 1. Best-known approximation ratios and the approximation ratios obtained in this paper. $H(k)$ is the k -th harmonic number.

k	Prior art	This paper
1	5/3 (Calinescu et al., 2002)	
2	4 (Calinescu and Wan, 2003)	
$k \geq 3$	$8(k-1)$ (Hajiaghayi et al., 2003)	$3k$
$k(2k-1) \leq n$		$k + 12H(k)$
$2 \leq k \leq 7$		$k + 2\lceil(k+1)/2\rceil$
3		6
4		9

The remaining of this paper is organized as follows. Section 2 defines relevant terms and notations. Section 3 presents several properties of the power cost. Section 4 gives a generic approach to approximation algorithms for Min-Power k -Connectivity, which leads to a $3k$ -approximation algorithm for any $k \geq 3$, a $(k + 12H(k))$ -approximation algorithm for $k(2k - 1) \leq n$, and a $(k + 2\lceil(k + 1)/2\rceil)$ -approximation algorithm for $2 \leq k \leq 7$. Section 5 presents a 6-approximation algorithm for Min-Power 3-Connectivity. Section 6 presents a 9-approximation algorithm for Min-Power 4-Connectivity.

2. Definitions and notations

Throughout this paper, we use $G = (V, E, c)$ to represent the wireless ad hoc network and assume that G is k -connected. We denote by OPT a min-power k -connected spanning subgraph of G , and by opt the power cost of OPT . For any $H \subseteq G$, we define $c(H) = \sum_{e \in E(H)} c(e)$; we call $c(H)$ the *weight* of H . We use \vec{H} to represent the weighted graph obtained from H by replacing every edge uv of G with two oppositely oriented arcs uv and vu with the same weight as the edge uv in H . We also use $c(\vec{H})$ to denote the weight of \vec{H} .

For any $D \subseteq \vec{G}$, we define $p_D(u) = \max_{uv \in D} c(uv)$ for each $u \in V(D)$ and $p(D) = \sum_{u \in V(D)} p_D(u)$; we call $p(D)$ the *power* of D . We also define $c(D) = \sum_{e \in E(D)} c(e)$; we call $c(D)$ the *weight* of D . The out-degree of a node v in D is denoted by $\deg_D^+(v)$. The maximum out-degree of D , i.e. $\max_{v \in V} \deg_D^+(v)$, is denoted by $\Delta^+(D)$. D is called an *in-branch* if $\Delta^+(D) = 1$. An in-branch is called an *in-arborescence* if exactly one node, referred to as *root*, has zero out-degree. We use \bar{D} to represent the undirected graph obtained from D by ignoring the orientations of the links and then removing multiple edges between any pair of nodes.

For any $1 \leq i \leq k$, let the i -nearest-neighbor subgraph of G is a spanning subgraph of G , denoted by G_i , in which there is an edge between two nodes u and v if and only if either u is one of the i nearest neighbor of v in G , or vice versa.

A (di)graph is said to be k -inconnected to a node r if it contains k independent paths to r from any other vertex. Let r be an arbitrary vertex of D . A min-weight spanning subdigraph of D which is k -inconnected to r , if there is any, can be found in polynomial time by an algorithm of Frank and Tardos (1989). Gabow (1993) has given an implementation of the Frank-Tardos algorithm that runs in time $O(k^2 n^2 m)$ where n and m are the number of vertices and number of arcs respectively of D . A min-weight spanning subdigraph of D which is k -inconnected to r and in which the in-degree of r is exactly k , if there is any, can also be found in polynomial time by applying the Frank-Tardos algorithm to an auxiliary graph (Auletta et al., 1999).

The problem Min-Weight k -Connectivity problem takes as input a k -connected weighted graph G and seek a k -connected spanning subgraph of G with minimum weight. It is NP-hard for any fixed $k \geq 2$. A k -approximation algorithm was given in Kortsarz and Nutov (2000). For $2 \leq k \leq 7$, $\lceil \frac{k+1}{2} \rceil$ -approximation algorithms were developed in Auletta et al. (1999) for $k = 2, 3$, in Dinitz and Nutov (1999) for $k = 4, 5$, and in Kortsarz and Nutov (2000) for $k = 6, 7$. For the case $n \geq k(2k - 1)^1$, a $6H(k)$ -approximation algorithm was devised in Cheriyan et al. (2002), where $H(k)$ is the k -th harmonic number.

Let H be a subgraph of a k -connected graph G . A subgraph F of G is called a k -connectivity augmentation to H if $H \cup F$ is a k -connected spanning subgraph of G . The problem Min-Weight k -Connectivity Augmentation takes as input a k -connected weighted graph G and a subgraph H of G , and seeks a k -connectivity augmentation to H in G with minimum weight. We remark that any ρ -approximation algorithm \mathcal{A} for Min-Weight k -Connectivity problem is also a ρ -approximation algorithm for Min-Weight k -Connectivity Augmentation problem. Indeed, for finding a k -connectivity augmentation to subgraph H of $G = (V, E, c)$, we define a new edge-weight function c' by $c'(e) = 0$ for any edge e of H and $c'(e) = c(e)$ for any other edge e of G . Then we apply \mathcal{A} to the graph (V, E, c') to obtain a k -connected spanning subgraph H' . Then the graph $H' \setminus H$ is a k -connectivity augmentation to H and $c(H' \setminus H) = c'(H' \setminus H)$ is at most ρ times of the minimum weight of a k -connectivity augmentation to H .

For any graph H and any $S \subseteq V(H)$, S is said to be k -connected in H if H contains k independent paths between every pair of distinct vertices of S . Any graph with at least five vertices with minimal degree at least $k \geq 2$ contains a k -connected subset S of four vertices (Mader, 1975). In particular, G_4 contains a 4-connected subsets S of four vertices.

3. Basic properties of power cost

In this section, we present some basic properties of power cost. The next lemma presents a relation between the power and the weight of a directed graph.

Lemma 1. For any $D \subseteq \vec{G}$, $p(D) \leq c(D) \leq \Delta^-(D) \cdot p(D)$.

Proof: Since

$$\begin{aligned} p(D) &= \sum_{u \in V(D)} p_D(u) = \sum_{u \in V(D)} \max_{uv \in E(D)} c(uv) \\ &\leq \sum_{u \in V(D)} \sum_{uv \in E(D)} c(uv) = \sum_{e \in E(D)} c(e) = c(D), \end{aligned}$$

the first inequality holds. Since

$$\begin{aligned} c(D) &= \sum_{e \in E(D)} c(e) = \sum_{u \in V(D)} \sum_{uv \in E(D)} c(uv) \\ &\leq \sum_{u \in V(D)} \deg_D^-(u) \max_{uv \in E(D)} c(uv) \\ &= \sum_{u \in V(D)} \deg_D^-(u) p_D(u) \\ &\leq \Delta^-(D) \sum_{u \in V(D)} p_D(u) = \Delta^-(D) \cdot p(D), \end{aligned}$$

the second inequality holds. □

The inequalities in Lemma 1 are tight. Indeed, if D is an in-branch, then $p(D) = c(D)$; if D is a uniform-weighted out-star, then $c(D) = \Delta^-(D) \cdot p(D)$.

The next lemma presents a relation between the power and the weight of an undirected graph.

Lemma 2. *For any $H \subseteq G$, $p(H) \leq 2c(H)$. If H is a forest, then $p(H) > c(H)$.*

Proof: Since

$$p(H) = p(\vec{H}) \leq c(\vec{H}) = 2c(H),$$

the first inequality holds.

For the second inequality, we first prove that for any tree T , $c(T) < p(T)$. Let v be an arbitrary vertex of T . Orient T to an in-arborescence B rooted at v . Then

$$c(T) = c(B) = p(B) < p(T).$$

Now let T_1, T_2, \dots, T_l be the tree components of H . Then

$$c(H) = c\left(\bigcup_{i=1}^l T_i\right) = \sum_{i=1}^l c(T_i) < \sum_{i=1}^l p(T_i) = p(H).$$

□

Clearly, for any $H \subseteq G$, $p(\vec{H}) = p(H)$ and $c(\vec{H}) = 2c(H)$. The next lemma presents the relations between the weights (respectively, powers) of a directed graph D and its undirected version \bar{D} .

Lemma 3. *For any $D \subseteq \vec{G}$,*

$$\begin{aligned} c(D) &\leq c(\bar{D}) \leq 2c(D), \\ p(D) &\leq p(\bar{D}) \leq (\Delta^-(D) + 1)p(D). \end{aligned}$$

Proof: Clearly, $c(D) \leq c(\bar{D}) \leq 2c(D)$ and $p(D) \leq p(\bar{D})$. In the next, we prove that $p(\bar{D}) \leq (\Delta^-(D) + 1)p(D)$. For each node v , let v' be its farthest incoming neighbor. Then,

$$\begin{aligned} p_{\bar{D}}(v) &= \max\{p_D(v), c(vv')\} \\ &\leq \max\{p_D(v), p_D(v')\} \\ &\leq p_D(v) + p_D(v'). \end{aligned}$$

In order to bound $p(\bar{D})$, we introduce the following charging mechanism: each node v imposes a charge of $p_D(v')$ on v' . Then $p(\bar{D})$ is at most $p(D)$ plus the total charges imposed by all nodes. Since the out-degree of D is $\Delta^-(D)$, each node receives charges from at most $\Delta^-(D)$ other nodes. Thus the total charge imposed on a node u is at most $\Delta^-(D)p_D(u)$. So

the total charges imposed by all nodes is at most $\Delta^-(D)p(D)$. This implies that $p(\bar{D}) \leq (\Delta^-(D) + 1)p(D)$. \square

The inequalities in Lemma 3 are tight. Indeed, if D is a uniform-weighted directed cycle, then $c(D) = c(\bar{D})$ and $p(D) = p(\bar{D})$; if D is a uniform-weighted out-star, then $p(\bar{D}) = (\Delta^-(D) + 1) \cdot p(D)$; if for any pair of point u and v , either both uv and vu are in D , or neither of them is in D , then $c(\bar{D}) = 2c(D)$.

4. k -Connectivity

Let \mathcal{A} be any approximation algorithm for Min-Weight k -Connectivity. We propose the following two-phased approximation algorithm for Min-Power k -Connectivity: Phase 1 constructs the $(k - 1)$ -nearest-neighbor graph G_{k-1} . Phase 2 applies \mathcal{A} to find a k -connectivity augmentation F to G_{k-1} and outputs $G_{k-1} \cup F$. Its approximation ratio is given by the following theorem.

Theorem 4. *Let \mathcal{A} be any ρ -approximation algorithm for Min-Weight k -Connectivity. Let F be the k -connectivity augmentation to G_{k-1} produced by the algorithm \mathcal{A} . Then $G_{k-1} \cup F$ is k -connected and $p(G_{k-1} \cup F) \leq (k + 2\rho) \text{opt}$.*

The proof of Theorem 4 shall be based on the two lemma below. The first lemma gives an upper bound on the power cost of the i -nearest-neighbor graph G_i .

Lemma 5. *For any $1 \leq i \leq k$, $p(G_i) \leq (i + 1) \text{opt}$.*

Proof: Let D_i be the digraph in which there is an arc from u to v if and only if v is one of the i nearest neighbors of u . Then the maximum out-degree of D is exactly i and $G_i = \bar{D}_i$. Since the minimum degree of OPT is at least k , for every node v , $p_{D_i}(v) \leq p_{OPT}(v)$ for every node v . Thus, $p(D_i) \leq p(OPT) = \text{opt}$. By Lemma 3,

$$p(G_i) \leq (i + 1)p(D_i) \leq (i + 1)\text{opt}.$$

\square

The second lemma gives an upper bound on the weight of a minimum-weighted k -connectivity augmentation to a graph with minimum degree at least $k - 1$.

Lemma 6. *Let H be a spanning subgraph of G with minimum degree at least $k - 1$. Then the minimum weight of a k -connectivity augmentation to H is less than opt .*

Proof: Since OPT is k -connected, it contains a k -connected augmentation to H . Let $F \subset OPT$ be a minimum-weighted k -connectivity augmentation to H . We first claim that F is a forest. Assume that F is not a forest. Then F contains a cycle C . Since F has minimum weight, every edge e of F is *critical* in $H \cup F$ with respect to k -connectivity, in other words, $(H \cup F) \setminus e$ is not k -connected. By Mader's theorem on "cycle of critical

edges” (Mader, 1972), C contains a node of degree k in $H \cup F$. On the other hand, every node of C is incident to two edges of C and to at least $k - 1$ edges of H . This means that the degree of every node of C is at least $k + 1$, which is a contradiction. Therefore, F must be a forest. By Lemma 2,

$$c(F) < p(F) \leq p(OPT) = opt.$$

So, the lemma follows. \square

Now we ready to prove Theorem 4. The k -connectivity of $G_{k-1} \cup F$ is trivial since F since k -connectivity augmentation to G_{k-1} . By Lemma 6, $c(F) < \rho \cdot opt$, which implies that $p(F) < 2\rho \cdot opt$. By Lemma 5, $p(G_{k-1}) \leq k \cdot opt$. Therefore

$$p(G_{k-1} \cup F) \leq p(G_{k-1}) + p(F) < (k + 2\rho) opt.$$

By choosing the algorithm by Kortsarz and Nutov (2000) as \mathcal{A} , we obtain a $3k$ -approximation algorithm. For $n \geq k(2k - 1)$, by choosing the approximation algorithm by Cheriyan et al. (2002) as \mathcal{A} , we obtain a approximation ratio is $(k + 12 \ln k)$ -approximation algorithm. For $3 \leq k \leq 7$, by choosing the algorithms in Auletta et al. (1999), Dinitz and Nutov (1999) and Kortsarz and Nutov (2000) as \mathcal{A} , we get $(k + 2\lceil \frac{k+1}{2} \rceil)$ -approximation algorithms. However, for $k = 3$ and 4, even better approximation algorithms are possible, we are presented in the next two sections.

5. 3-Connectivity

In this section, we present a 6-approximation algorithm for Min-Power 3-Connectivity. It is motivated by the following theorem.

Theorem 7. *Let D be the min-weighted 3-inconnected spanning subdigraph of \vec{G} in which the in-degree of the (unique) node without outgoing links is exactly 3. Then, \bar{D} is 3-connected and $p(\bar{D}) < 6 \cdot opt$.*

Proof: The 3-connectivity of \bar{D} follows Corollary 3.2 in Auletta et al. (1999). Next, prove that $p(\bar{D}) < 6 \cdot opt$. Two or more rooted spanning trees of a graph G having the same root r are said *independent* if for each vertex v of G the (unique) paths between v and r along these spanning trees are independent. It was proved independently by Cheriyan and Maheshwari (1988) and Zehavi and Itai (1989) that any 3-connected graph contains three independent spanning trees rooted at any common vertex. Let r be a node of degree 3 in OPT . Let T_i , $1 \leq i \leq 3$, be three independent spanning trees of OPT rooted at a common vertex r . For $1 \leq i \leq 3$, let B_i be the in-branching obtained by orienting T_i toward r . Then $\bigcup_{i=1}^3 B_i$ is a 3-inconnected digraph in which the in-degree of r is exactly 3. Therefore,

$$c(D) \leq c\left(\bigcup_{i=1}^3 B_i\right) = \sum_{i=1}^3 c(B_i) = \sum_{i=1}^3 p(B_i) < 3 \cdot opt.$$

By Lemma 2 and Lemma 3,

$$p(\bar{D}) \leq 2c(\bar{D}) \leq 2c(D) < 6 \cdot \text{opt}.$$

□

Based on Theorem 7, we propose the following approximation algorithm for Min-Power 3-Connectivity: First apply the algorithm given in Auletta et al. (1999) to produce a minimum-weighted 3-inconnected spanning subdigraph D of \vec{G} in which the in-degree of the root is exactly 3, and then output the graph \bar{D} . By Theorem 7, its approximation ratio is at most 6.

6. 4-Connectivity

In this section, we present a 9-approximation algorithm for Min-Power 4-Connectivity. The following auxiliary graph G^+ will be used by the algorithm. Let S be a set of four vertices in V which is 4-connected in G_4 , whose existence is ensured by (Mader, 1975). Then, G^+ is the graph (V^+, E^+, c^+) in which $V^+ = V \cup \{r\}$ for some vertex $r \notin V$, $E^+ = E \cup \{rs : s \in S\}$, and

$$c^+(e) = \begin{cases} 0, & \text{if } e \in E(G_4) \cup \{rs : s \in S\}; \\ c(e), & \text{otherwise.} \end{cases}$$

A minimum-weighted 4-inconnected spanning subdigraph of \vec{G}^+ rooted at r has the following property.

Theorem 8. *Let D be a minimum-weighted 4-inconnected spanning subdigraph of \vec{G}^+ rooted at r . Then, $G_4 \cup (\bar{D} - r)$ is 4-connected and $p(G_4 \cup (\bar{D} - r)) \leq 9 \cdot \text{opt}$.*

Proof: We first prove the 4-connectivity of $G_4 \cup (\bar{D} - r)$. Let C be any subset of V with at most three vertices. Since $|S| = 4$, $S \setminus C$ is nonempty, and since S is 4-connected in G_4 , $S \setminus C$ is connected in $G_4 - C$. Now let v be any vertex in $V \setminus (C \cup S)$. Since \bar{D} is 4-inconnected to r , there are four independent paths from v to r in \bar{D} , and all these four paths must pass through a distinct vertex of S . Thus, there is still one at least one path in $(\bar{D} - r) - C$ from v to some node in $S \setminus C$. Hence, $(G_4 \cup (\bar{D} - r)) - C$ remains connected. This implies that $G_4 \cup (\bar{D} - r)$ is 4-connected.

Next we prove that $p(G_4 \cup (\bar{D} - r)) \leq 9 \cdot \text{opt}$. Let F be a minimum-weighted 4-connectivity augmentation to G_4 , and H denote the graph $G_4 \cup F \cup \{rs : s \in S\}$. Then by Lemma 6, $c(F) < \text{opt}$. Since $G_4 \cup F$ is 4-connected, H is a 4-connected spanning subgraph of G^+ , and consequently, \vec{H} is a 4-inconnected spanning subdigraph of \vec{G}^+ rooted at r . Thus,

$$c^+(D) \leq c^+(\vec{H}) = 2c^+(H) = 2c(F) < 2 \text{opt}.$$

Hence,

$$c((\bar{D} - r) \setminus G_4) = c^+(\bar{D}) \leq c^+(D) < 2 \text{opt}.$$

By Lemma 2, we have

$$p((\bar{D} - r) \setminus G_4) \leq 2c((\bar{D} - r) \setminus G_4) < 4 \text{opt}.$$

By Lemma 5, $p(G_4) \leq 5 \text{opt}$. So,

$$p(G_4 \cup (\bar{D} - r)) \leq p(G_4) + p((\bar{D} - r) \setminus G_4) < 9 \text{opt}.$$

□

Based on Theorem 8, we propose the following approximation algorithm for Min-Power 4-Connectivity: First construct G_4 and find a 4-connected subset S of four nodes by exhaustive search. Then construct the graph G^+ and $\overrightarrow{G^+}$, and then apply Gabow's implementation (Gabow, 1993) of Frank and Tardos (1989) algorithm to produce a minimum-weighted 4-inconnected spanning subdigraph D of $\overrightarrow{G^+}$ rooted at r . Finally, output the graph $G_4 \cup (\bar{D} - r)$. By Theorem 8, the approximation ratio of this approximation algorithm is at most 9.

7. Conclusion

The problem Min-Power k -Connectivity seeks a power assignment to the nodes in a given wireless ad hoc network such that the produced network topology is k -connected and the total power is the lowest. In this paper, we present several approximation algorithms for this problem. Specifically, we give a $3k$ -approximation algorithm for any $k \geq 3$, a $(k + 12H(k))$ -approximation algorithm for $k(2k - 1) \leq n$ where n is the network size, a $(k + 2\lceil(k + 1)/2\rceil)$ -approximation algorithm for $2 \leq k \leq 7$, a 6-approximation algorithm for $k = 3$, and a 9-approximation algorithm for $k = 4$. All these approximation results do not depend on the power attenuation characteristics affected by the deployment environment. It would be interesting to know if the geometric nature of the power attenuation can be exploited to develop better and/or simpler approximation algorithms for Min-Power k -Connectivity.

Note

1. In the paper (Cheriyán et al., 2002), n is required to be at least $6k^2$. The bound $n \geq k(2k - 1)$ is given by a recent work (Mader, 2002).

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