

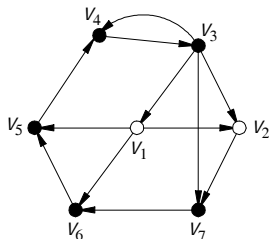
Minimum Connected Dominating Set in Multihop Wireless Networks

Peng-Jun Wan

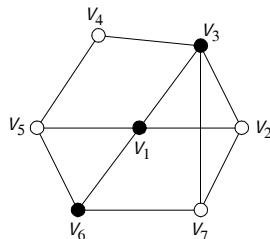
- Overview
- MCDS in Arbitrary Networks
- MCDS in Symmetric Networks
- MCDS in Plane Geometric Networks

Virtual Backbone (CDS)

Virtual backbone/CDS: a subset U of nodes such that any pair of non-adjacent nodes can communicate with each other through the nodes in U .



(a)



(b)

Minimum CDS (MCDS)

MCDS: compute a CDS of the smallest size in a network.

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- **MCDS** in symmetric networks: admits no $(1 - \varepsilon)$ In n -approximation for any fixed $\varepsilon > 0$ unless $NP \subset DTIME \left[n^{O(\log \log n)} \right]$.
- **MCDS** in arbitrary (or asymmetric) networks: at least as hard as MCDS in symmetric networks.

- **MCDS** in arbitrary networks: $(4H(n-2) - 2)$ -approximation

Summary on Algorithms

- **MCDS** in arbitrary networks: $(4H(n-2) - 2)$ -approximation
- **MCDS** in symmetric networks: $(2 + \ln(\Delta - 2))$ -approximation

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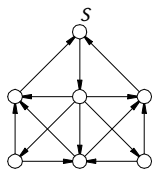
CDS from Arborescences

T_1 : a spanning s -arborescence in D

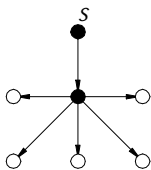
T_2 : a spanning inward s -arborescence in D

Lemma

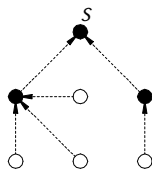
All non-sink nodes of T_1 and all non-source nodes of T_2 form a CDS of D .



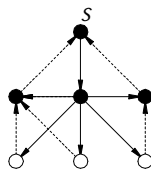
(a)



(b)



(c)



(d)

Spanning Arborescence with Fewest Internal Nodes

SAFIN: Given a digraph $D = (V, A)$ and a root node $s \in V$, compute a spanning s -arborescence T with minimum $|I(T) \setminus \{s}|$, where $I(T)$ denote the set of non-sink nodes of T .

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Reduction to **Min-Power Routing for Broadcast**

From SAFIN to MCDS

\mathcal{A} : a μ -approximation algorithm for SAFIN

s : a node in $OPT \cap S$.

D^R : reverse of D

T_1 : spanning s -arborescence of D output by \mathcal{A}

T_2 : spanning s -arborescence of D^R output by \mathcal{A}

$$\begin{aligned} |I(T_1) \cup I(T_2)| &\leq 1 + |I(T_1) \setminus \{s\}| + |I(T_2) \setminus \{s\}| \\ &\leq 1 + 2\mu(\gamma_c - 1) \\ &= 2\mu \cdot \gamma_c - 2\mu + 1. \end{aligned}$$

Candidates of Root

Fact: for any node u , any CDS must contain at least one node in $N^{in}[u]$ and at least one node in $N^{out}[u]$.

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S : candidates of root

$$\begin{aligned} &u \leftarrow \arg \min_{v \in V} \min(\delta^{in}(v), \delta^{out}(v)); \\ &\text{If } \delta^{in}(u) \leq \delta^{out}(u) \text{ then } S \leftarrow N^{in}[u]; \\ &\text{else } S \leftarrow N^{out}[u]; \end{aligned}$$

Outline of The Algorithm for MCDS

Algorithm \mathcal{A}^* :

$U \leftarrow V$;

for each $s \in S$,

$T_1 \leftarrow$ spanning s -arborescence in D output by \mathcal{A} ;

$T_2 \leftarrow$ spanning s -arborescence in D^R output by \mathcal{A} ;

if $|I(T_1) \cup I(T_2)| < |U|$ then

$U \leftarrow I(T_1) \cup I(T_2)$;

output U .

Greedy Algorithm for SAFIN

GBA2:

```
 $B \leftarrow \{s\};$   
while  $f(B) > 0$ ,  
    find a cheapest  $T \in \mathcal{T}(B)$ ;  
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output a BFS arborescence of  $D \langle B \rangle$  rooted at  $s$ .
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Head of an orphan component: node of smallest ID.

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$\mathcal{T}(B)$: a set of at most $|V| \cdot f(B)$ candidates

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- $T_h(B, u)$ for $1 \leq h \leq f(B)$: the minimal arborescence in $BFS(u)$ spanning u and the first h heads.
- If $u = s$, then,

$$\mathcal{T}(B, u) = \{T_h(B, u) : 1 \leq h \leq f(B)\};$$

otherwise,

$$\mathcal{T}(B, u) = \{T_h(B, u) : 2 \leq h \leq f(B)\}.$$

Theorem

*The approximation ratio of the algorithm **GBA2** is at most $2H(n-2) - 1$.*

Approximation Bound

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Theorem

The approximation ratio of the algorithm **GBA2*** is at most $4H(n-2) - 2$.

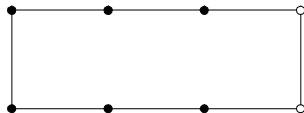
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MCDS in Graphs with Max. Degree at Most 2

- If $\Delta \leq 2$, G is either a path or a cycle.



(a)



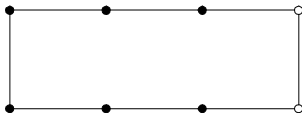
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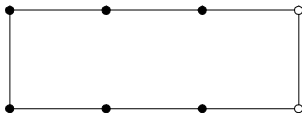
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- When G is a cycle, a MCDS can be obtained by deleting two adjacent vertices.



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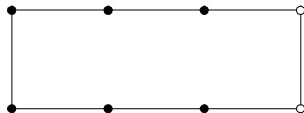
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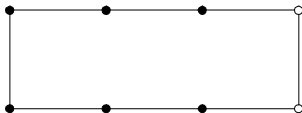
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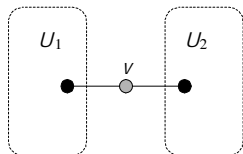


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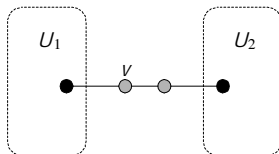
So we assume that $\Delta \geq 3$ from now on.

A Two-Phased Algorithm

- Phase 1: apply the greedy algorithm for minimum submodular cover to produce a dominating set U with $|U| \leq H(\Delta) \gamma_c$.



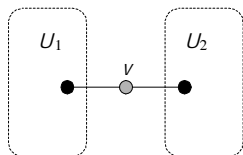
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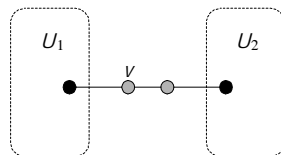
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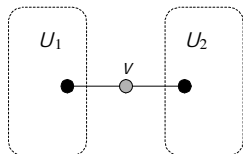
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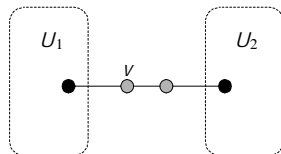
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 - start with an empty W



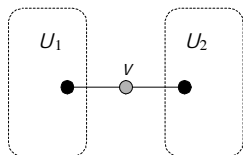
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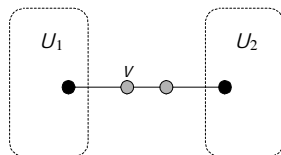
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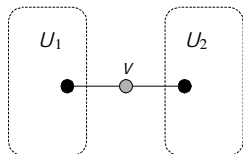
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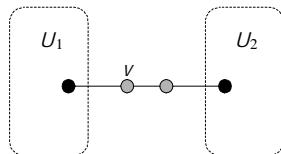
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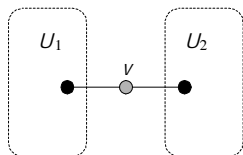
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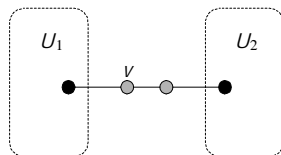
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(a)



(b)

$$|W| \leq 2(|U| - 1) \Rightarrow |U \cup W| \leq 3|U| - 2 \leq 3H(\Delta) \gamma_c - 2.$$

A Single-Phased Greedy Algorithm

- Single phase with proper potential function

A Single-Phased Greedy Algorithm

- Single phase with proper potential function
- Better approximation bound: $2 + \ln(\Delta - 2)$

Potential Function

$\forall U \subseteq V,$

$f_1(U) = \#$ of components in $G[U],$

$f_2(U) = \#$ of components in $G\langle U \rangle,$

where

$$G\langle U \rangle = \left(V, \bigcup_{u \in U} \delta(u) \right).$$

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Then, the potential of U is $f(U) = f_1(U) + f_2(U) - 1.$

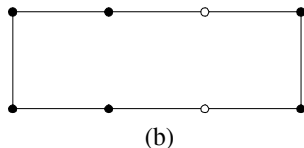
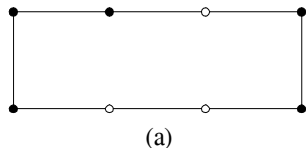


Figure: U consists of black nodes. In both (a) and (b), $f_1(U) = 2$, $f_2(U) = 1$, and $f(U) = 2.$

Potential Function

Clearly,

$$f_1(\emptyset) = 0, f_2(\emptyset) = n \Rightarrow f(\emptyset) = n - 1;$$

Potential Function

Clearly,

$$f_1(\emptyset) = 0, f_2(\emptyset) = n \Rightarrow f(\emptyset) = n - 1;$$

$\forall \emptyset \neq U \subseteq V,$

$$f_1(U) \geq 1, f_2(U) \geq 1 \Rightarrow f(U) \geq 1.$$

$$U \text{ is a CDS} \Leftrightarrow f(U) = 1$$

The *gain* of $v \in V$ w.r.t. $U \subseteq V$ is defined to be

$$\partial_v f(U) = f(U) - f(U \cup \{v\}).$$

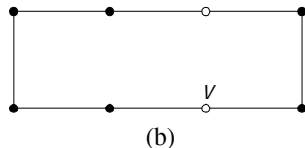
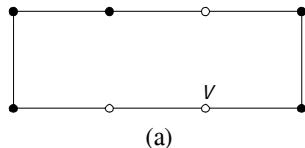


Figure: U consists of black nodes. (a) $\partial_v f(U) = 0$; (b) $\partial_v f(U) = 1$.

Denote

$$\partial_v f_1(U) = f_1(U) - f_2(U \cup \{v\}),$$

$$\partial_v f_2(U) = f_2(U) - f_2(U \cup \{v\}),$$

Then

$$\partial_v f(U) = \partial_v f_1(U) + \partial_v f_2(U).$$

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else

$$\partial_v f_1(U) = (\# \text{ of components of } G[U] \text{ adj. to } v) - 1,$$

$$\partial_v f_2(U) = \# \text{ of components of } G \langle U \rangle \text{ adj. to } v.$$

GCDS

$B \leftarrow \emptyset;$

While $f(B) > 1$ do

 select $v \in V \setminus B$ with maximum $\partial_v f(B);$

$B \leftarrow B \cup \{v\};$

Output $B.$

Theorem

*The algorithm **GCDS** runs in at most $n - 2$ iterations and has approximation bound of at most $2 + \ln(\Delta - 2)$.*

Lemma

If U is not a CDS, then at least one node v has a positive gain w.r.t. U .

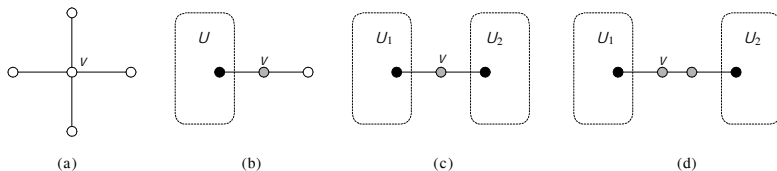


Figure: A node v with positive gain w.r.t. U . (a) $U = \emptyset$; (b) $U \neq \emptyset$ but is not a DS; (c) and (d) U is a DS.

“Shifted” Supermodularity

Lemma

Suppose that U and W are two subsets of V satisfying that $G[W]$ is connected. Then, for any node $v \in V$,

$$\partial_v f(U \cup W) \leq \partial_v f(U) + 1.$$

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$$\partial_v f_1(U \cup W) \leq \partial_v f_1(U) + 1,$$

$$\partial_v f_2(U \cup W) \leq \partial_v f_2(U).$$

Lower Bound on The Gain

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$W = \{v_i : 1 \leq i \leq \gamma_c\}$: a MCDS sorted in the BFS order in $G[W]$.

$W_j = \{v_i : 1 \leq i \leq j\}$ with $1 \leq j \leq \gamma_c$.

Lower Bound on The Gain

$$\begin{aligned}f(U) - 1 &= f(U) - f(U \cup W) = \partial_{v_1} f(U) + \sum_{j=2}^{\gamma_c} \partial_{v_j} f(U \cup W_{j-1}) \\ &\leq \partial_{v_1} f(U) + \sum_{j=2}^{\gamma_c} (\partial_{v_j} f(U) + 1) = \gamma_c - 1 + \sum_{j=1}^{\gamma_c} \partial_{v_j} f(U) \\ &\leq \gamma_c - 1 + \gamma_c \cdot \max_{1 \leq j \leq \gamma_c} \partial_{v_j} f(U).\end{aligned}$$

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Hence,

$$\max_{1 \leq j \leq \gamma_c} \partial_{v_j} f(U) \geq \frac{f(U)}{\gamma_c} - 1.$$

Lower Bound on Optimum

Lemma

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$$\begin{aligned} n &\leq (\Delta + 1) + (\Delta - 1)(\gamma_c - 1) \\ &= (\Delta - 1)\gamma_c + 2. \end{aligned}$$

Upper Bound on Greedy Solution

B : output of the greedy algorithm.

B_i for $1 \leq i \leq |B|$, : the sequence of the first i nodes in B .

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$$|B| = k + |B \setminus B_k| \leq k + 2\gamma_c - 1 \leq (2 + \ln(\Delta - 2)) \gamma_c.$$

Proof of Claim 1

Each node in $B \setminus B_k$ has gain ≥ 1 .

Case 1: $f(B_k) \leq 2\gamma_c$.

$$|B \setminus B_k| \leq f(B_k) - 1 \leq 2\gamma_c - 1.$$

Case 2: $f(B_k) = 2\gamma_c + 1$. Then the first node in $B \setminus B_k$ has gain ≥ 2 .

$$2 + (|B \setminus B_k| - 1) \leq f(B_k) - 1 = 2\gamma_c \Rightarrow |B \setminus B_k| \leq 2\gamma_c - 1.$$

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$$n - 1 - \gamma_c = l_0 > l_1 > \cdots > l_{k-1} \geq \gamma_c + 2,$$

$$l_{i-1} - l_i \geq \frac{f(C_{i-1})}{\gamma_c} - 1 = \frac{l_{i-1}}{\gamma_c} \Rightarrow \frac{l_{i-1} - l_i}{l_{i-1}} \geq \frac{1}{\gamma_c}.$$

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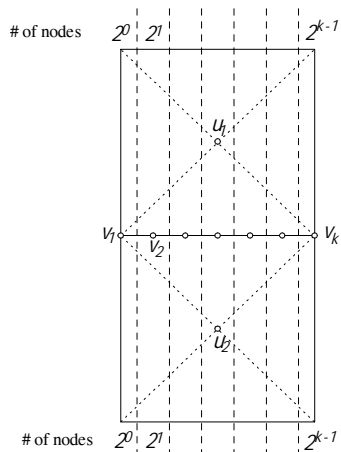
$$l_{i-1} - l_i \geq \frac{f(C_{i-1})}{\gamma_c} - 1 = \frac{l_{i-1}}{\gamma_c} \Rightarrow \frac{l_{i-1} - l_i}{l_{i-1}} \geq \frac{1}{\gamma_c}.$$

Therefore,

$$\begin{aligned} \frac{k-1}{\gamma_c} &\leq \sum_{i=1}^{k-1} \frac{l_{i-1} - l_i}{l_{i-1}} \leq \ln \frac{l_0}{l_{k-1}} \leq \ln \frac{n-1-\gamma_c}{\gamma_c+2} \\ &\leq \ln \frac{(\Delta-1)\gamma_c+2-1-\gamma_c}{\gamma_c+2} \\ &= \ln \left(\Delta - 2 - \frac{2\Delta-5}{\gamma_c+2} \right) < \ln(\Delta-2). \end{aligned}$$

- Overview
- MCDS in Arbitrary Networks
- MCDS in Symmetric Networks
- **MCDS in Plane Geometric Networks**

Performance of Greedy Algorithms in Plane Geometric Networks

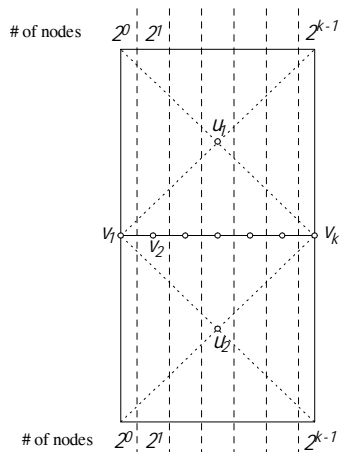


$$n = k + 2 + 2 \sum_{i=1}^k 2^{i-1} = k + 2^{k+1},$$

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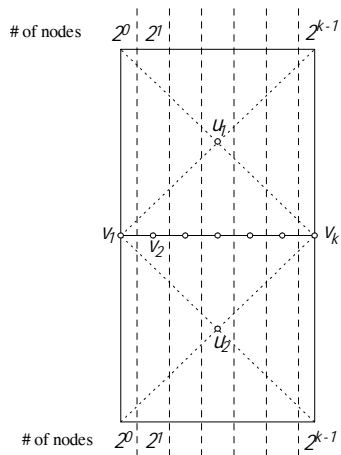
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Greedy solution: v_k, v_{k-1}, \dots, v_1

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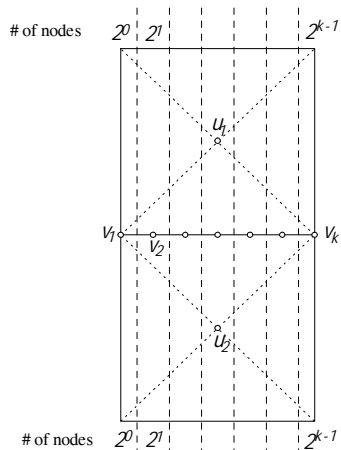
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Approx. bound: $\geq \frac{k}{2} \geq \frac{\log \Delta}{2} - \frac{1}{2}$

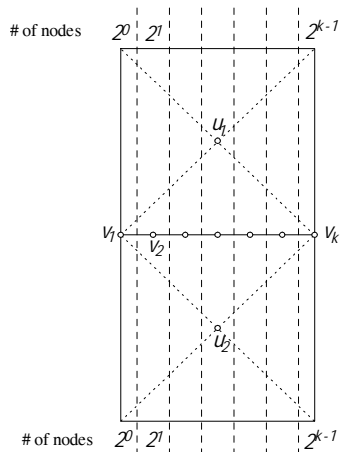
Greedy Solution



Initial degree:

- $v_i: 2 \cdot 2^{i-1} + (k - 1) + 2 = 2^i + k + 1;$

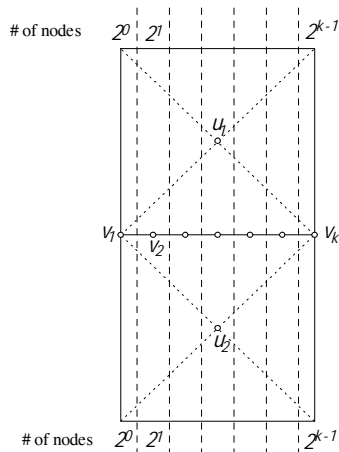
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- v_i : $2 \cdot 2^{i-1} + (k - 1) + 2 = 2^i + k + 1$;
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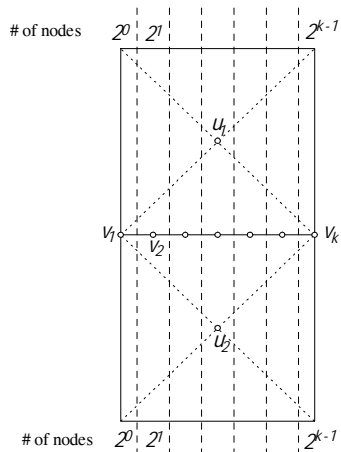
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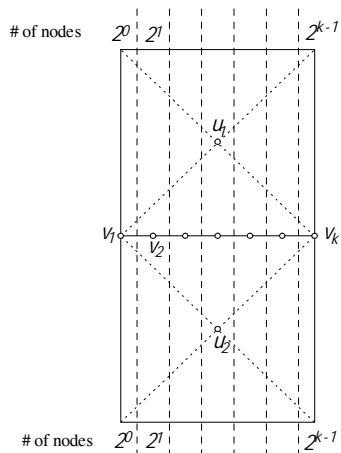
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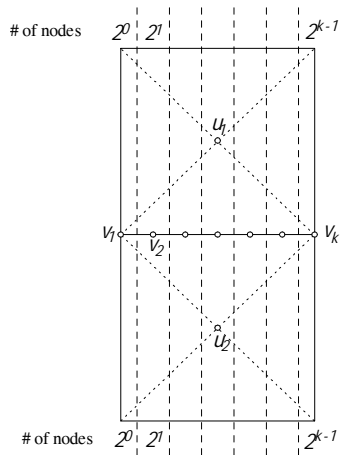


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So v_k is selected as the first dominator.

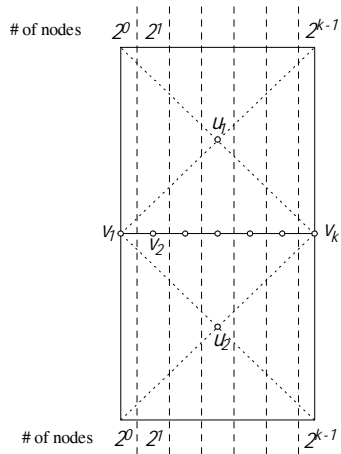
Greedy Solution



After the selection of v_k, v_{k-1}, \dots, v_j , the “residue” degree:

- v_i with $i < j$: $2 \cdot 2^{i-1} = 2^i$;

Greedy Solution

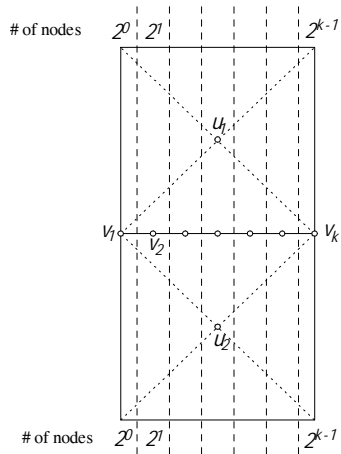


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Greedy Solution



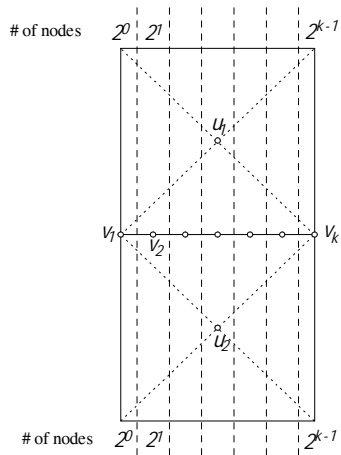
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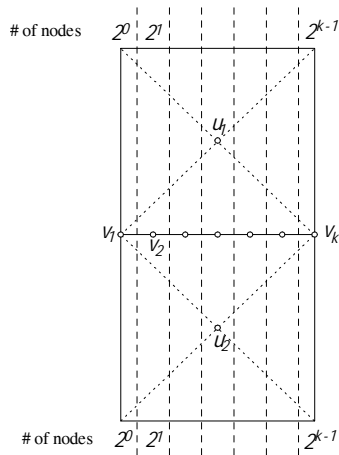
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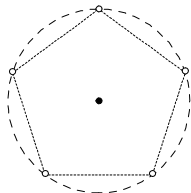
So v_{j-1} is selected as a dominator.

Independence Number vs. Connected Domination Number

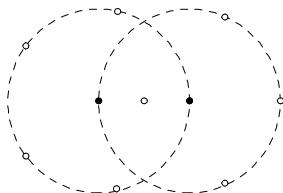
α : independence number of G

γ_c : connected domination number of G

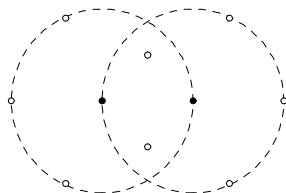
$$\alpha \leq \begin{cases} 5 & \text{if } \gamma_c = 1; \\ 8 & \text{if } \gamma_c = 2; \\ \min \{3.4306\gamma_c + 4.8185, 3\frac{2}{3}\gamma_c + 1\} & \text{if } \gamma_c \geq 3. \end{cases}$$



(a)



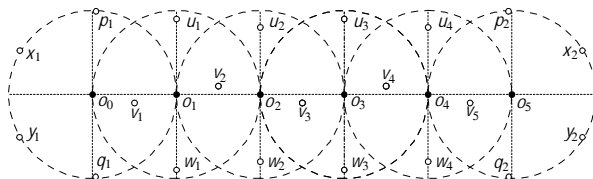
(b)



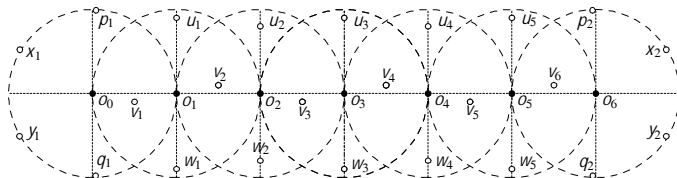
(c)

A Conjecture

Conjecture: if $\gamma_c \geq 3$, then $\alpha \leq 3\gamma_c + 3$.



(a)



(b)

2-Phased Approximation Algorithm

Phase 1: Constructs an MIS with 2-hop separation property: any pair of its nonempty complementary subsets are separated by *exactly two* hops.

Phase 2: Augment with the MIS with “connectors” to form a CDS

Algorithm for Phase 1

- 1 Construct be an *arbitrary* rooted spanning tree T

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- 1 Construct \mathcal{B} as an *arbitrary* rooted spanning tree T
- 2 Select an MIS I in the first-fit manner in the BFS ordering in T .

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$\langle v_1, v_2, \dots, v_n \rangle$: BFS ordering of V in T .

Initialization: $I \leftarrow \{v_1\}$.

First-fit selection: For $i = 2$ up to n , add v_i to I if v_i is not adjacent to any node in I .

2-Hop Separation Property

Lemma

Any pair of nonempty complementary subsets of I are separate by exactly two hops.

2-Hop Separation Property

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u_1, u_2, \dots, u_k : sequence of nodes added to I .

H_j for $1 \leq j \leq k$: the graph over $\{u_i : 1 \leq i \leq j\}$ in which a pair of nodes is connected by an edge if and only if their graph distance in G is two.

Claim: H_j is connected (by induction on j)

Algorithm for Phase 2

GC

```
C ← ∅;  
While f(C) > 1 do  
    select v ∈ V \ (I ∪ C) with maximum ∂vf(C);  
    C ← C ∪ {v};  
Output C.
```

- For any subset $U \subseteq V \setminus I$, $f(U) = \#$ of components in $G[I \cup U]$.
- Gain of a node v w.r.t. U : $\partial_w f(U) = f(U) - f(U \cup \{x\})$.

Lower Bound on Gain

Lemma

If $f(U) > 1$, then at least one node w in $V \setminus (I \cup U)$ has gain at least $\max\{1, \lceil f(U) / \gamma_c \rceil - 1\}$.

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Since the set I has 2-hop separation property, at least one node $w \in V \setminus (I \cup U)$ is adjacent to at least two connected components of $G[I \cup U]$.

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Since each component of $G[I \cup U]$ must be adjacent to some node in $OPT \setminus (I \cup U)$, at least some node $w \in OPT \setminus (I \cup U)$ is adjacent to

$$\left\lceil \frac{f(U)}{|OPT \setminus (I \cup U)|} \right\rceil \geq \left\lceil \frac{f(U)}{\gamma_c} \right\rceil$$

components of $G[I \cup U]$.

Main Theorem

C : sequence of selected connectors

Theorem

$$|I \cup C| \leq 6.075\gamma_c + 5.425.$$

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$$|I \cup C| \leq 6.075\gamma_c + 5.425.$$

- If $\gamma_c = 1$, then $|I| \leq 5$ and $|C| \leq 1$, hence $|I \cup C| \leq 6$.
- If $|I| \leq 3\gamma_c + 2$, then $|I \cup C| \leq 2|I| - 1 \leq 6\gamma_c + 3$.

C : sequence of selected connectors

Theorem

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- If $|I| \leq 3\gamma_c + 2$, then $|I \cup C| \leq 2|I| - 1 \leq 6\gamma_c + 3$.

From now on, we assume that $\gamma_c \geq 2$ and $|I| > 3\gamma_c + 2$.

Three Subsequences

Break C into three contiguous (and possibly empty) subsequences C_1 , C_2 and C_3 as follows.

- C_1 : the shortest prefix of C satisfying that $f(C_1) \leq 3\gamma_c + 2$
- $C_1 \cup C_2$: the shortest prefix of C satisfying that $f(C_1 \cup C_2) \leq 2\gamma_c + 1$.

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We will prove that

$$|C_1| \leq \begin{cases} \frac{|I|}{3} - \gamma_c & \text{if } f(C_1) \leq 3\gamma_c + 1, \\ \frac{|I|-2}{3} - \gamma_c & \text{if } f(C_1) = 3\gamma_c + 2; \end{cases}$$
$$|C_2| \leq \begin{cases} \frac{\gamma_c}{2} & \text{if } f(C_1) \leq 3\gamma_c + 1, \\ \frac{\gamma_c+1}{2} & \text{if } f(C_1) = 3\gamma_c + 2; \end{cases}$$
$$|C_3| \leq 2\gamma_c - 1.$$

Three Subsequences

$$|C_1 \cup C_2| \leq \frac{|I|}{3} - \frac{\gamma_c}{2}$$

and

$$|C| \leq \frac{|I|}{3} - \frac{\gamma_c}{2} + 2\gamma_c - 1 = \frac{|I|}{3} + \frac{3}{2}\gamma_c - 1.$$

Three Subsequences

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and

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So,

$$\begin{aligned} |I \cup C| &\leq \frac{4|I|}{3} + \frac{3}{2}\gamma_c - 1 \\ &\leq \frac{4}{3}(3.4306\gamma_c + 4.8185) + \frac{3}{2}\gamma_c - 1 \\ &\leq 6.075\gamma_c + 5.425. \end{aligned}$$

Upper Bound on $|C_1|$

Trivial if $C_1 = \emptyset$. So we assume that $C_1 \neq \emptyset$ and let u be the last node in C_1 . Then,

$$f(C_1 \setminus \{u\}) \geq 3\gamma_c + 3.$$

Case 1: $f(C_1) \leq 3\gamma_c + 1$.

$$\begin{aligned} 3(|C_1| - 1) &\leq |I| - f(C_1 \setminus \{u\}) \leq |I| - (3\gamma_c + 3) \\ \Rightarrow |C_1| &\leq \frac{|I|}{3} - \gamma_c. \end{aligned}$$

Case 2: $f(C_1) = 3\gamma_c + 2$.

$$\begin{aligned} 3|C_1| &\leq |I| - f(C_1) = |I| - (3\gamma_c + 2) \\ \Rightarrow |C_1| &\leq \frac{|I| - 2}{3} - \gamma_c. \end{aligned}$$

Upper Bound on $|C_2|$

Trivial if $|C_2| \leq 1$. So, assume $|C_2| \geq 2$ and let v be the last node in C_2 . Then,

$$f(C_1 \cup C_2 \setminus \{v\}) \geq 2\gamma_c + 2.$$

Case 1: $f(C_1) \leq 3\gamma_c$.

$$\begin{aligned} 2(|C_2| - 1) &\leq f(C_1) - f(C_1 \cup C_2 \setminus \{v\}) \leq 3\gamma_c - (2\gamma_c + 2) \\ &\Rightarrow |C_2| \leq \gamma_c/2. \end{aligned}$$

Case 2: $f(C_1) = 3\gamma_c + 1$.

$$\begin{aligned} 3 + 2(|C_2| - 2) &\leq f(C_1) - f(C_1 \cup C_2 \setminus \{v\}) \leq 3\gamma_c + 1 - (2\gamma_c + 2) \\ &\Rightarrow |C_2| \leq \gamma_c/2. \end{aligned}$$

Case 3: $f(C_1) = 3\gamma_c + 2$.

$$\begin{aligned} 3 + 2(|C_2| - 2) &\leq f(C_1) - f(C_1 \cup C_2 \setminus \{v\}) \leq 3\gamma_c + 2 - (2\gamma_c + 2) \\ &\Rightarrow |C_2| \leq \frac{\gamma_c + 1}{2}. \end{aligned}$$

Upper Bound on $|C_3|$

Case 1: $f(C_1 \cup C_2) \leq 2\gamma_c$.

$$|C_3| \leq f(C_1 \cup C_2) - 1 \leq 2\gamma_c - 1.$$

Case 2: $f(C_1 \cup C_2) = 2\gamma_c + 1$.

$$\begin{aligned} 2 + (|C_3| - 1) &\leq f(C_1 \cup C_2) - 1 = 2\gamma_c + 1 - 1 \\ \Rightarrow |C_3| &\leq 2\gamma_c - 1 \end{aligned}$$