## **CS557: Cyber-Physical Systems** Wireless Sensor Networks *Technologies, Systems, and Applications*

Based on lectures by Prabal Dutta, WenZhan Song, and many others





# BACKGROUND

## **Bell's Law of Computer Classes:** A new computing class roughly every decade

the establishment of a new industry."



Adapted from D. Culler

## Moore's Law: IC transistor count doubles every two years



Photo Credit: Intel

#### Flash memory scaling: Rise of density & volumes; Fall (and rise) of prices







Figure-1 32Gb MLC NAND Flash contract price trend



#### Hendy's "Law": Pixels per dollar doubles annually



Credit: Barry Hendy/Wikipedia

## **MEMS Accelerometers:** Rapidly falling price and power

OK Cancel



51

SECOND TAP

DOUBLE TAP INTERRUP

SERIAL VO

DIGITAL

ADXL345

THRESHOLD HRESHC

FIRST TAP

TIME LIMIT FOR

SINGLE TAP INTERBUPT

SENSE LECTRONIC:

25 µA @ 25

LATENCY TIME (LATENT)

3 AXIS

Þ

TIME WINDOW FOR SECOND TAP (INTVL)

#### **MEMS Gyroscope Chip**



## **Energy harvesting and storage:** Small doesn't mean powerless...

**1st Annual Workshop on** October 22, 2009 MICRO POWER TECHNOLOGIES

Radisson Hotel, San Jose, CA



#### Thin-film batteries



Piezoelectric [Holst/IMEC]





Coil



**RF** [Intel]



#### Shock Energy Harvesting **CEDRAT** Technologies



Thermoelectric Ambient Energy Harvester [PNNL]



**Electrostatic Energy** Harvester [ICL]



## Perpetual operation: Living off the land (or air)



[Sample09] Harvest <u>60 µW</u> From <u>4.1 km</u> away Using <u>5 dBi</u> antenna From a <u>960 kW</u> TV station On <u>channel 48</u>



[Powercast09] Harvest <u>milliwatts</u> From <u>centimeters</u> away Using a ~ <u>0 dBi</u> antenna From your <u>iPhone</u>

## Bell's Law, Take 2: Corollary to the Laws of Scale



92,499

0.18 µ

Manufacturing technology



Photo credits: Intel, U. Michigan



Fundamental Technology Trends

**Emerging Application Drivers** 

Hardware/Software Systems

#### A decade of sensor network applications



#### Monitoring trees, cars, people, watersheds, and tires





PEG [Sharp05]

Watertight

compartment



Radar [Dutta06]



Shimmer[Intel06]



HydroWatch [Taneja07]



#### **Mobiscopes**

#### High Mobility

#### Common Sense

Sleep

Tracker

Auto

Witness



#### Higher



Lower

#### **AutoWitness**

- "LoJack" for everyday things
  - You: Tag your things
  - City
    - Deploy a network of detectors
    - Track stolen objects
- Cost and convenience dictates
  - \$10/tag
  - 10 years of lifetime
  - Small size
- Tags must run on 2 uA average current
  - Sleep for years normally
  - Active for days after theft







#### **Periodic Limb Movements**

- Affects 30% of those over 65
- PLM = limb muscle jerks mostly while asleep
  - <u>Not</u> Restless Legs Syndrome
  - Formerly called *noctural myoclonus*
  - Not hypnic jerks (they're lower freq)
- Diagnosing the symptoms
  - Most are unaware of their kicking
  - Must link movements to poor sleep
  - Requires nights in sleep study lab
- Treatment depends on whether motion
  - Disturbs only the bed partner
  - Disturbs the patient



#### FIGURE 1-1

My wife tells me that my legs were restless again last night. She said that she didn't sleep a wink, but I slept fine.

#### [Buchfurher06]

The bed partner's complaint of being kicked is often the main reason for seeking medical help for PLMS, because maintaining harmony in a relationship that is disrupted by nighttime kicking can be an important issue.



Fundamental Technology Trends

**Emerging Application Drivers** 

Hardware/Software Systems

#### The System Challenge

Monitoring & Managing Spaces and Things



Miniature, low-power connections to the physical world

#### Despite the tight application-platform coupling, modular platform decompositions remained in focus



## Hardware Platforms: Addressing the application-specific challenge



#### Low-Power, Duty-Cycle Operation is Critical



#### Dynamic range in power draw exceeds 10,000:1



Energy Sink	ergy Sink Power State	
Microcontroller		
CPU	ACTIVE	$500 \mu A$
	LPM0	75 µA
	LPM1 <sup>†</sup>	75 µA
	LPM2	$17 \mu A$
	LPM3	2.6 µA
	LPM4	$0.2 \mu A$
Voltage Reference	ON	500 µA
ADC	CONVERTING	$800 \mu A$
DAC	CONVERTING-2	50 µA
	CONVERTING-5	$200 \mu A$
	CONVERTING-7	$700 \mu A$
Internal Flash	PROGRAM	3 mA
	ERASE	3 mA
Temperature Sensor	SAMPLE	$60 \mu A$
Analog Comparator	COMPARE	45 µA
Supply Supervisor	ON	$15 \mu A$
Radio		
Regulator	OFF	$1  \mu A$
	ON	$22 \mu A$
	POWER_DOWN	$20 \mu A$
Batter Monitor	ENABLED	$30 \mu A$
Control Path	IDLE	$426 \mu A$
Rx Data Path	RX (LISTEN)	19.7 mA
Tx Data Path	TX (+0 dBm)	17.4 mA
	TX (-1 dBm)	16.5 mA
	TX (-3 dBm)	15.2 mA
	TX (-5 dBm)	13.9 mA
	TX (-7 dBm)	12.5 mA
	TX (-10 dBm)	11.2 mA
	TX (-15 dBm)	9.9 mA
	TX (-25 dBm)	8.5 mA
Flash	POWER_DOWN	$9 \mu A$
	STANDBY	25 µA
	READ	7 mA
	WRITE	12 mA
	ERASE	12 mA
LED0 (Red)	ON	4.3 mA
LED1 (Green)	ON	3.7 mA
LED2 (Blue)	ON	1.7 mA

#### Energy-efficiency affects all layers of system design



#### Energy-efficiency pervades the sensornet research agenda



\* Directly: Energy is part of the title, abstract, or central argument \*\* Indirectly: Energy is part of the motivation, evaluation, or key tradeoff

#### Radio idle listening dominates the power budget, even at radio duty cycles of just 1 to 2%



#### LPL

R. Szewczyk, A. Mainwaring, J. Polastre, D. Culler, "An Analysis of a Large Scale Habitat Monitoring Application", ACM SenSys' 04, November, 2004, Baltimore, MD

#### Therefore, the radio is kept mostly off

#### **Low-Power Listening**





Overhearing adds significant unpredictability to node lifetime

# Mobility makes energy and communication challenges <u>fundamentally</u> harder in low-power systems

- Energy
  - Must carry it along
  - Or harvest it from the ambient environment
  - And deal with inherent uncertainty of harvesting
  - Implies dynamic duty cycles
  - How to measure usage?
- Link
  - Topological turmoil
  - Link. What link?
  - Never before seen link
  - What radio channel?
  - When, where to look?
  - Can't just probe during deployment
  - History is a poor/no guide
- Network
  - Stability of routing peers?
  - Routers, hosts, both?
- Transport
  - Disruption-tolerant
  - Store-and-forward

"Weather + mobility = uncertain energy budget" - Jacob Sorber, Sensys 2007



J. Sorber et al., "Eon: A Language and Runtime for Perpetual Systems", *Sensys'07*, Sydney, Australia

## Mobility constrains the platform design

- Limited energy source
  - Fraction of 2 "AA" batteries
- Wider lifetime (average power)
  - Sleep Tracker: Weeks (50 uA)
  - AutoWitness: Decade (2 uA)
- Wider dynamic range
  - O(10 mA) active current
  - O(1 uA) sleep current
  - O(0.001 1%) duty cycle
- CPU O(10 MIPS)
- RAM O(10 KB)
- ROM O(100 KB)
- Radio O(100 kbps)
- Flash O(1 MB 1 GB)



200 mA-Hr Irene [Dutta09]



200 mA-Hr RatPack [Thiele08]



2000 mA-Hr [Dutta05]

#### Mobility drives new communication patterns





## Networking and Middleware

Application					
Filtering Detection <b>Signal Proc.</b> Classification Tracking	Transport Routing <b>Networking</b> Dissemination	Query Processing <b>Storage</b> Indices Heap Files			
Time Sync Interrupts OS Timers Storage Scheduler Link Layer Arbiters OTAP Queues					

MCU	Sensors	Hardware	Power Supply	
	Radio		Storage	I

IPv6/6lowpan

router



#### **Secure Deluge**



#### **Time Sync**



#### **Programming Sensor Nodes**

- Tutorials to familiarize you with tools, software
  - csl.stanford.edu/~pal/pubs/tos-**programming**-web.pdf
  - csl.stanford.edu/~pal/pubs/tinyos-programming.pdf
- Should be fun
  - Monitor your own sleeping patterns
  - Measure you personal energy consumption
  - Visualize real-world social networks
- Should be instructive
  - Learn to use the TinyOS toolchain
  - Program sensor nodes in C and nesC
  - Deploy and IPv6 low-power wireless network

#### **Research Project**

- Goal of this course is to <u>do graduate research</u>
  - Work in group of 3, or alone
  - Pick a research problem of your own
  - or
  - Meet with instructor to discuss other ideas
- Ideally, the project dovetails with thesis topic
- Should be related to one of the themes
  - Technologies
  - Systems
  - Applications

# WSN INTRO

#### Outline

- Infrastructure for wireless?
- (Mobile) ad hoc networks
- Wireless sensor networks
- Comparison
### Infrastructure-based wireless networks

- Typical wireless network: Based on infrastructure
  - E.g., GSM, UMTS, ...
  - Base stations connected to a wired backbone network
  - Mobile entities communicate wirelessly to these base stations
  - Traffic between different mobile entities is relayed by base stations and wired backbone
  - Mobility is supported by switching from one base station to another
  - Backbone infrastructure required for administrative tasks



#### **Infrastructure-based wireless networks - Limits?**

- What if ...
  - No infrastructure is available? E.g., in disaster areas
  - It is too expensive/inconvenient to set up? E.g., in remote, large construction sites
  - There is no time to set it up? E.g., in military operations

# Possible applications for infrastructure-free networks



- Military networking: Tanks, soldiers, ...
- Finding out empty parking lots in a city, without asking a server
- Search-and-rescue in an avalanche
- Personal area networking (watch, glasses, PDA, medical appliance, ...)

#### Outline

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#### Solution: (Wireless) ad hoc networks

- Try to construct a network without infrastructure, using networking abilities of the participants
  - This is an *ad hoc network* a network constructed "for a special purpose"
- Simplest example: Laptops in a conference room
  -



## Problems/challenges for ad hoc networks

- Without a central infrastructure, things become much more difficult
- Problems are due to
  - Lack of central entity for organization available
  - Limited range of wireless communication
  - Mobility of participants
  - Battery-operated entities

#### No central entity -> self-organization

- Without a central entity (like a base station), participants must organize themselves into a network (*self-organization*)
- Pertains to (among others):
  - Medium access control no base station can assign transmission resources, must be decided in a distributed fashion
  - Finding a route from one participant to another

#### Limited range -> multi-hopping

- For many scenarios, communication with peers outside immediate communication range is required
  - Direct communication limited because of distance, obstacles,
    ...
  - Solution: *multi-hop network*



# Mobility -> Suitable, adaptive protocols

- In many (not all!) ad hoc network applications, participants move around
  - In cellular network: simply hand over to another base station

# In *mobile ad hoc networks (MANET)*:

- Mobility changes neighborhood relationship
- Must be compensated for
- E.g., routes in the network have to be changed
- Complicated by scale
  - Large number of such nodes difficult to support



# Battery-operated devices -> energy-efficient operation

- Often (not always!), participants in an ad hoc network draw energy from batteries
- Desirable: long run time for
  - Individual devices
  - Network as a whole
- ! Energy-efficient networking protocols
  - E.g., use multi-hop routes with low energy consumption (energy/bit)
  - E.g., take available battery capacity of devices into account
  - How to resolve conflicts between different optimizations?

#### Outline

- Infrastructure for wireless?
- (Mobile) ad hoc networks
- Wireless sensor networks
  - Applications
  - Requirements & mechanisms
- Comparison

#### Wireless sensor networks

- Participants in the previous examples were devices close to a human user, interacting with humans
- Alternative concept:
  - Instead of focusing interaction on humans, focus on interacting with *environment* 
    - Network is *embedded* in environment
    - Nodes in the network are equipped with *sensing* and *actuation* to measure/influence environment
    - Nodes process information and communicate it wirelessly

#### ! Wireless sensor networks (WSN)

- Or: Wireless sensor & actuator networks (WSAN)

#### WSN application examples

- Disaster relief operations
  - Drop sensor nodes from an aircraft over a wildfire
  - Each node measures temperature
  - Derive a "temperature map"
- Biodiversity mapping
  - Use sensor nodes to observe wildlife
- Intelligent buildings (or bridges)
  - Reduce energy wastage by proper humidity, ventilation, air conditioning (HVAC) control
  - Needs measurements about room occupancy, temperature, air flow, ...
  - Monitor mechanical stress after earthquakes





#### WSN application scenarios

- Facility management
  - Intrusion detection into industrial sites
  - Control of leakages in chemical plants, ...
- Machine surveillance and preventive maintenance
  - Embed sensing/control functions into places no cable has gone before
  - E.g., tire pressure monitoring
- Precision agriculture
  - Bring out fertilizer/pesticides/irrigation only where needed
- Medicine and health care
  - Post-operative or intensive care
  - Long-term surveillance of chronically ill patients or the elderly
- Environmental monitoring
  - Volcano monitoring



#### WSN application scenarios

- Logistics
  - Equip goods (parcels, containers) with a sensor node
  - Track their whereabouts *total asset management*
  - Note: passive readout might suffice compare RF IDs
- Telematics
  - Provide better traffic control by obtaining finer-grained information about traffic conditions
  - Intelligent roadside
  - Cars as the sensor nodes



#### **Roles of participants in WSN**

- Sources of data: Measure data, report them "somewhere"
  - Typically equip with different kinds of actual sensors



- Sinks of data: Interested in receiving data from WSN
  - May be part of the WSN or external entity, PDA, gateway, ...



 Actuators: Control some device based on data, usually also a sink





#### Structuring WSN application types

- Interaction patterns between sources and sinks classify application types
  - *Event detection*: Nodes locally detect events (maybe jointly with nearby neighbors), report these events to interested sinks
    - Event classification additional option
  - Periodic measurement
  - **Function approximation:** Use sensor network to approximate a function of space and/or time (e.g., temperature map)
  - **Edge detection:** Find edges (or other structures) in such a function (e.g., where is the zero degree border line?)
  - **Tracking:** Report (or at least, know) position of an observed intruder ("pink elephant")

#### **Deployment options for WSN**

- How are sensor nodes deployed in their environment?
  - Dropped from aircraft ! Random deployment
    - Usually uniform random distribution for nodes over finite area is assumed
    - Is that a likely proposition?
  - Well planned, fixed ! *Regular deployment* 
    - E.g., in preventive maintenance or similar
    - Not necessarily geometric structure, but that is often a convenient assumption
  - Mobile sensor nodes
    - Can move to compensate for deployment shortcomings
    - Can be passively moved around by some external force (wind, water)
    - Can actively seek out "interesting" areas

#### Maintenance options

- Feasible and/or practical to maintain sensor nodes?
  - E.g., to replace batteries?
  - Or: unattended operation?
  - Impossible but not relevant? Mission lifetime might be very small
- Energy supply?
  - Limited from point of deployment?
  - Some form of recharging, energy scavenging from environment?
    - E.g., solar cells

#### Outline

- Infrastructure for wireless?
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  - Applications
  - Requirements & mechanisms
- Comparison

#### **Characteristic requirements for WSNs**

- Type of service of WSN
  - Not simply moving bits like another network
  - Rather: provide *answers* (not just numbers)
  - Issues like geographic scoping are natural requirements, absent from other networks
- Quality of service
  - Traditional QoS metrics do not apply
  - Still, service of WSN must be "good": Right answers at the right time
- Fault tolerance
  - Be robust against node failure (running out of energy, physical destruction, ...)
- Lifetime
  - The *network* should fulfill its task as long as possible definition depends on application
  - Lifetime of individual nodes relatively unimportant
  - But often treated equivalently

#### **Characteristic requirements for WSNs**

- Scalability
  - Support large number of nodes
- Wide range of densities
  - Vast or small number of nodes per unit area, very application-dependent
- Programmability
  - Re-programming of nodes in the field might be necessary, improve flexibility
- Maintainability
  - WSN has to adapt to changes, self-monitoring, adapt operation
  - Incorporate possible additional resources, e.g., newly deployed nodes

### Required mechanisms to meet requirements

- Multi-hop wireless communication
- Energy-efficient operation
  - Both for communication and computation, sensing, actuating
- Auto-configuration
  - Manual configuration just not an option
- Collaboration & in-network processing
  - Nodes in the network collaborate towards a joint goal
  - Pre-processing data in network (as opposed to at the edge) can greatly improve efficiency

### Required mechanisms to meet requirements

- Data centric networking
  - Focusing network design on *data*, not on *node identifies* (id-centric networking)
  - To improve efficiency
- Locality
  - Do things locally (on node or among nearby neighbors) as far as possible
- Exploit tradeoffs
  - E.g., between invested energy and accuracy

#### Outline

- Infrastructure for wireless?
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- Wireless sensor networks
- Comparison

#### MANET vs. WSN

- Many commonalities: Self-organization, energy efficiency, (often) wireless multi-hop
- Many differences
  - *Applications, equipment*: MANETs more powerful (read: expensive) equipment assumed, often "human in the loop"-type applications, higher data rates, more resources
  - *Application-specific*: WSNs depend much stronger on application specifics; MANETs comparably uniform
  - Environment interaction: core of WSN, absent in MANET
  - *Scale*: WSN might be much larger (although contestable)
  - Energy: WSN tighter requirements, maintenance issues
  - **Dependability/QoS:** in WSN, individual node may be dispensable (network matters), QoS different because of different applications
  - **Data centric** vs. id-centric networking
  - *Mobility*: different mobility patterns like (in WSN, sinks might be mobile, usual nodes static)

#### Wireless fieldbuses and WSNs

- Fieldbus:
  - Network type invented for real-time communication, e.g., for factory-floor automation
  - Inherent notion of sensing/measuring and controlling
  - Wireless fieldbus: Real-time communication over wireless

! Big similarities

- Differences
  - Scale WSN often intended for larger scale
  - Real-time WSN usually not intended to provide (hard) realtime guarantees as attempted by fieldbuses

#### **Enabling technologies for WSN**

- Cost reduction
  - For wireless communication, simple microcontroller, sensing, batteries
- Miniaturization
  - Some applications demand small size
  - "Smart dust" as the most extreme vision
- Energy scavenging
  - Recharge batteries from ambient energy (light, vibration, ...)

#### Conclusion

- MANETs and WSNs are challenging and promising system concepts
- Many similarities, many differences
- Both require new types of architectures & protocols compared to "traditional" wired/wireless networks
- In particular, application-specificness is a new issue

# WSN NODES

#### Outline

- Sensor node architecture
- Energy supply and consumption
- Runtime environments for sensor nodes
- Case study: TinyOS

#### Sensor node architecture

- Main components of a WSN node
  - Controller
  - Communication device(s)
  - Sensors/actuators
  - Memory
  - Power supply



#### Ad hoc node architecture

- Core: essentially the same
- But: Much more additional equipment
  - Hard disk, display, keyboard, voice interface, camera, ...
- Essentially: a laptop-class device

#### Controller

- Main options:
  - Microcontroller general purpose processor, optimized for embedded applications, low power consumption
  - DSPs optimized for signal processing tasks, not suitable here
  - FPGAs may be good for testing
  - ASICs only when peak performance is needed, no flexibility
- Example microcontrollers
  - Texas Instruments MSP430
    - 16-bit RISC core, up to 8MHz, versions with 2-10 kbytes RAM, several DACs, RT clock, prices start at 0.49 US\$
  - Atmel ATMega
    - 8-bit controller, larger memory than MSP430, slower

#### **Communication device**

- Which transmission medium?
  - Electromagnetic at radio frequencies? 🗸
  - Electromagnetic, light?
  - Ultrasound?
- Radio transceivers transmit a bit- or byte stream as radio wave
  - Receive it, convert it back into bit-/byte stream

#### **Transceiver characteristics**

- Capabilities
  - Interface: bit, byte, packet level?
  - Supported frequency range?
    - Typically, somewhere in 433 MHz 2.4 GHz, ISM band
  - Multiple channels?
  - Data rates?
  - Range?
- Energy characteristics
  - Power consumption to send/receive data?
  - Time and energy consumption to change between different states?
  - Transmission power control?
  - Power efficiency (which percentage of consumed power is radiated?)

- Radio performance
  - Modulation? (ASK, FSK, ...?)
  - Noise figure?  $NF = SNR_1/SNR_0$
  - Gain? (signal amplification)
  - Receiver sensitivity? (minimum S to achieve a given  $E_b/N_0$ )
  - Blocking performance (achieved BER in presence of frequency-offset interferer)
  - Out of band emissions
  - Carrier sensing & RSSI characteristics
  - Frequency stability (e.g., towards temperature changes)
  - Voltage range
#### **Transceiver states**

- Transceivers can be put into different operational *states*, typically:
  - Transmit
  - Receive
  - Idle ready to receive, but not doing so
    - Some functions in hardware can be switched off, reducing energy consumption a little
  - Sleep significant parts of the transceiver are switched off
    - Not able to immediately receive something
    - *Recovery time* and *startup energy* to leave sleep state can be significant
- Research issue: <u>Wakeup receivers can be woken via</u> radio when in sleep state (seeming contradiction!)

### Example radio transceivers

- Almost boundless variety available
- Some examples
  - RFM TR1000 family
    - 916 or 868 MHz
    - 400 kHz bandwidth
    - Up to 115.2 kbps
    - On/off keying or ASK
    - Dynamically tuneable output power
    - Maximum power about 1.4 mW
    - Low power consumption
  - Chipcon CC1000
    - Range 300 to 1000 MHz, programmable in 250 Hz steps
    - FSK modulation
    - Provides RSSI

- Chipcon CC 2400
  - Implements 802.15.4
  - 2.4 GHz, DSSS modem
  - 250 kbps
  - Higher power consumption than
     above transceivers
- Infineon TDA 525x family
  - E.g., 5250: 868 MHz
  - ASK or FSK modulation
  - RSSI, highly efficient power amplifier
  - Intelligent power down, "selfpolling" mechanism
  - Excellent blocking performance

### Example radio transceivers for ad hoc networks

- Ad hoc networks: Usually, higher data rates are required
- Typical: IEEE 802.11 b/g/a is considered
  - Up to 54 MBit/s
  - Relatively long distance (100s of meters possible, typical 10s of meters at higher data rates)
  - Works reasonably well (but certainly not perfect) in mobile environments
  - Problem: expensive equipment, quite power hungry

### Wakeup receivers

- Major energy problem: *RECEIVING* 
  - Idling and being ready to receive consumes considerable amounts of power
- When to switch on a receiver is not clear
  - Contention-based MAC protocols: Receiver is always on
  - TDMA-based MAC protocols: Synchronization overhead, inflexible
- Desirable: Receiver that can (only) check for incoming messages
  - When signal detected, wake up main receiver for actual reception
  - Ideally: *Wakeup receiver* can already process simple addresses
  - Not clear whether they can be actually built, however

### **Optical communication**

- Optical communication can consume less energy
- Example: passive readout via corner cube reflector
  - Laser is reflected back directly to source if mirrors are at right angles
  - Mirrors can be "titled" to stop reflecting
  - ! Allows data to be sent back to laser source



### **Ultra-wideband communication**

- Standard radio transceivers: Modulate a signal onto a carrier wave
  - Requires relatively small amount of bandwidth
- Alternative approach: Use a large bandwidth, do not modulate, simply emit a "burst" of power
  - Forms almost rectangular pulses
  - Pulses are very short
  - Information is encoded in the presence/absence of pulses
  - Requires tight time synchronization of receiver
  - Relatively short range (typically)
- Advantages
  - Pretty resilient to multi-path propagation
  - Very good ranging capabilities
  - Good wall penetration

#### Sensors as such

- Main categories
  - Any energy radiated? Passive vs. active sensors
  - Sense of direction? Omidirectional?
  - Passive, omnidirectional
    - Examples: light, thermometer, microphones, hygrometer, ...
  - Passive, narrow-beam
    - Example: Camera
  - Active sensors
    - Example: Radar
- Important parameter: Area of coverage
  - Which region is adequately covered by a given sensor?

### Outline

- Sensor node architecture
- Energy supply and consumption
- Runtime environments for sensor nodes
- Case study: TinyOS

## Energy supply of mobile/sensor nodes

- Goal: provide as much energy as possible at smallest cost/volume/weight/recharge time/longevity
  - In WSN, recharging may or may not be an option
- Options
  - Primary batteries not rechargeable
  - Secondary batteries rechargeable, only makes sense in combination with some form of energy harvesting
- Requirements include
  - Low self-discharge
  - Long shelf live
  - Capacity under load
  - Efficient recharging at low current
  - Good relaxation properties (seeming self-recharging)
  - Voltage stability (to avoid DC-DC conversion)

### **Battery examples**

• Energy per volume (Joule per cubic centimeter):

Primary batteries						
Chemistry	Zinc-air	Lithium	Alkaline			
Energy (J/cm <sup>3</sup> )	3780	2880	1200			
Secondary batteries						
Chemistry	Lithium	NiMHd	NiCd			
Energy (J/cm <sup>3</sup> )	1080	860	650			

### **Energy scavenging**

- How to recharge a battery?
  - A laptop: easy, plug into wall socket in the evening
  - A sensor node? Try to *scavenge* energy from environment
- Ambient energy sources
  - Light: solar cells between 10  $\mu W/cm^2$  and 15  $mW/cm^2$
  - Temperature gradients 80  $\mu$  W/cm<sup>2</sup> @ 1 V from 5K difference
  - Vibrations between 0.1 and 10000  $\mu$  W/cm^3
  - Pressure variation (piezo-electric) 330  $\mu$  W/cm² from the heel of a shoe

Stationary Comb



### **Energy scavenging - overview**

Energy source	Energy density		
Batteries (zinc-air)	$1050 - 1560  { m mWh/cm^3}$		
Batteries (rechargable lithium)	$300\mathrm{mWh/cm^3}$ (at $3-4\mathrm{V}$ )		
Energy source	Power density		
Solar (outdoors)	$15\mathrm{mW/cm^2}$ (direct sun)		
	$0.15\mathrm{mW/cm^2}$ (cloudy day)		
Solar (indoors)	$0.006 \mathrm{mW/cm^2}$ (standard office desk)		
	$0.57 \mathrm{mW/cm^2}$ (< 60 W desk lamp)		
Vibrations	$0.01 - 0.1 \mathrm{mW/cm^3}$		
Acoustic noise	$3\cdot 10^{-6} \mathrm{mW/cm^2}$ at $75\mathrm{Db}$		
	$9,6 \cdot 10^{-4} { m mW/cm^2}$ at $100 { m Db}$		
Passive human-powered systems	$1.8 \mathrm{mW}$ (shoe inserts)		
Nuclear reaction	$80  { m mW/cm^3}, 10^6  { m mWh/cm^3}$		

### **Energy consumption**

- A "back of the envelope" estimation
- Number of instructions
  - Energy per instruction: 1 nJ
  - Small battery ("smart dust"): 1 J = 1 Ws
  - Corresponds: 10<sup>9</sup> instructions!
- Lifetime
  - Or: Require a single day operational lifetime = 24\*60\*60
     =86400 s
  - 1 Ws / 86400s = 11.5  $\mu$ W as max. sustained power consumption!
- Not feasible!

### Multiple power consumption modes

- Way out: Do not run sensor node at full operation all the time
  - If nothing to do, switch to *power safe mode*
  - Question: When to throttle down? How to wake up again?
- Typical modes
  - Controller: Active, idle, sleep
  - Radio mode: Turn on/off transmitter/receiver, both
- Multiple modes possible, "deeper" sleep modes
  - Strongly depends on hardware
  - TI MSP 430, e.g.: four different sleep modes
  - Atmel ATMega: six different modes

### Some energy consumption figures

- Microcontroller
  - TI MSP 430 (@ 1 MHz, 3V):
    - Fully operation 1.2 mW
    - Deepest sleep mode 0.3  $\mu W$  only woken up by external interrupts (not even timer is running any more)
  - Atmel ATMega
    - Operational mode: 15 mW active, 6 mW idle
    - Sleep mode: 75  $\mu W$

### Switching between modes

- Simplest idea: Greedily switch to lower mode whenever possible
- Problem: Time and power consumption required to reach higher modes not negligible
  - Introduces overhead
  - Switching only pays off if E<sub>saved</sub> > E<sub>overhead</sub>
- Example:
   Event-triggered
   wake up from
   sleep mode
- Scheduling problem with uncertainty (exercise)



### Alternative: Dynamic voltage scaling

- Switching modes complicated by uncertainty how long a sleep time is available
- Alternative: Low supply voltage & clock
  - **Dynamic voltage scaling** (DVS)
- Rationale:
  - Power consumption P depends on
    - Clock frequency
    - Square of supply voltage
    - $P/f V^2$
  - Lower clock allows lower supply voltage
  - Easy to switch to higher clock Clock (MHz)
  - But: execution takes longer



### Memory power consumption

- Crucial part: FLASH memory
  - Power for RAM almost negligible
- FLASH writing/erasing is expensive
  - Example: FLASH on Mica motes
  - Reading: 1.1 nAh per byte
  - Writing: 83.3 nAh per byte

# Transmitter power/energy consumption for n bits

- Amplifier power:  $P_{amp} = \alpha_{amp} + \beta_{amp} P_{tx}$ 
  - P<sub>tx</sub> radiated power

  - Highest efficiency ( $\eta = P_{tx} / P_{amp}$ ) at maximum output power
- In addition: transmitter electronics needs power P<sub>txElec</sub>
- Time to transmit n bits: n / (R ¢ R<sub>code</sub>)
  - R nomial data rate, R<sub>code</sub> coding rate
- To leave sleep mode
  - Time T<sub>start</sub>, average power P<sub>start</sub>

$$\begin{array}{l} E_{tx} = T_{start} P_{start} + n / (R \notin R_{code}) (P_{txElec} + \alpha_{amp} + \beta_{amp} \\ P_{tx}^{tx}) \end{array}$$

• Simplification: Modulation not considered

## Receiver power/energy consumption for n bits

- Receiver also has startup costs
  - Time T<sub>start</sub>, average power P<sub>start</sub>
- Time for n bits is the same n / (R  $\notin$  R<sub>code</sub>)
- Receiver electronics needs P<sub>rxElec</sub>
- Plus: energy to decode n bits E<sub>decBits</sub>

$$! E_{rx} = T_{start} P_{start} + n / (R \notin R_{code}) P_{rxElec} + E_{decBits} (R)$$

### Some transceiver numbers

Symbol	Description	Example transceiver			
		$\mu$ AMPS-1	WINS	MEDUSA-II	
		[559]	[670]	[670]	
$lpha_{ m amp}$	Eq. (2.4)	$174\mathrm{mW}$	N/A	N/A	
$\beta_{\mathrm{amp}}$	Eq. (2.4)	5.0	8.9	7.43	
$P_{\rm amp}$	Amplifier pwr.	$179-674\mathrm{mW}$	N/A	N/A	
$P_{\rm rxElec}$	Reception pwr.	$279\mathrm{mW}$	$368.3\mathrm{mW}$	$12.48\mathrm{mW}$	
$P_{\rm rxIdle}$	Receive idle	N/A	$344.2\mathrm{mW}$	$12.34\mathrm{mW}$	
$P_{\mathrm{start}}$	Startup pwr.	$58.7\mathrm{mW}$	N/A	N/A	
$P_{\mathrm{txElec}}$	Transmit pwr.	$151\mathrm{mW}$	$\approx 386\mathrm{mW}$	$11.61\mathrm{mW}$	
R	Transmission	$1 { m Mbps}$	$100 \; \rm kbps$	OOK 30  kbps	
	rate			ASK 115.2 $kbps$	
$T_{\rm start}$	Startup time	$466\mu{ m s}$	N/A	N/A	

### Comparison: GSM base station power consumption Heat 602W Heat 1920W Heat 360W

Overview

DC power RF power TRX TOC RF AC power 3200W 480W 2400W 3802W 120W PS -48V ACE TRXs 84% Combining -> Heat 800W Central ĊE equipm **BTS** 800W otal Heat 3682W AC Power Rack Comcabling supply mon 300W 220V -48V -48V 85% 99% Fans (No active cooling) 3232W 3200W 3802W cooling 500W 2400W PAs consume dominant part of power 12 transceivers (12\*140W)/2400W=70% 200W · idle 140W 60W **Usable PA efficiency** Converter 85% 40W/140W=28% -48V/+27V 119W Bias Erlana Combiner 9W **Overall efficiency** Diplexer efficiency 75% (12\*10W)/3802W=3.1% TOC DTX activity ′11<u>0</u>W 47% PA 10W 15W 40W

• Details

(just to put things into perspective)

### **Controlling transceivers**

- Similar to controller, low duty cycle is necessary
  - Easy to do for transmitter similar problem to controller: when is it worthwhile to switch off
  - Difficult for receiver: Not only time when to wake up not known, it also depends on *remote* partners
  - Dependence between MAC protocols and power consumption is strong!
- Only limited applicability of techniques analogue to DVS
  - Dynamic Modulation Scaling (DSM): Switch to modulation best suited to communication depends on channel gain
  - Dynamic Coding Scaling vary coding rate according to channel gain
  - Combinations

### Computation vs. communication energy cost

- Tradeoff?
  - Directly comparing computation/communication energy cost not possible
  - But: put them into perspective!
  - Energy ratio of "sending one bit" vs. "computing one instruction": Anything between 220 and 2900 in the literature
  - To communicate (send & receive) one kilobyte
     = computing three million instructions!
- Hence: try to compute instead of communicate whenever possible
- Key technique in WSN *in-network processing!* 
  - Exploit compression schemes, intelligent coding schemes, ...

### Outline

- Sensor node architecture
- Energy supply and consumption
- Runtime environments for sensor nodes
- Case study: TinyOS

### **Operating system challenges in WSN**

- Usual operating system goals
  - Make access to device resources abstract (virtualization)
  - Protect resources from concurrent access
- Usual means
  - Protected operation modes of the CPU
  - ----hardware access only in these modes
  - Process with separate address spaces
  - Support by a memory management unit
- Problem: These are not available in microcontrollers
  - No separate protection modes, no memory management unit
  - Would make devices more expensive, more power-hungry

### **Operating system challenges in WSN**

- Possible options
  - Try to implement "as close to an operating system" on WSN nodes
    - In particular, try to provide a known programming interface
    - Namely: support for processes!
    - Sacrifice protection of different processes from each other
      ! Possible, but relatively high overhead
  - Do (more or less) away with operating system
    - After all, there is only a single "application" running on a WSN node
    - No need to protect malicious software parts from each other
    - Direct hardware control by application might improve efficiency
- Currently popular verdict: no OS, just a simple run-time environment
  - Enough to abstract away hardware access details
  - Biggest impact: Unusual programming model

# Main issue: How to support concurrency

- Simplest option: No concurrency, sequential processing of tasks
  - Not satisfactory: Risk of missing data (e.g., from transceiver) when processing data, etc.
  - ----Interrupts/asynchronous operation has to be supported
- Why concurrency is needed
  - Sensor node's CPU has to service the radio modem, the actual sensors, perform computation for application, execute communication protocol software, etc.



### **Traditional concurrency: Processes**

- Traditional OS: processes/threads
  - Based on interrupts, context switching
  - But: not available memory overhead, execution overhead
- But: concurrency mismatch
  - One process per protocol entails too many context switches
  - Many tasks in WSN small with respect to context switching overhead
- And: protection between processes not needed in WSN
  - Only one application anyway



### **Event-based concurrency**

- Alternative: Switch to event-based programming model
  - Perform regular processing or be idle
  - React to events when they happen immediately
  - Basically: interrupt handler
- Problem: must not remain in interrupt handler too long
  - Danger of loosing events
  - Only save data, post information that event has happened, then return
  - ! Run-to-completion principle
  - Two contexts: one for handlers, one for regular execution



### **Components instead of processes**

- Need an abstraction to group functionality
  - Replacing "processes" for this purpose
  - E.g.: individual functions of a networking protocol
- One option: *Components* 
  - Here: In the sense of TinyOS
  - Typically fulfill only a single, well-defined function
  - Main difference to processes:
    - Component does not have an execution
    - Components access same address space, no protection against each other
  - NOT to be confused with component-based programming!

### API to an event-based protocol stack

- Usual networking API: sockets
  - Issue: blocking calls to receive data
  - Ill-matched to event-based OS
  - Also: networking semantics in WSNs not necessarily well matched to/by socket semantics
- API is therefore also event-based
  - E.g.: Tell some component that some other component wants to be informed if and when data has arrived
  - Component will be posted an event once this condition is met
  - Details: see TinyOS example discussion below

### Dynamic power management

- Exploiting multiple operation modes is promising
- Question: When to switch in power-safe mode?
  - Problem: Time & energy overhead associated with wakeup; greedy sleeping is not beneficial (see exercise)
  - Scheduling approach
- Question: How to control dynamic voltage scaling?
  - More aggressive; stepping up voltage/frequency is easier
  - Deadlines usually bound the required speed form below
- Or: Trading off fidelity vs. energy consumption!
  - If more energy is available, compute more accurate results
  - Example: Polynomial approximation
    - Start from high or low exponents depending where the polynomial is to be evaluated

### Outline

- Sensor node architecture
- Energy supply and consumption
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- Case study: TinyOS

## Case study embedded OS: TinyOS & nesC

- TinyOS developed by UC Berkely as runtime environment for their "motes"
- nesC as adjunct "programming language"
- Goal: Small memory footprint
  - Sacrifices made e.g. in ease of use, portability
  - Portability somewhat improved in newer version
- Most important design aspects
  - Component-based system
  - Components interact by exchanging asynchronous events
  - Components form a program by *wiring* them together (akin to VHDL hardware description language)

### **TinyOS components**

- Components
  - Frame state information
  - Tasks normal execution program
  - Command handlers
  - Event handlers
- Handlers
  - Must run to completion
  - Form a component's interface
  - Understand and emits commands & events
- Hierarchically arranged
  - Events pass upward from hardware to higher-level components
  - Commands are passed downward


#### Handlers versus tasks

- Command handlers and events must run to completion
  - Must not wait an indeterminate amount of time
  - Only a *request* to perform some action
- Tasks, on the other hand, can perform arbitrary, long computation
  - Also have to be run to completion since no non-cooperative multi-tasking is implemented
  - But can be interrupted by handlers
  - ! No need for stack management, tasks are atomic with respect to each other

#### Split-phase programming

- Handler/task characteristics and separation has consequences on programming model
  - How to implement a blocking call to another component?
  - Example: Order another component to send a packet
  - Blocking function calls are not an option

#### ! Split-phase programming

- First phase: Issue the command to another component
  - Receiving command handler will only receive the command, post it to a task for actual execution and returns immediately
  - Returning from a command invocation does not mean that the command has been executed!
- Second phase: Invoked component notifies invoker by event that command has been executed
- Consequences e.g. for buffer handling
  - Buffers can only be freed when completion event is received

### Structuring commands/events into interfaces

- Many commands/events can add up
- nesC solution: Structure corresponding commands/events into *interface types*
- Example: Structure timer into three interfaces
  - StdCtrl
  - Timer
  - Clock
  - Build configurations by wiring together corresponding interfaces



# Building components out of simpler ones

- Wire together components to form more complex components out of simpler ones
- New interfaces for the complex component



#### Defining modules and components in nesC

```
interface StdCtrl {
  command result_t init();
}
interface Timer {
  command result_t start (char type, uint32_t interval);
  command result_t stop ();
  event result_t fired();
}
interface Clock {
  command result_t setRate (char interval, char scale);
 event result_t fire ();
}
module TimerComponent {
  provides {
    interface StdCtrl;
    interface Timer;
  }
 uses interface Clock as Clk;
}
```

#### Wiring components to form a configuration

```
configuration CompleteTimer {
    provides {
        interface StdCtrl;
        interface Timer;
    }
    implementation {
        components TimerComponent, HWClock;
        StdCtrl = TimerComponent. StdCtrl ;
        Timer = TimerComponent.Timer;
        TimerComponent.Clk = HWClock.Clock;
    }
}
```

#### Summary

- For WSN, the need to build cheap, low-energy, (small) devices has various consequences for system design
  - Radio frontends and controllers are much simpler than in conventional mobile networks
  - Energy supply and scavenging are still (and for the foreseeable future) a premium resource
  - Power management (switching off or throttling down devices) crucial
- Unique programming challenges of embedded
   systems
  - Concurrency without support, protection
  - De facto standard: TinyOS

# WSN ARCHITECTURE

#### Outline

- Network scenarios
- Optimization goals
- Design principles
- Service interface
- Gateway concepts

#### Basic scenarios: sensor networks

- Sensor network scenarios
  - Sources: Any entity that provides data/measurements
  - Sinks: Nodes where information is required
    - Belongs to the sensor network as such
    - Is an external entity, e.g., a PDA, but directly connected to the WSN
      - Main difference: comes and goes, often moves around, ...
    - Is part of an external network (e.g., internet), somehow connected to the WSN



- Applications: Usually, machine to machine, often limited amounts of data, different notions of importance

#### Single-hop vs. multi-hop networks

- One common problem: limited range of wireless communication
  - Essentially due to limited transmission power, path loss, obstacles
- Option: multi-hop networks
  - Send packets to an intermediate node
  - Intermediate node forwards packet to its destination
  - Store-and-forward multi-hop network
  - Basic technique applies to both WSN and MANET
  - Note: Store&forward multihopping NOT the only possible solution
    - E.g., collaborative networking, network coding
    - Do not operate on a perpacket basis



#### Energy efficiency of multi-hopping?

- Obvious idea: Multi-hopping is more energyefficient than direct communication
  - Because of path loss  $\alpha$  > 2, energy for distance d is reduced from cd<sup> $\alpha$ </sup> to 2c(d/2)<sup> $\alpha$ </sup>
    - c some constant
- However: sometime this is wrong, or at least very over-simplified
  - Need to take constant offsets for powering transmitter, receiver into account
- ! Multi-hopping for energy savings needs careful choice

#### WSN: Multiple sinks, multiple sources





#### **Different sources of mobility**

- Node mobility
  - A node participating as source/sink (or destination) or a relay node might move around
  - Deliberately, self-propelled or by external force; targeted or at random
  - Happens in both WSN and MANET
- Sink mobility
  - In WSN, a sink that is not part of the WSN might move
  - Mobile requester
- Event mobility
  - In WSN, event that is to be observed moves around (or extends, shrinks)
  - Different WSN nodes become "responsible" for surveillance of such an event

#### WSN sink mobility



# WSN event mobility: Track the pink elephant





Here: Frisbee model as example

#### Outline

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#### **Optimization goal: Quality of Service**

- In MANET: Usual QoS interpretation
  - Throughput/delay/jitter
  - High perceived QoS for multimedia applications
- In WSN, more complicated
  - Event detection/reporting probability
  - Event classification error, detection delay
  - Probability of missing a periodic report
  - Approximation accuracy (e.g, when WSN constructs a temperature map)
  - Tracking accuracy (e.g., difference between true and conjectured position of the pink elephant)
- Related goal: robustness
  - Network should withstand failure of some nodes

#### **Optimization goal: Energy efficiency**

- Umbrella term!
- Energy per correctly received bit
  - Counting all the overheads, in intermediate nodes, etc.
- Energy per reported (unique) event
  - After all, information is important, not payload bits!
  - Typical for WSN
- Delay/energy tradeoffs
- Network lifetime
  - Time to first node failure
  - Network half-life (how long until 50% of the nodes died?)
  - Time to partition
  - Time to loss of coverage
  - Time to failure of first event notification

#### **Optimization goal: Scalability**

- Network should be operational regardless of number of nodes
  - At high efficiency
- Typical node numbers difficult to guess
  - MANETs: 10s to 100s
  - WSNs: 10s to 1000s, maybe more (although few people have seen such a network before...)
- Requiring to scale to large node numbers has *serious* consequences for network architecture
  - Might not result in the most efficient solutions for small networks!
  - Carefully consider actual application needs before looking for n ! 1 solutions!

#### Outline

- Network scenarios
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#### **Distributed organization**

- Participants in a MANET/WSN should cooperate in organizing the network
  - E.g., with respect to medium access, routing, ...
  - Centralistic approach as alternative usually not feasible hinders scalability, robustness
- Potential shortcomings
  - Not clear whether distributed or centralistic organization achieves better energy efficiency (when taking all overheads into account)
- Option: "limited centralized" solution
  - Elect nodes for local coordination/control
  - Perhaps rotate this function over time

#### In-network processing

- MANETs are supposed to deliver bits from one end to the other
- WSNs, on the other end, are expected to provide information, not necessarily original bits
  - Gives addition options
  - E.g., *manipulate* or *process* the data in the network
- Main example: aggregation
  - Apply composable aggregation functions to a convergecast tree in a network
  - Typical functions: minimum, maximum, average, sum, ...
  - Not amenable functions: median

#### In-network processing: Aggregation example

• Reduce number of transmitted bits/packets by applying an aggregation function in the network



## In-network processing: signal processing

- Depending on application, more sophisticated processing of data can take place within the network
  - Example edge detection: locally exchange raw data with neighboring nodes, compute edges, only communicate edge description to far away data sinks
  - Example tracking/angle detection of signal source: Conceive of sensor nodes as a distributed microphone array, use it to compute the angle of a single source, only communicate this angle, not all the raw data

#### • Exploit *temporal* and *spatial correlation*

- Observed signals might vary only slowly in time ! no need to transmit all data at full rate all the time
- Signals of neighboring nodes are often quite similar ! only try to transmit differences (details a bit complicated, see later)

#### Adaptive fidelity

- Adapt the effort with which data is exchanged to the currently required accuracy/fidelity
- Example event detection
  - When there is no event, only very rarely send short "all is well" messages
  - When event occurs, increase rate of message exchanges
- Example temperature
  - When temperature is in acceptable range, only send temperature values at low resolution
  - When temperature becomes high, increase resolution and thus message length

#### Data centric networking

- In typical networks (including ad hoc networks), network transactions are addressed to the *identities* of specific nodes
  - A "node-centric" or "address-centric" networking paradigm
- In a redundantly deployed sensor networks, specific source of an event, alarm, etc. might not be important
  - Redundancy: e.g., several nodes can observe the same area
- Thus: focus networking transactions on the data directly instead of their senders and transmitters
   ! data-centric networking
  - Principal design change

#### Implementation options for data-centric networking

- Overlay networks & distributed hash tables (DHT)
  - Hash table: content-addressable memory
  - Retrieve data from an unknown source, like in peer-to-peer networking with efficient implementation
  - Some disparities remain
    - Static key in DHT, dynamic changes in WSN
    - DHTs typically ignore issues like hop count or distance between nodes when performing a lookup operation
- Publish/subscribe
  - Different interaction paradigm
  - Nodes can *publish* data, can *subscribe* to any particular kind of data
  - Once data of a certain type has been published, it is delivered to all subscribes
  - Subscription and publication are decoupled in time; subscriber and publisher are agnostic of each other (decoupled in identity)
- Databases

#### Further design principles

- Exploit location information
  - Required anyways for many applications; can considerably increase performance
- Exploit activity patterns
- Exploit heterogeneity
  - By construction: nodes of different types in the network
  - By evolution: some nodes had to perform more tasks and have less energy left; some nodes received more solar energy than others; ...
- Cross-layer optimization of protocol stacks for WSN
  - Goes against grain of standard networking; but promises big performance gains
  - Also applicable to other networks like ad hoc; usually at least worthwhile to consider for most wireless networks

#### Outline

- Network scenarios
- Optimization goals
- Design principles
- Service interface
- Gateway concepts

#### Interfaces to protocol stacks

- The world's all-purpose network interface: sockets
  - Good for transmitting data from one sender to one receiver
  - Not well matched to WSN needs (ok for ad hoc networks)
- Expressibility requirements
  - Support for simple request/response interactions
  - Support for asynchronous event notification
  - Different ways for identifying addressee of data
    - By location, by observed values, implicitly by some other form of group membership
    - By some semantically meaningful form "room 123"
  - Easy accessibility of in-network processing functions
    - Formulate complex events events defined only by several nodes
  - Allow to specify accuracy & timeliness requirements
  - Access node/network status information (e.g., battery level)
  - Security, management functionality, ...
- No clear standard has emerged yet many competing, unclear proposals

#### Outline

- Network scenarios
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#### Gateway concepts for WSN/MANET

- Gateways are necessary to the Internet for remote access to/from the WSN
  - Same is true for ad hoc networks; additional complications due to mobility (change route to the gateway; use different gateways)
  - WSN: Additionally bridge the gap between different interaction semantics (data vs. address-centric networking) in the gateway
- Gateway needs support for different radios/protocols, ...



#### WSN to Internet communication

- Example: Deliver an alarm message to an Internet host
- Issues
  - Need to find a gateway (integrates routing & service discovery)
  - Choose "best" gateway if several are available
  - How to find Alice or Alice's IP?

Alert Alice



#### Internet to WSN communication

- How to find the right WSN to answer a need?
- How to translate from IP protocols to WSN protocols, semantics?



Remote requester

#### WSN tunneling

• Use the Internet to "tunnel" WSN packets between two remote WSNs


# Summary

- Network architectures for ad hoc networks are in principle - relatively straightforward and similar to standard networks
  - Mobility is compensated for by appropriate protocols, but interaction paradigms don't change too much
- WSNs, on the other hand, look quite different on many levels
  - Data-centric paradigm, the need and the possibility to manipulate data as it travels through the network opens new possibilities for protocol design
- The following chapters will look at how these ideas are realized by actual protocols

# MAC OF WSN

# **Multiple Access Links and Protocols**

# Two types of "links":

- point-to-point •
  - PPP for dial-up access
  - point-to-point link between switch and host
- broadcast (shared wire or medium) ٠
  - traditional Ethernet
  - upstream HFC
  - 802.11 wireless LAN



(e.g. Ethernet)

(e.g. Wavelan)

# **Multiple Access protocols**

- single shared broadcast channel
- two or more simultaneous transmissions by nodes: interference
  - collision if node receives two or more signals at the same time

# *multiple access protocol*

- distributed algorithm that determines how nodes share channel, i.e., determine when node can transmit
- communication about channel sharing must use channel itself!
  - no out-of-band channel for coordination

# Ideal Multiple Access Protocol

# Broadcast channel of rate R bps

- 1. When one node wants to transmit, it can send at rate R.
- 2. When M nodes want to transmit, each can send at average rate R/M
- 3. Fully decentralized:
  - no special node to coordinate transmissions
  - no synchronization of clocks, slots
- 4. Simple

# MAC Protocols: a taxonomy

- Static Channel Allocation
  - divide channel into smaller "pieces" (time slots, frequency, code)
  - allocate piece to node for exclusive use
- Dynamic Channel Allocation
  - channel not divided, allow collisions
  - "recover" from collisions

# Static Channel Allocation: TDMA

# TDMA: time division multiple access

- access to channel in "rounds"
- each station gets fixed length slot (length = pkt trans time) in each round
- unused slots go idle
- example: 6-station LAN, 1,3,4 have pkt, slots 2,5,6 idle



# Static Channel Allocation: FDMA

# FDMA: frequency division multiple access

- channel spectrum divided into frequency bands
- each station assigned fixed frequency band
- unused transmission time in frequency bands go idle
- example: 6-station LAN, 1,3,4 have pkt, frequency bands 2,5,6 idle



# **Dynamic Channel Allocation**

- ALOHA, slotted ALOHA
- CSMA, CSMA/CD, CSMA/CA in future slides
- Collision free protocols
  - Bit-map protocol
  - Binary countdown

Not currently used in major system yet

- Limited contention protocols
  - Adaptive tree walk
- Wavelength division multiple access protocol

### Ethernet uses CSMA/CD

- No slots
- adapter doesn't transmit if it senses that some other adapter is transmitting, that is, carrier sense
- transmitting adapter aborts when it senses that another adapter is transmitting, that is, collision detection
- Before attempting a retransmission, adapter waits a random time, that is, random access

# MAC FOR WSN

# Goals of this chapter

- Controlling when to send a packet and when to listen for a packet are perhaps the two most important operations in a wireless network
  - Especially, idly waiting wastes huge amounts of energy
- This chapter discusses schemes for this medium access control that are
  - Suitable to mobile and wireless networks
  - Emphasize energy-efficient operation

## **Overview**

- Principal options and difficulties
- Contention-based protocols
- Schedule-based protocols
- IEEE 802.15.4

# Principal options and difficulties

- Medium access in wireless networks is difficult mainly because of
  - Impossible (or very difficult) to send and receive at the same time
  - Interference situation at receiver is what counts for transmission success, but can be very different from what sender can observe
  - High error rates (for signaling packets) compound the issues
- Requirement
  - As usual: high throughput, low overhead, low error rates, ...
  - Additionally: energy-efficient, handle switched off devices!

# Requirements for energy-efficient MAC protocols

- Recall
  - Transmissions are costly
  - Receiving about as expensive as transmitting
  - Idling can be cheaper but is still expensive
- Energy problems
  - Collisions wasted effort when two packets collide
  - **Overhearing** waste effort in receiving a packet destined for another node
  - *Idle listening* sitting idly and trying to receive when nobody is sending
  - Protocol overhead
- Always nice: Low complexity solution

## Main options



## **Centralized medium access**

- Idea: Have a central station control when a node may access the medium
  - Example: Polling, centralized computation of TDMA schedules
  - Advantage: Simple, quite efficient (e.g., no collisions), burdens the central station
- Not directly feasible for non-trivial wireless network sizes
- But: Can be quite useful when network is somehow divided into smaller groups
  - Clusters, in each cluster medium access can be controlled centrally compare Bluetooth piconets, for example

! Usually, distributed medium access is considered

# Schedule- vs. contention-based MACs

#### • Schedule-based MAC

- A *schedule* exists, regulating which participant may use which resource at which time (TDMA component)
- Typical resource: frequency band in a given physical space (with a given code, CDMA)
- Schedule can be *fixed* or computed *on demand* 
  - Usually: mixed difference fixed/on demand is one of time scales
- Usually, collisions, overhearing, idle listening no issues
- Needed: time synchronization!

#### • Contention-based protocols

- Risk of colliding packets is deliberately taken
- Hope: coordination overhead can be saved, resulting in overall improved efficiency
- Mechanisms to handle/reduce probability/impact of collisions required
- Usually, *randomization* used somehow

## **Overview**

- Principal options and difficulties
- Contention-based protocols
  - MACA
  - S-MAC, T-MAC
  - Preamble sampling, B-MAC
  - PAMAS
- Schedule-based protocols
- IEEE 802.15.4

# Distributed, contention-based MAC

- Basic ideas for a distributed MAC
  - ALOHA no good in most cases
  - Listen before talk (Carrier Sense Multiple Access, CSMA) better, but suffers from sender not knowing what is going on at receiver, might destroy packets despite first listening
- ! Receiver additionally needs some possibility to inform possible senders in its vicinity about impending transmission (to "shut them up" for this duration)

Hidden terminal scenario:



Also: recall exposed terminal scenario

# Main options to shut up senders

- Receiver informs potential interferers while a reception is on-going
  - By sending out a signal indicating just that
  - Problem: Cannot use same channel on which actual reception takes place
  - ! Use separate channel for signaling
  - Busy tone protocol
- Receiver informs potential interferers *before* a reception is on-going
  - Can use same channel
  - Receiver itself needs to be informed, by sender, about impending transmission
  - Potential interferers need to be aware of such information, need to store it

# **Receiver informs interferers before transmission - MACA**



# **RTS/CTS**

• RTS/CTS ameliorate, but do not solve hidden/exposed terminal problems



# MACA Problem: Idle listening

- Need to sense carrier for RTS or CTS packets
  - In some form shared by many CSMA variants; but e.g. not by busy tones
  - Simple sleeping will break the protocol
- IEEE 802.11 solution: ATIM windows & sleeping
  - Basic idea: Nodes that have data buffered for receivers send traffic indicators at pre-arranged points in time
  - Receivers need to wake up at these points, but can sleep otherwise
- Parameters to adjust in MACA
  - Random delays how long to wait between listen/transmission attempts?
  - Number of RTS/CTS/ACK re-trials?

- ...

# Medium Access Control in Sensor Nets

- Important attributes of MAC protocols (in the past)
  - 1. Collision avoidance
  - 2. Energy efficiency
  - 3. Scalability in node density
  - 4. Latency
  - 5. Fairness
  - 6. Throughput
  - 7. Bandwidth utilization

# Sensor-MAC (S-MAC)

- MACA's idle listening is particularly unsuitable if average data rate is low
  - Most of the time, nothing happens
- Idea: Switch nodes off, ensure that neighboring nodes turn on simultaneously to allow packet exchange (rendez-vous)
  - Only in these *active periods*, packet exchanges happen
  - Need to also exchange wakeup schedule between neighbors
  - When awake, essentially perform RTS/CTS
  - Use SYNCH, RTS, CTS phases
  - After they start data transmission, they do not follow the sleep schedule - transmit until all segments of a packet done



# S-MAC: Periodic Listen and Sleep

- Motivation: Idle listening consumes significant energy
  - Nodes do not sleep in IEEE 802.11 ad hoc mode

listen sleep listen sleep

- Solution: Periodic listen and sleep
  - Turn off radio when sleeping
  - Reduce duty cycle to ~10% (200 ms on/2s off)
  - Increased latency for reduced energy

# S-MAC: Periodic Listen and Sleep

- Schedule maintenance
  - Remember neighbors' schedules
    - to know when to send to them
  - Each node broadcasts its schedule every few periods
  - Refresh on neighbor's schedule when receiving an update
  - Schedule packets also serve as beacons for new nodes to join a neighborhood

# S-MAC: Periodic Listen and Sleep

• Schedules can differ



Preferable if neighboring nodes have same schedule
— easy broadcast & low control overhead



# S-MAC synchronized islands

- Nodes try to pick up schedule synchronization from neighboring nodes
- If no neighbor found, nodes pick some schedule to start with
- If additional nodes join, some node might learn about two different schedules from different nodes
  - "Synchronized islands"
- To bridge this gap, it has to follow both schemes



# Timeout-MAC (T-MAC)

- In S-MAC, active period is of constant length
- What if no traffic actually happens?
  - Nodes stay awake needlessly long
- Idea: Prematurely go back to sleep mode when no traffic has happened for a certain time (=timeout): T-MAC
  - After the SYNC section of the active period, there is a short window to send or receive RTS and CTS packets. If no activity happens in that period, the node goes to sleep.
  - Adaptive duty cycle!
- One ensuing problem: Early sleeping
  - C wants to send to D, but is hindered by transmission A->B



# **Preamble Sampling**

- So far: Periodic sleeping supported by some means to synchronize wake up of nodes to ensure rendez-vous between sender and receiver
- Alternative option: Don't try to explicitly synchronize nodes
  - Have receiver sleep and only periodically sample the channel
- Use *long preambles* to ensure that receiver stays awake to catch actual packet
  - Example: WiseMAC



## **B-MAC**

- Combines several of the above discussed ideas
  - Takes care to provide practically relevant solutions
- Clear Channel Assessment
  - Adapts to noise floor by sampling channel when it is assumed to be free
  - Samples are exponentially averaged, result used in gain control
  - For actual assessment when sending a packet, look at five channel samples - channel is free if even a single one of them is significantly below noise
  - Optional: random backoff if channel is found busy
- Optional: Immediate link layer acknowledgements for received packets

# **B-MAC II**

- Low Power Listening (= preamble sampling)
  - Uses the clear channel assessment techniques to decide whether there is a packet arriving when node wakes up
  - Timeout puts node back to sleep if no packet arrived
- B-MAC does not have
  - Synchronization
  - RTS/CTS
  - Results in simpler, leaner implementation
  - Clean and simple interface
- Currently: Often considered as the *default WSN* MAC protocol

## **Power Aware Multiaccess with Signaling - PAMAS**

- Idea: combine busy tone with RTS/CTS
  - Results in detailed overhearing avoidance, does not address idle listening
  - Uses separate *data* and *control channels*
- Procedure
  - Node A transmits RTS on control channel, does not sense channel
  - Node B receives RTS, sends CTS on control channel if it can receive and does not know about ongoing transmissions
  - B sends busy tone as it starts to receive data



# PAMAS - Already ongoing transmission

- Suppose a node C in vicinity of A is already receiving a packet when A initiates RTS
- Procedure
  - A sends RTS to B
  - C is sending busy tone (as it receives data)
  - CTS and busy tone collide, A receives no CTS, does not send data



Similarly: Ongoing transmission near B destroys RTS by busy tone

А

B
#### **Overview**

- Principal options and difficulties
- Contention-based protocols
- Schedule-based protocols
  - LEACH
  - SMACS
  - TRAMA
- IEEE 802.15.4

### Low-Energy Adaptive Clustering Hierarchy (LEACH)

- Given: dense network of nodes, reporting to a central sink, each node can reach sink directly
- Idea: Group nodes into "clusters", controlled by clusterhead
  - Setup phase; details: later
  - About 5% of nodes become clusterhead (depends on scenario)
  - Role of clusterhead is rotated to share the burden
  - Clusterheads advertise themselves, ordinary nodes join CH with strongest signal
  - Clusterheads organize
    - CDMA code for all member transmissions
    - TDMA schedule to be used within a cluster
- In steady state operation
  - CHs collect & aggregate data from all cluster members
  - Report aggregated data to sink using CDMA

#### **LEACH rounds**



#### SMACS

- Given: many radio channels, superframes of known length (not necessarily in phase, but still time synchronization required!)
- Goal: set up directional *links* between neighboring nodes
  - Link: radio channel + time slot at both sender and receiver
  - Free of collisions at receiver
  - Channel picked randomly, slot is searched greedily until a collision-free slot is found
- Receivers sleep and only wake up in their assigned time slots, once per superframe
- In effect: a local construction of a schedule

#### SMACS link setup

- Case 1: Node X, Y both so far unconnected
  - Node X sends invitation message
  - Node Y answers, telling X that is unconnected to any other node
  - Node X tells Y to pick slot/frequency for the link
  - Node Y sends back the link specification
- Case 2: X has some neighbors, Y not
  - Node X will construct link specification and instruct
    Y to use it (since Y is unattached)
- Case 3: X no neighbors, Y has some
  - Y picks link specification
- Case 4: both nodes already have links
  - Nodes exchange their schedules and pick free slots/frequencies in mutual agreement



Message exchanges protected by randomized backoff

#### TRAMA

- Nodes are synchronized
- Time divided into cycles, divided into
  - Random access periods
  - Scheduled access periods
- Nodes exchange neighborhood information
  - Learning about their two-hop neighborhood
  - Using *neighborhood exchange protocol*: In random access period, send small, incremental neighborhood update information in randomly selected time slots
- Nodes exchange schedules
  - Using *schedule exchange protocol*
  - Similar to neighborhood exchange

#### **TRAMA - adaptive election**

- Given: Each node knows its two-hop neighborhood and their current schedules
- How to decide which slot (in scheduled access period) a node can use?
  - Use *node identifier* x and globally known *hash function* h
  - For time slot t, compute *priority* p = h (x © t)
  - Compute this priority for next k time slots for node itself and all two-hop neighbors
  - Node uses those time slots for which it has the highest priority

Priorities of node A and its two neighbors B & C

its ors		t = 0	t = 1	t = 2	t=3	t = 4	t = 5
	А	14	23	9	56	3	26
	В	33	64	8	12	44	6
	С	53	18	6	33	57	2

#### **TRAMA - possible conflicts**

- When does a node have to receive?
  - Easy case: one-hop neighbor has won a time slot and announced a packet for it
  - But complications exist compare example
  - What does B believe?
    - A thinks it can send
    - B knows that D has higher priority in its 2hop neighborhood!
- Rules for resolving such conflicts are part of TRAMA



#### Comparison: TRAMA, S-MAC

- Comparison between TRAMA & S-MAC
  - Energy savings in TRAMA depend on load situation
  - Energy savings in S-MAC depend on duty cycle
  - TRAMA (as typical for a TDMA scheme) has higher delay but higher maximum throughput than contention-based S-MAC
- TRAMA disadvantage: substantial memory/CPU requirements for schedule computation

#### **Overview**

- Principal options and difficulties
- Contention-based protocols
- Schedule-based protocols
- IEEE 802.15.4

#### IEEE 802.15.4

- IEEE standard for low-rate WPAN applications
- Goals: low-to-medium bit rates, moderate delays without too stringent guarantee requirements, low energy consumption
- Physical layer
  - 20 kbps over 1 channel @ 868-868.6 MHz
  - 40 kbps over 10 channels @ 905 928 MHz
  - 250 kbps over 16 channels @ 2.4 GHz
- MAC protocol
  - Single channel at any one time
  - Combines contention-based and schedule-based schemes
  - Asymmetric: nodes can assume different roles

#### IEEE 802.15.4 MAC overview

- Star networks: *devices* are associated with *coordinators*
  - Forming a PAN, identified by a PAN identifier
- Coordinator
  - Bookkeeping of devices, address assignment, generate beacons
  - Talks to devices and peer coordinators
- Beacon-mode superframe structure





#### Wakeup radio MAC protocols

- Simplest scheme: Send a wakeup "burst", waking up all neighbors ! Significant overhearing
  - Possible option: First send a short *filter packet* that includes the actual destination address to allow nodes to power off quickly
- Not quite so simple scheme: Send a wakeup burst including the receiver address
  - Wakeup radio needs to support this option
- Additionally: Send information about a (randomly chosen) data channel, CDMA code, ... in the wakeup burst
- Various variations on these schemes in the literature, various further problems
  - One problem: 2-hop neighborhood on wakeup channel might be different from 2-hop neighborhood on data channel
  - Not trivial to guarantee unique addresses on both channels

#### **Further protocols**

- MAC protocols for ad hoc/sensor networks is one the most active research fields
  - Tons of additional protocols in the literature
  - Examples: STEM, mediation device protocol, many CSMA variants with different timing optimizations, protocols for multi-hop reservations (QoS for MANET), protocols for multiple radio channels, ...
  - Additional problems, e.g., reliable multicast
- This chapter has barely scratched the surface...

#### Summary

- Many different ideas exist for medium access
  control in MANET/WSN
- Comparing their performance and suitability is difficult
- Especially: clearly identifying interdependencies between MAC protocol and other layers/applications is difficult
  - Which is the best MAC for which application?
- Nonetheless, certain "common use cases" exist
  - IEEE 802.11 DCF for MANET
  - IEEE 802.15.4 for some early "commerical" WSN variants
  - B-MAC for WSN research not focusing on MAC

## LINK LAYER OF WSN

#### **Overview**

- Error control
- Framing
- Link management

#### **Error control**

- Error control has to ensure that data transport is
  - Error-free deliver exactly the sent bits/packets
  - In-sequence deliver them in the original order
  - Duplicate-free and at most once
  - Loss-free and at least once
- Causes: fading, interference, loss of bit synchronization, ...
  - Results in bit errors, bursty, sometimes heavy-tailed runs (see physical layer chapter)
  - In wireless, sometimes quite high average bit error rates 10<sup>-2</sup> ... 10<sup>-4</sup> possible!
- Approaches
  - Backward error control ARQ
  - Forward error control FEC

#### Backward error control - ARQ

- Basic procedure (a quick recap)
  - Put header information around the payload
  - Compute a checksum and add it to the packet
    - Typically: Cyclic redundancy check (CRC), quick, low overhead, low residual error rate
  - Provide feedback from receiver to sender
    - Send *positive* or *negative acknowledgement*
  - Sender uses timer to detect that acknowledgements have not arrived
    - Assumes packet has not arrived
    - Optimal timer setting?
  - If sender infers that a packet has not been received correctly, sender can retransmit it
    - What is maximum number of retransmission attempts? If bounded, at best a semi-reliable protocols results

#### Standard ARQ protocols

- Alternating bit at most one packet outstanding, single bit sequence number
- Go-back N send up to N packets, if a packet has not been acknowledged when timer goes off, retransmit all unacknowledged packets
- Selective Repeat when timer goes off, only send that particular packet

#### How to use acknowledgements

- Be careful about ACKs from different layers
  - A MAC ACK (e.g., S-MAC) does not necessarily imply buffer space in the link layer
  - On the other hand, having both MAC and link layer ACKs is a waste
- Do not (necessarily) acknowledge every packet use cumulative ACKs
  - Tradeoff against buffer space
  - Tradeoff against number of negative ACKs to send

#### When to retransmit

- Assuming sender has decided to retransmit a packet when to do so?
  - In a BSC channel, any time is as good as any
  - In fading channels, try to avoid bad channel states postpone transmissions
  - Instead (e.g.): send a packet to another node if in queue (exploit multi-user diversity)
- How long to wait?
  - Example solution: Probing protocol
  - Idea: reflect channel state by two protocol modes, "normal" and "probing"
  - When error occurs, go from normal to probing mode
  - In probing mode, periodically send short packets (acknowledged by receiver) - when successful, go to normal mode

#### Forward error control

- Idea: Endow symbols in a packet with additional redundancy to withstand a limited amount of random permutations
  - Additionally: interleaving change order of symbols to withstand burst errors



#### **Error-Correction Code**

## Hamming code

#### • Theoretical lower limit

- A code with m message bits and r check bits which will allow all single errors to be corrected
  - 2<sup>m</sup> legal messages legal codewords
  - For each legal message, there is n illegal codewords
  - Total number of bit pattern is 2<sup>n</sup>
  - Therefore,  $(n+1)2^m \le 2^n$
  - n=m+r, (m+r+1) ≤2<sup>r</sup>

#### • Hamming Code

- It can correct any single bit error
- bits in power of 2 positions (1,2,4,8,) are check bits, the rest are m data bits
- Check bit forces the parity of some collection of bit "1" to be even
- To see which check bits the data bit in position k contributes to, rewrite k as a sum of powers of 2.
  - 11=1+2+8, 29=1+4+8+16

#### Hamming Code Example

If a 12-bit hamming code 0x9B8 = 100110111000 arrives at receiver, is there any bit error? If so, which bit is wrong?

	1 2 $3_{=2+1}$ 4 $5_{=4+1}$ $6_{=4+2}$ $7_{=4+2+1}$ 8 $9_{=8+1}$ 10	=8+2 11=8+2+1 <b>12</b> =8+4
	100 11 0 1 11 0	0 0
Check bit	Checked Data bits	Bits Sequence
1	3 5 7 9 11	101110 (Yes)
2	3 6 7 10 11	000100 (No)
4	5 6 7 12	11010 (No)
8	9 10 11 12	11000 (Yes)

Hence bit 6 = 2+4 is wrong, so correct hamming code should be 0x9F8 = 100111111000

#### **Correct burst errors**

Char.	ASCII	Check bits	
Н	1001000	00110010000	
а	1100001	10111001001	
m	1101101	11101010101	
m	1101101	11101010101	
i	1101001	01101011001	
n	1101110	01101010110	
g	1100111	01111001111	
	0100000	10011000000	
С	1100011	11111000011	
0	1101111	10101011111	
d	1100100	11111001100	
е	1100101	00111000101	
		•	

Order of bit transmission

Use of Hamming code to correct k burst errors by send k ASCII character together, column by column. (Read Tanenbaum textbook page 195)

#### Comparison: FEC vs. ARQ

- FEC
  - Constant overhead for each packet
  - Not (easily) possible to adapt to changing channel characteristics
- ARQ
  - Overhead only when errors occurred (expect for ACK, always needed)
- Both schemes have their uses ! hybrid schemes



t: error correction capacity

BCH + unlimited number of retransmissions

#### Power control on a link level

- Further controllable parameter: transmission power
  - Higher power, lower error rates less FEC/ARQ necessary
  - Lower power, higher error rates higher FEC necessary
- Tradeoff!

#### **Overview**

- Error control
- Framing
- Link management

#### Frame, packet size

- Small packets: low packet error rate, high packetization overhead
- Large packets: high packet error rate, low overhead
- Depends on bit error rate, energy consumption per transmitted bit
- Notation: h(overhead, payload size, BER)



#### Dynamically adapt frame length

- For known bit error rate (BER), optimal frame length is easy to determine
- Problem: how to estimate BER?
  - Collect channel state information at the receiver (RSSI, FEC decoder information, ...)
  - Example: Use number of attempts T required to transmit the last M packets as an estimator of the packet error rate (assuming a BSC)
- Second problem: how long are observations valid/how should they be aged?
  - Only recent past is if anything at all somewhat credible

# Putting it together: ARQ, FEC, frame length optimization

- Applying ARQ, FEC (both block and convolutional codes), frame length optimization to a Rayleigh fading channel
  - Channel modeled as Gilbert-Elliot



#### **Overview**

- Error control
- Framing
- Link management

#### Link management

- Goal: decide to which neighbors that are *more or less* reachable a link should be established
  - Problem: communication quality fluctuates, far away neighbors can be costly to talk to, error-prone, quality can only be estimated
- Establish a *neighborhood table* for each node
  - Partially automatically constructed by MAC protocols

#### Link quality characteristics

- Expected: simple, circular shape of "region of communication" - not realistic
- Instead:
  - Correlation between distance and loss rate is weak; iso-loss-lines are not circular but irregular
  - Asymmetric links are relatively frequent (up to 15%)
  - Significant short-term PER variations even for stationary nodes



#### Three regions of communication

- *Effective region*: PER consistently < 10%
- *Transitional region:* anything in between, with large variation for nodes at same distance
- *Poor region*: PER well beyond 90%


# Link quality estimation

- How to estimate, on-line, in the field, the actual link quality?
- Requirements
  - Precision estimator should give the statistically correct result
  - Agility estimator should react quickly to changes
  - Stability estimator should not be influenced by short aberrations
  - Efficiency Active or passive estimator



• Example:  
WMEWMA 
$$P_n = \alpha P_{n-1} + (1 - \alpha) \frac{r_n}{r_n + f_n}$$
  
wmewma  $r_n$ : received packets in interval  
only estimates  $f_n$ : packets identified as lost  
at fixed intervals

 $\boldsymbol{r}_{m}$ 

# Conclusion

- Link layer combines traditional mechanisms
  - Framing, packet synchronization, flow control

# with relatively specific issues

- Careful choice of error control mechanisms tradeoffs between FEC & ARQ & transmission power & packet size ...
- Link estimation and characterization

# WSN ROUTING

# **Routing Overview**

- Network with nodes, edges
- In any network of diameter > 1, the routing & forwarding problem appears
- Goal: Devise scheme for transferring message from one node to another
  - Which path?
  - Who decides source or intermediate nodes?



# **Characteristics of Ad Hoc Communications**

- Characteristics are dominated by heterogeneity and variability
  - Mobility characteristics (speed, predictability, uniformity, synthetic vs. empirical models , ...)
  - Wireless characteristics (broadcast nature of the net, packet losses due to transmission errors, limited range, hidden and exposed terminals, partitioning)
  - Application / traffic characteristics and patterns (P2P, real time, unicast, multicast, geocast, CBR, VBR, self-similar, ...)
  - System characteristics (distribution, absence of infrastructure, (unpredictable) high dynamics, (a)symmetry ...)

# Hidden and Exposed Terminals

#### • Hidden terminals

- A sends to B, C cannot receive A
- C wants to send to B, C senses a "free" medium
- collision at B, A cannot receive the collision
- A is "hidden" for C

# 

R

C

#### • Exposed terminals

- B sends to A, C wants to send to another terminal (not A or B)
- C senses carrier, finds medium in use and has to wait
- A is outside the radio range of C, therefore waiting is not necessary
- C is "exposed" to B

# Why Specialized Ad Hoc Routing



# In WANETs

- Some nodes may be out of range of others
- Must use other peer nodes as routers to forward packets
- Need to find new routes as nodes move or conditions change (highly dynamic and unpredictable)
- Routing protocol captures and distributes state of network
- Routing strategy (algorithm) computes shortest paths

## **Requirements for Ad Hoc Routing**

- The routing protocol needs to
  - Converge fast
  - Minimize signaling overhead
- The routing strategy (algorithm) may include
  - Shortest distance
  - Minimum delay
  - Minimum loss
  - Minimum congestion (load-balancing)
  - Minimal interference
  - Maximum stability of routes or maximal signal strength
  - Minimum energy (power aware routing)
- Standard Internet routing cannot fulfill these requirements
  - Assumes infrastructure, assumes symmetrical conditions, assumes plenty of resources, misses metrics, ...

#### **Review of Internet Routing**

- Intra-AS (autonomous systems) Routing
  - OSPF: Open Shortest Path First
    - Link State algorithm (Global)
      - LS packet dissemination (entire network)
      - Complete topology map at each node
      - Route computation using Dijkstra's algorithm
  - RIP: Routing Information Protocol
    - Distance Vector algorithm (Decentralized)
      - Router knows physically-connected neighbors, link costs to neighbors
      - Distance vectors: exchanged among neighbors
      - Iterative process of computation, exchange of info with neighbors

#### **Graph abstraction**



Graph: G = (N,E)

N = set of routers =  $\{ u, v, w, x, y, z \}$ 

 $E = set of links = \{ (u,v), (u,x), (v,x), (v,w), (x,w), (x,y), (w,y), (w,z), (y,z) \}$ 

Remark: Graph abstraction is useful in other network contexts

Example: P2P, where N is set of peers and E is set of TCP connections

#### Graph abstraction: costs



• c(x,x') = cost of link(x,x')

$$- e.g., c(w,z) = 5$$

 cost could always be 1, or inversely related to bandwidth, or inversely related to congestion

Cost of path 
$$(x_1, x_2, x_3, ..., x_p) = c(x_1, x_2) + c(x_2, x_3) + ... + c(x_{p-1}, x_p)$$

Question: What's the least-cost path between u and z?

Routing algorithm: algorithm that finds least-cost path

# A Link-State Routing Algorithm

#### Dijkstra's algorithm

- net topology, link costs known to all nodes
  - accomplished via "link state broadcast"
  - all nodes have same info
- computes least cost paths from one node ('source") to all other nodes
  - gives forwarding table for that node
- iterative: after k iterations, know least cost path to k dest.'s

#### Notation:

- c(x,y): link cost from node x to y; =
   ∞ if not direct neighbors
- D(v): current value of cost of path from source to dest. v
- p(v): predecessor node along path from source to v
- N': set of nodes whose least cost path definitively known

# Dijsktra's Algorithm

#### 1 Initialization:

- 2 N' = {u}
- 3 for all nodes v
- 4 if v adjacent to u

5 then 
$$D(v) = c(u,v)$$

6 else 
$$D(v) = \infty$$

7

#### 8 Loop

- 9 find w not in N' such that D(w) is a minimum
- 10 add w to N'
- 11 update D(v) for all v adjacent to w and not in N':
- 12 D(v) = min(D(v), D(w) + c(w,v))
- 13 /\* new cost to v is either old cost to v or known
- 14 shortest path cost to w plus cost from w to v \*/
- 15 until all nodes in N'

# Dijkstra's algorithm: example

Step	N'	D(v),p(v)	D(w),p(w)	D(x),p(x)	D(y),p(y)	D(z),p(z)
0	u	2,u	5,u	1,u	00	∞ ∞
1	ux 🔶	2,u	4,x		2,x	4.v
2	uxy┥	<u>2,u</u>	З,у			4,y
3	uxyv 🗲		3,y			4,y
4	uxyvw 🔶					
5	uxyvwz 🗲					



#### **Distance Vector Algorithm**

Bellman-Ford Equation (dynamic programming)

Define

 $d_x(y) := cost of least-cost path from x to y$ 

Then

 $d_x(y) = \min \{c(x,v) + d_v(y)\}$ 

where min is taken over all neighbors of x

#### **Bellman-Ford example**



Clearly, 
$$d_v(z) = 5$$
,  $d_x(z) = 3$ ,  $d_w(z) = 3$   
B-F equation says:  
 $d_u(z) = \min \{ c(u,v) + d_v(z), c(u,x) + d_x(z), c(u,w) + d_w(z) \}$   
 $= \min \{2 + 5, 1 + 3, 5 + 3\} = 4$ 

Node that achieves minimum is next hop in shortest path  $\rightarrow$  forwarding table

#### **Distance Vector Algorithm**

- D<sub>x</sub>(y) = estimate of least cost from x to y
- Distance vector:  $D_x = [D_x(y): y \in N]$
- Node x knows cost to each neighbor v: c(x,v)
- Node x maintains  $D_x = [D_x(y): y \in N]$
- Node x also maintains its neighbors' distance vectors
  - For each neighbor v, x maintains
     D<sub>v</sub> = [D<sub>v</sub>(y): y ∈ N ]

#### Distance vector algorithm

# Basic idea:

- Each node periodically sends its own distance vector estimate to neighbors
- When node a node x receives new DV estimate from neighbor, it updates its own DV using B-F equation:

# $D_x(y) \leftarrow \min_{v} \{ c(x,v) + D_v(y) \}$ for each node $y \in N$

• Under minor, natural conditions, the estimate  $D_x(y)$  converge the actual least cost  $d_x(y)$ 

# **Distance Vector Algorithm**

#### Iterative, asynchronous:

each local iteration caused by:

- local link cost change
- DV update message from neighbor

# Distributed:

- each node notifies neighbors only when its DV changes
  - neighbors then notify their neighbors if necessary

# Each node:

```
wait for (change in local link cost
of msg from neighbor)
recompute estimates
if DV to any dest has changed,
notify neighbors
```



# **Ad Hoc Routing**

- Simple solution: Flooding of data packets
  - Does not need any information (routing tables) simple
  - Packets are usually delivered to destination
  - But: extremely high overhead, usually not acceptable
  - Many protocols perform (limited) flooding of control packets
    - To discover routes
    - Overhead of control packet flooding is amortized over data packets transmitted between consecutive control packet floods
  - Modified flooding based ad hoc broadcast routing

## **Ad Hoc Routing - Classification**

- Uniform Protocols vs Non-Uniform Protocols
  - All nodes are equal
  - Some nodes have special roles (e.g. Clusterhead, Gateway-node)
- Flat Routing vs. Hierarchical/Clustered Routing
  - Network has no hierarchy
  - Trying to structure/cluster the network
- Proactive (table-driven) vs Reactive (on-demand)
  - Trade-off latency vs overhead
  - Hybrid (combination)
- Source Routing vs Destination Routing
- Other:
  - Geographical/Position-based routing
  - Power efficient routing
  - Multipath routing

- .....

#### Who determines route?

- Source ("path") routing
  - Source specifies entire route: places complete path to destination in message header: A D F G
  - Intermediate nodes just forward to specified next hop: D would look at path in header, forward to F
  - Like airline travel get complete set of tickets to final destination before departing...

#### Who determines route?

- Destination ("hop-by-hop") routing
  - Source specifies only destination in message header: G
  - Intermediate nodes look at destination in header, consult internal tables to determine appropriate next hop
  - Like postal service specify only the final destination on an envelope, and intermediate post offices select where to forward next...

# Comparison

# • Source routing

- Moderate source storage (entire route for each desired dest.)
- No intermediate node storage
- Higher routing overhead (entire path in message header, route discovery messages)

- Destination routing
  - No source storage
  - High intermediate node storage (table w/ routing instructions for all possible dests.)
  - Lower routing overhead (just dest in header, only routers need deal w/ route discovery)

#### When does routing operate ?

- Main question to ask: *When* does the routing protocol operate?
- Option 1: Routing protocol *always* tries to keep its routing data up-to-date
  - Protocol is *proactive* (active before tables are actually needed) or *table-driven*
- Option 2: Route is only determined when actually needed
  - Protocol operates *on demand or reactive*
- Option 3: Combine these behaviors
  - *Hybrid* protocols

#### **Proactive vs. Reactive**

- Proactive routing maintains routes to every other node in the network
- Table driven
- Regular routing updates impose large overhead
- No latency in route discovery, i.e., data can be sent immediately
- Most routing information might never be used
- Suitable for high traffic networks
- Bellman-Ford type algorithms

- Reactive routing maintains routes to only those nodes which are needed
- Cost of finding routes is expensive since flooding is involved
- Might be delay before transmitting data
- Cache information from other nodes' transmissions
- May not be appropriate for realtime applications
- Good for low/medium traffic networks

#### **Taxonomy of Routing Protocols**



# **Routing in Ad Hoc**

- Lots and lots of protocols suggested
- Sample Protocols
  - Table Driven / Proactive: DSDV
  - **On-Demand-Driven Reactive:** AODV, DSR
  - Hybrid: ZRP
  - **Geographic Routing:** GPSR, LAR
  - Hierarchical Routing: CBRP

Destination Sequenced Distance Vector **DSDV** 

C. Perkins and P. Bhagwat IBM and U of Maryland

"Highly Dynamic Destination-Sequenced Distance-Vector Routing (DSDV) for Mobile Computers", ACM SIGCOMM, 1994.

#### Introduction

Uniform

- DSDV is Uniform
  - Each node sends and responds to routing control message the same way
  - No hierarchical structure
  - Avoids the resource costs involved in maintaining high-level structure
  - Scalability may become an issue in larger networks

## Introduction



# • DSDV is Destination Based

- Nodes maintain only local topology information
  - (e.g. 1 or 2-hop neighborhood)
- No global view of topology
- Possible inconsistencies

#### Introduction



# • DSDV is Proactive (Table Driven)

- Each node maintains routing information for all known destinations
- Routing information must be updated periodically (no sleeping nodes)
- Traffic overhead even if there is no change in network topology
- Maintains routes which are never used

#### **Distance Vector**

- Basic Routing Protocol
  - known also as Distributed Bellman-Ford or RIP
- Every node maintains a routing table
  - all available destinations
  - the next node to reach to destination
  - the number of hops to reach the destination
- Periodically send table to all neighbors to maintain topology
- Bi-directional links are required!

#### **Distance Vector (Tables)**



#### **Distance Vector (Update)**


#### **Distance Vector (New Node)**



#### **Distance Vector (Broken Link)**



## **Distance Vector (Loops)**



#### **Distance Vector (Count to Infinity)**



#### **Distance Vector**

- DV not suited for ad-hoc networks!
  - Loops
    - Bandwidth reduction in network
    - Unnecessary work for loop nodes
  - Count to Infinity
    - Very slow adaptation to topology changes.
- Solution -> DSDV

## **DSDV Protocol**

- Keep the simplicity of Distance Vector
- Guarantee loop freeness
  - New Table Entry for Destination Sequence Number
- Allow fast reaction to topology changes
  - Make immediate route advertisement on significant changes in routing table
  - but wait with advertising of unstable routes

## DSDV (Table Entries)

Destination	Next	Metric	Seq. Nr	Install Time
Α	Α	0	A-550	001000
В	В	1	B-102	001200
С	В	3	C-588	001200
D	В	4	D-312	001200

- Sequence number originated from destination. Ensures loop freeness.
- Install time when entry was made (used to delete stale entries from table)

## **DSDV** (Route Advertisements)

- Advertise to each neighbor own routing information
  - Destination Address
  - Metric = Number of Hops to Destination
  - Destination Sequence Number
  - Other info (e.g. hardware addresses)
- Rules to set sequence number information
  - On each advertisement increase own destination sequence number (use only even numbers)
  - If a node is no more reachable (timeout) increase sequence number of this node by 1 (odd sequence number) and set metric  $= \infty$ .

## **DSDV (Route Selection)**

- Update information is compared to own routing table
  - Select route with higher destination sequence number (This ensure to use always newest information from destination)
  - 2. Select the route with better metric when sequence numbers are equal.

# DSDV (Tables)



Dest.	Next	Metric	Seq
А	А	0	A-550
В	В	1	B-100
С	В	2	C-586

Dest.	Next	Metric	Seq
А	А	1	A-550
В	В	0	B-100
С	С	2	C-588

Dest.	Next	Metric	Seq.
Α	В	1	A-550
В	В	2	B-100
С	С	0	C-588

#### **DSDV (Route Advertisement)**



## DSDV (Respond to Topology Changes)

- Immediate advertisements
  - Information on new Routes, broken Links, metric change is immediately propagated to neighbors.
- Full/Incremental update:
  - Full Update: Send all routing information from own table.
  - Incremental Update: Send only entries that has changed. (Make it fit into one single packet)

## DSDV (New Node)



#### DSDV (New Node cont.)



#### DSDV (no loops, no count to infinity)



## **DSDV (Immediate Advertisement)**



## **DSDV** properties

- Advantages
  - Simple (almost like Distance Vector)
  - Loop free through destination seq. numbers
  - No latency caused by route discovery
- Disadvantages
  - No sleeping nodes
  - Bi-directional links required
  - Overhead: most routing information never used
  - Scalability is a major problem

## **Reactive Protocols**

Dynamic Source Routing (DSR)

- Every packet carries the routing sequence
- Intermediate nodes may learn routes on "heard" traffic (RREQ, RREP, DATA)
- No periodic sending of routing packets
- May piggyback route requests on route replies

Ad-Hoc On Demand Distance Vector (AODV)

- Uses "traditional" routing tables
- Hello messages sent periodically to identify neighbors
- Sequence numbers guarantees freshness
- Route requests are sent in reverse direction, i.e. only uses bi-directional links

# Dynamic Source Routing **DSR**

D. B. Johnson and D. A. Maltz CMU

"Dynamic Source Routing in Ad-Hoc wireless Networks",

Mobile Computing, edited by T. Imielinski and H. Korth, Chapter 5, Kluwer Academic Publishers, 1996.

# Dynamic Source Routing (DSR)

- To send a packet the sender constructs a *source route* in the packet's header (Source Routing)
- The source route has the address of every host through which the packet should be forwarded to reach its destination
- No Periodic Announcements
- Each host in the ad hoc network maintains a *route cache* in which it stores source routes it has learned
- Each entry in the route cache has an expiration period, after which it will be deleted
- If the sender doesn't have a route to a destination it then attempts to find out by using a *routing discovery* process
- While waiting for the routing discovery to complete the sender continues sending and receiving packets with other hosts
- Each host uses a *route maintenance* procedure to monitor the correct operation of a route

#### **DSR - Route Discovery**

Source broadcasts a route packet with the address of the source and destination



#### **DSR - Route Discovery**



### How to send a reply packet

- If the destination has a route to the source in its route cache, use it
- Else if symmetric links are supported, use the reverse of route record
- Else if symmetric links are not supported, the destination initiate route discovery to source

#### **DSR - Route Discovery**

reply packet follows the reverse path of the route request packet recorded in broadcast packet



#### **Route Discovery: at source A**



## Route Discovery: At an intermediate node



#### DSR - Route Maintenance

- Usually the data link layer has a mechanism to detect a link failure
- When a link failure is detected the host sends a *route error packet* to the original sender of the packet
- The route error packet has the address of the host who detected the failure and the host to which it was attempting to transmit the packet
- When a route error packet is received by a host, the hop in error is removed from the host's route cache, and all routes which contain this hop are truncated at that point
- To return the route error packet the host uses a route in its route cache to the sender of the original packet. It the host does not have a route it can reverse the route information carried in the packet that could not be forwarded because of the link error. The later assumes that only bidirectional links are being used for routing
- Another option to return route error packets is to perform a route discovery process to find a route to the original sender and use that route

## **DSR - Optimizations**

Several optimizations are possible to reduce the amount of overhead traffic

#### **Route Cache**

During the process of route discovery and maintenance a host receives, directly or indirectly, information about routes to other hosts thus minimizing the need to search for that information in the future. For example in the following ad hoc network let's assume that node A performs a route discovery to E



Since hosts B, C, and D are on the route to E, host A also learns the routes to B, C, and D. Likewise these "intermediate hosts" learn about routes to each other by looking into the content of the route reply packet

## **Route Discovery - with Cache**

- The source sends a broadcast packet which contains source address, destination address, request id and path.
- If a host saw the packet before, discards it.
- Otherwise, the route looks up its route caches to look for a route to destination, If not find, appends its address into the packet, rebroadcast,
- If finds a route in its route cache, sends a route reply packet, which is sent to the source by route cache or the route discovery.

### **Route Request - with Cache**



### **Route Reply - with Cache**



## **DSR - Optimizations**

#### **Piggybacking on Route Discoveries**

To minimize the delay in delivering a data packet when there is no route to the destination and a route discovery process is needed one can piggyback the data on the route request packets

#### Learning by "listening"

If the host operate in promiscuous receiving mode, i.e. they receive and process every transmission in their range, then they can obtain substantial information about routing, e.g., in the following network,



Nodes B, C, and D, listen a process the route error packet from E to A. Since the route error packet identifies precisely the hop where the failure was detected hosts B, C, and D can update their route cache with this information

## DSR - summary

- On-demand, potentially minimum control message overhead if topology does not change often
- Do not exchange the routing update periodically, so overhead transmission is greatly reduced.
- Packet delays/jitters(variations of delays) associated with ondemand routing
- Route caching used to minimize route discovery overhead
- Scalability problem: Not easily scalable to large networks since high route discovery latency for large network.
- The need to place the entire route in the route replies and data packets translates in large on the air packet overhead (packet header size grows with route length due to source routing)
- Allows for the possibility to keep multiple routes to a destination in the route cache
- CPU and memory use demands on each host are high since the routes have to be continuously maintained and updated

Ad-Hoc on Demand Distance Vector

C. E. Perkins, E. M. Royer SUN and UCSB

"Ad-Hoc on Demand Distance Vector Routing", Proc. IEEE WMCSA '99, 1999.

## **AODV Algorithm**

- The algorithm's primary objectives are
  - To broadcast discovery packets only when necessary
  - To distinguish between local connectivity management and general topology maintenance
  - To disseminate information about changes in local connectivity to those neighboring nodes
- Algorithm
  - Path Discovery
  - Reverse Path Setup
  - Forward Path Setup
  - Route Table Management
  - Path Maintenance
  - Local Connectivity Management

## Ad-Hoc On Demand Distance Vector (AODV)

- Based on the Destination-Sequenced Distance-Vector (DSDV) algorithm
- On-demand route acquisition
- Nodes maintain route cache and uses destination sequence number for each route entry
- Does nothing when connection between end points is still valid
- Route Discovery Mechanism is initiated, by broadcasting a Route Request Packet (RREQ), when a route to new destination is needed
- The neighbors forward the request to their neighbors until either the destination or an intermediate node with a "fresh enough" route to the destination is located
- Route Reply Packets (RREP) are transmitted upstream the path taken by the Route Request packet to inform the original sender (an intermediate nodes) of the route finding
- Route Error Packets (RERR) are used to erase broken links
## **AODV-Path Discovery**

- If there is existing routes to the destination,
  - Sends the packet to this route
- initiated whenever a node needs to communicate with another node.
- initiates path discovery by broadcasting a route request (RREQ) packet to its neighbors.
- RREQ contains :
  - Source\_addr, source\_sequence\_#, broadcast\_id, dest\_addr, dest\_sequence\_#, hop\_count
- The pair <<u>Source\_addr</u>, broadcast\_id> uniquely identifies a RREQ.
  - Broadcast\_id is incremented whenever the source issues a new RREQ
- At intermediate node, if it receive redundant RREQ (same broadcast\_id, source address), drop the RREQ.
- If node is the destination then it sends Route Reply (RREP) else it forwards the RREQ to its neighbors (hop count ++)
- It keeps information about the RREQ to setup the *forward* and *reverse path*

## **AODV - Path Finding**



# AODV-Path Discovery (cont.)

- If the RREQ is lost
  - Retry the broadcast route discovery
  - After rreq\_retries attempts,
    - Notify the application that the destination is unreachable
- If a node cannot satisfy the RREQ
  - To implement the reverse path setup, intermediate node keeps track of the following information.
    - Destination IP
    - Source IP
    - Broadcast\_id
    - Expiration time for reverse Path route entry
    - Source node's sequence number.

## **AODV** - Reverse Path Setup

- Node set up a reverse route entry for the source node
- A Node records the address of the neighbor from which it received the first copy of the RREQ
- Reverse route entry is contain :
  - Source node's IP address and sequence number
  - Number of hops to the source node
  - IP address of the neighbor from which the RREQ was received
- The node knows how to forward a RREP (from reverse route entry)

# AODV-Reverse Path Setup (cont.)



## **AODV-Forward Path Setup**

- RREP Sent in response to the RREQ
- After a node receives the RREQ
  - If an intermediate node has a route for the desired destination
    - Compare the destination sequence number
      - Rebroadcast the RREQ or reply with RREP
  - If a node does have a current route to the destination
    - Send RREP to its neighbor
  - Anything else
    - Forward RREQ with incremented hop count to adjacent
- A RREP contains the following information:
  - Source address, dest\_addr
  - dest\_sequence number, hop\_count
  - lifetime

# **AODV-Forward Path Formation (cont.)**

- When an intermediate node receives the RREP
  - Set up a Forward entry to the destination in its route table
- Forward path entry contains :
  - IP address of the destination
  - IP address of the neighbor from which the RREP arrived
  - Hop count to the destination
    - To obtain its hop count, the node increments the value by
      1
  - Lifetime
    - Not used within lifetime, it is deleted
- In the case that received more than one neighbor, node forwards the first RREP

# **AODV-Forward Path Formation (cont.)**

- As soon as source node receives first RREP, it begins data transmission
- If a node receives further RREPs, it updates its routing information
  - In the case that RREP contains greater destination sequence number than the previous RREP or same with a smaller hop count, propagates the RREP

## **AODV-Route Table Management**

- Each route table entry contains :
  - Destination
  - Next Hop
  - Number of hops (metric)
  - Sequence number for the destination
  - Active neighbors for this route
  - Expiration time for the route table entry
- Route request expiration timer
  - To Purge reverse path routing entries (not lie on the path from the source to the destination)

## **AODV-Path Maintenance**

- When source node move
  - Reinitiate the route discovery
- When node (destination, intermediated) move
  - RERR is sent to the affected source nodes
  - Broadcasts the RERR to these neighbors (precursor nodes)
  - Neighbors mark hop count (infinity) and propagate the RERR to their precursor nodes.
  - When source node receives the RERR
    - Reinitiate route discovery

## AODV-Path Maintenance (cont.)



# **AODV-Local Connectivity Management**

- If the Node has not sent any packets to all of its active downstream neighbors within hello\_interval
  - Broadcasts to its neighbors a hello message
  - hello message contains :
    - Destination address, Destination sequence number
    - the node's address, the latest sequence number
  - Hello message prevented from being rebroadcast outside neighbor
    - Because of Time to live(TTL) value of 1
- An indication that the local connectivity has changed
  - Receiving broadcast or a hello from a new neighbor
  - Failing to receive <u>allowed\_hello\_loss</u> consecutive <u>hello</u> <u>messages</u> form a node in the neighborhood

# **Ad Hoc On-Demand Distance Vector**

- Combination of DSR and DSDV
  - On-demand mechanism of route discovery and routemaintenance from DSR
  - Plus the hop-by-hop routing, sequence numbers and periodic beacons from DSDV

Zone Routing Protocol

## ZRP

M.R. Pearlman, and Z.J. Haas Cornell

"Determining the Optimal Configuration for the Zone Routing Protocol", IEEE JSAC, 1999, vol. 17, no. 8

## Zone Routing Protocol (ZRP)

- Hybrid reactive/proactive protocol
- Proactive procedure only to the nodes within a routine zone of radius  $\rho$
- Reactive procedure to nodes beyond the routing zone by querying only a subset of the network nodes



## **ZRP - Routing Zones**

- A collection of nodes which are within the zone radius of another node
- Zone radius of a node is defined in terms of number of hops from that node
- The transmission power as well as the propagation conditions and receiver sensitivity determines the set of *neighbors*
- Each node has its own routing zone
- Routing zones of different nodes may overlap
- Each node maintains routing information to all nodes within its own routing zone
- The nodes uses a proactive mechanism to learn about the topology of its routing zone, this mechanism is called *Intrazone Routing Protocol* (IARP)

## **ZRP - Interzone Routine**

- The *Interzone Routing Protocol* (IERP) is responsible for reactively discovering routes to destinations located beyond a node's routing zone
- The *Bordercast Resolution Protocol* (BRP) allows the node to send messages only to its peripheral nodes
- Efficient querying of specific nodes rather than flooding the whole network
- Bordercasting can be implemented using efficient multicast techniques
- A single route query returns multiple route replies, which can be used to determine the best route based on relative quality
- Because the routing zones overlap, a node can be a member of many routing zones
- It is important to have a mechanism to detect duplicate route queries and reduce excessive control traffic

## ZRP - IERP (example)



- □ Source S needs to send a packet to destination D
- □ S checks whether D is within its routing zone. If yes, S knows a path to D
- □ If not S bordercasts a query to its peripheral nodes (C, G, and H)
- □ These nodes, after verifying that D is not within their routing zones, bordercast the query to their peripheral nodes
- □ B, a peripheral node of H, recognizes D as being in its routing zone and responds to the query indicating the path  $S \rightarrow H \rightarrow B \rightarrow D$

# ZRP - Guiding the Search in Desirable Directions

To minimize route query traffic a procedure is needed to steer the query packets outwards from the source's routing zone and away from each other.

This problem is addressed through the following mechanisms:

Loop-back Termination (LT)
 Query Detection (QD1/QD2)
 Early Termination (ET)
 Selective Bordercasting (SBC)



## **ZRP - Query Control Mechanisms**

#### **Loop-back Termination**

The query is terminated when the accumulated route (excluding the previous node) contains the host which lies in routing zone, e.g.,

route = { $S \rightarrow A \rightarrow B \rightarrow C$ }

C terminates the query, because S is in the C's routing zone.

#### **Early Termination**

When a thread penetrate into previously covered areas, the excess traffic can be terminated by extending the ability of the intermediate nodes, e.g. intermediate node A passes along a query to B. B terminates the thread because a different thread of the same query has been detected earlier.



## **ZRP - Query Control Mechanisms**

#### **Query Detection**

- □ Only the node that bordercasts a route request is aware that its zone is covered by the query
- □ When the peripheral nodes continue to bordercast to their peripheral nodes, the query may be relayed through the same nodes again
- ZRP provides two query detection methods, QD1 and QD2, to notify the remaining nodes through some form of eavesdropping without incurring additional control traffic

**QD1** - Allows intermediate nodes (which relay queries to the edge of the routing zone) to detect queries, e.g., A and C can detect passing route request packet and record that S's routing zone has been queried



**QD2** - In single channel networks, it is possible for queries to be detected by any node within range of a query transmitting node, e.g., E may be able to receive C's transmission and record the query information

## **ZRP - Query Control Mechanisms**

#### **Selective Bordercasting (SBC)**

- □ Rather than bordercast queries to all peripheral nodes, the same coverage can be provided by bordercasting to a chosen subset of peripheral nodes
- Requires IARP to provide network topology information for an extended zone that is twice the radius of the routing zone
- A node will first determine the subset of other peripheral nodes covered by its assigned inner peripheral nodes
- The node will then bordercast to this subset of assigned inner peripheral nodes which forms the minimal partitioning set of the outer peripheral nodes



- S's inner peripheral nodes are A, B and C
- Its outer peripheral nodes are F, G, H, X, Y and Z
- Two inner peripheral nodes of B (H and X) are also inner peripheral nodes of A and C
- S can then choose to eliminate B from its list of bordercast recipients since A can provide coverage to H and C can cover X

## **ZRP - Architecture**



□ Route updates are triggered by the MAC-level Neighbor Discovery Protocol (NDP)

- □ IARP is notified when a link to a neighbor is established or broken
- □ IERP reactively acquires routes to nodes beyond the routing zone
- □ IERP forwards queries to its peripheral nodes (BRP) keeping track of the peripheral nodes through the routing topology information provided by IARP

## **ZRP - Summary**

- ZRP combines two completely different protocols, one proactive and the other reactive, into a single protocol based on clustering of nodes into *routing zones*
- Proactive IARP maintains routing tables within a routing zone
- Reactive IERP performs route discovery outside the zone
- ZRP can perform worse than flooding without proper query control mechanisms.
- *Query Detection, Early Termination*, and *Loop-back Termination* provide significant improvements compared with purely reactive and purely proactive schemes
- Use of *Selective Bordercasting* reduces significantly the amount of inter-zone control traffic

## **Ad Hoc Routing**

- Sample Protocols
  - Table Driven / Proactive: DSDV
  - **On-Demand-Driven Reactive:** AODV, DSR
  - Hybrid: ZRP
  - Geographic Routing: Greedy, Face, GFG/GPSR, LAR
  - Hierarchical Routing: CBRP
  - Other Routing

## Geographic routing

- Routing tables contain information to which next hop a packet should be forwarded
  - Explicitly constructed
- Alternative: Implicitly *infer* this information from physical placement of nodes
  - Position of current node, current neighbors, destination known send to a neighbor in the right direction as next hop
  - Geographic routing, geometric routing and position-based routing (use position information to aid in routing)
- Options
  - Send to any node in a given area *Geocasting*

## **Location Information**

- Consider a node S that needs to find a route to node D.
- Assumption:
  - each host in the ad hoc network knows its current location precisely
  - node S knows that node D's location
- Might need a *location service* to map node ID to node position
- Location services in ad hoc networks, refer to
  - A survey on position-based routing in mobile ad hoc networks,
    M. Mauve, J. Widmer, and H. Hartenstein, IEEE Network, Vol. 15 No. 6, 2001.

## Localization

 Problem: Given the positions of beacons, and some relative distances between nodes, determine the positions of the nodes



- Two questions
  - Localizability: under what conditions can a node uniquely determine its location uniquely?
  - Computation: how to determine the location?

## **Localized Routing**

- Also called online routing
- Every node can make decision based on local info, do not need to maintain routing table

## **Strictly Local**



## **Greedy Routing**



## **Greedy Routing ?**



## **Basics of position-based routing**

- "Most forward within range r" strategy
  - Send to that neighbor that realizes the most forward progress towards destination
  - NOT: farthest away from sender!



- Nearest node with (any) forward progress
  - Idea: Minimize transmission power
- Directional routing /Compass routing
  - Choose next hop that is angularly closest to destination
  - Choose next hop that is closest to the connecting line to destination
  - Problem: Might result in loops!





## **Greedy Routing**

• Greedy routing looks promising

 Maybe there is a way to choose the next neighbor and a particular graph where we always reach the destination?


### **Greedy Fails**



FIG. 2.2. Triangulations that defeat the greedy routing algorithm.

### **Compass Fails**





• To avoid void, use face routing (using right hand rule) on planar graphs



0. Let f be the face incident to the source s, intersected by (s,t) 1. Explore the boundary of f; remember the point p where the boundary intersects with (s,t) which is nearest to t: after traversing the whole boundary, go back to p, switch the face, and repeat 1 until you hit destination t.

# **Face Routing Properties**

- All necessary information is stored in the message
  - Source and destination positions
  - Point of transition to next face
- Completely local:
  - Knowledge about direct neighbors' positions sufficient
  - Faces are implicit





- Planarity of graph is computed locally (not an assumption)
  - Computation for instance with Gabriel Graph





Go to the point that is furthest away from s



Use right hand rule to traverse the second face











#### Performance of Face Routing in Terms of Hop Count

- A worst case scenario
  - destination is central node
  - source is any node on ring
  - any spine can go to middle
  - O(c) nodes along ring and O(c) nodes along each spine
- Best path length: O(c)+O(c)
- Geographic routing:
  - Test O(c) spines of length O(c)
  - Cost O(c<sup>2</sup>) instead of O(c)



Greedy Perimeter Stateless Routing **GPSR** 

Brad Karp and H.T.Kung Harvard University

"GPSR: Greedy Perimeter Stateless Routing for Wireless Networks", ACM Mobicom 2000.

### GPSR

- Greedy Perimeter Stateless Routing for Wireless Networks.
- Use *geography* to achieve scalability.
- Reduced state requirement:
  - Traditional shortest-path (Distance Vector) requires state proportional to the total number of destinations.
  - On-demand ad-hoc routing require state proportional to the number of active destinations.
  - GPSR requires *only* single hop information. Depends only on the network density and not on the total number of destinations in the network.

### **Greedy Mode**

- Choose the next hop node, which is closest to destination.
  - Switch to Perimeter mode if local maxima occurs



#### **Perimeter Mode**

- allows GPSR to deal with holes (local maxima).
- planarization of the graph (get rid of cross-edges, GG and RNG).
- traverse progressively closer polygon to get out of the holes (right hand rule).
- after it progresses, i.e. closer than the point which greedy algorithm fails, returns back to greedy mode.



### **Geographic Routing**

Kleinrock et al.	Various 1975ff	MFR et al.	Geometric Routing proposed
Kranakis, Singh, Urrutia	CCCG 1999	Face Routing	First correct algorithm
Bose, Morin, Stojmenovic, Urrutia	DialM 1999	GFG	First average-case efficient algorithm (simulation but no proof)
Karp, Kung	MobiCom 2000	GPSR	A new name for GFG
Kuhn, Wattenhofer, Zollinger	DialM 2002	AFR	First worst-case analysis. Tight $\Theta(c^2)$ bound.
Kuhn, Wattenhofer, Zollinger	MobiHoc 2003	GOAFR	Worst-case optimal and average- case efficient, percolation theory
Kuhn, Wattenhofer, Zhang, Zollinger	PODC 2003	GOAFR+	Currently best algorithm, other cost metrics, etc.

### Average Case

- Not interesting when graph not dense enough
- Not interesting when graph is too dense
- Critical density range ("percolation")
  - Shortest path is significantly longer than Euclidean distance



### Shortest Path vs. Euclidean Distance

• Shortest path is significantly longer than Euclidean distance



• Critical density range mandatory for the simulation of any routing algorithm (not only geographic)

### Random Graphs: Critical Density Range



Network Density [nodes per unit disk]

### Simulation on Random Graphs



P. Bose and P. Morin Carleton University

"Online routing in triangulations", Annual Int. Symp. on Algorithms and Computation ISAAC 99, 1999.

### **Delaunay Triangulation**



For every simplex (triangle in 2D, tetrahedron in 3D), circumsphere (c, R) is empty.

### Delaunay vs Voronoi

#### Voronoi Region, Vor(p)

A collection of two dimensional points s.t. every point is closer to p than to any other node

Voronoi Diagram The union of all Voronoi region Vor(p) where  $p \in V$ 

Delaunay Triangulation is the dual of Voronoi Diagram



### Ask for Delaunay

- Morin [2001] proved the following localized routing methods guarantee the delivery if Delaunay triangulation used as the underlying structure
  - Greedy routing
  - Compass routing
  - Greedy compass routing

### **Delaunay Triangulation**

- Planar graph
  - No intersection
- Spanner
  - Constant Stretch Factor

$$\frac{2\pi}{3\cos\frac{\pi}{6}} \approx 2.42$$



- Proposed by Bose and Morin [1999]
- Basic idea is to find a path in Del with length no more than  $(1+\sqrt{5})\frac{\pi}{2} ||uv||$  which consists of two parts:
  - Direct DT path
  - Shortcut path



## Direct DT path

Given two nodes *u* and *v*, let  $b0 = u, b1, b2, \dots, bm-1, bm = v$  be the nodes corresponding to the sequence of Voronoi regions traversed by walking from *u* to *v* along the segment *uv*. If a Voronoi edge or a Voronoi vertex happens to lie on the segment *uv*, then choose the Voronoi region lying above *uv*.



Shortcut is the upper boundary of the tunnel T(u, v) that connects bi and bj

- Use direct DT path as long as it is above uv
- When some nodes  $b_i > 0$  and  $b_{i+1} < 0$ 
  - Use either direct DT path or shortcut path
  - Exploring both in parallel manner until one reaches next b<sub>i</sub>>0
- Many detailed
  - How to find next node in direct DT path locally
  - How to find next node in shortcut path locally
  - How to determine whether node b<sub>i</sub> is reached

 The distance traveled by the above routing method is 9c<sub>dfs</sub>competive, here

$$c_{dfs} = (1 + \sqrt{5})\frac{\pi}{2}$$

- However, Delaunay triangulation CONNOT be constructed locally
  - May need globe info
  - Cannot communicate through long edges

### **Routing on Local Delaunay**

• Build Delaunay Locally w.h.p.

• When 
$$\pi r_n^2 \ge \frac{8 \ln n}{n}$$
,  
- The longest edge in Del is at most  $r_n$   
with probability $1 - \frac{1}{n}$ ,  
in other words, LDel=Del w.h.p.

• "Efficient Localized Routing for Wireless Ad Hoc Networks", X.-Y. Li, Y. Wang, and O. Frieder, IEEE ICC 2003.

Location-Aided Routing

LAR

Young-Bae Ko and Nitin H. Vaidya Texas A&M University

"Location-Aided Routing(LAR) in Mobile Ad Hoc Networks", ACM MOBICOM'98, 1998.

### **Location-Aided Routing**

- Main Idea
  - Using location information to reduce the number of nodes to whom route request is propagated.
  - Location-aided route discovery based on "limited" flooding
#### **Expected Zone**



expected zone of D ---- the region that node S expects to contain node D at time  $t_1$ , only an estimate made by node S

#### **Request Zone**

- LAR's limited flooding
  - A node forwards a route request only if it belongs to the request zone
  - The request zone should include
    - expected zone
    - other regions around the expected zone



- timeout
- expanded request zone



Figure 3: Request zone: An edge between two nodes means that they are neighbors

- Trade-off
  between
  - latency of route determination
  - the message overhead

## Membership of Request Zone

• How a node determine if it is in the request zone for a particular route request

# •LAR scheme 1



•LAR scheme 2

## LAR Scheme 1



(a) Source node outside the Expected Zone

(b) Source node within the Expected Zone

#### LAR Scheme 2



S knows the location ( $X_d$ ,  $Y_d$ ) of node D at time  $t_0$ 

Node S calculates its distance from location ( $X_d$ ,  $Y_d$ ): DIST<sub>s</sub>

Node I receives the route request, calculates its distance from location  $(X_d, Y_d)$ : DIST<sub>i</sub>

For some parameter  $\delta$ , If DIST<sub>s</sub> +  $\delta \ge$  DIST<sub>i</sub>, node I replaces DIST<sub>s</sub> by DIST<sub>i</sub> and forwards the request to its neighbors; otherwise discards the route request

#### **Error in Location Estimate**

- Let e denote the maximum error in the coordinates estimated by a node.
- Modified LAR scheme 1



### **Variations and Optimizations**

- Alternative Definitions of Request Zone
  - increasing the request zone gradually?
- Adaptation of Request Zone
- Propagation of Location and Speed Information
- Local Search



(c) Adaptation of Cone-Shaped Request Zone

Geographic Routing Without Location Information

AP, Sylvia, Ion, Scott and Christos UC Berkeley

# ACM International Conference on Mobile Computing and Networking (Mobicom'03), 2003

# Why Geographic Routing Without Location?

- Location is hard to get
  - GPS takes power, doesn't work indoors, difficult to incorporate in small sensors
  - The network localization problem is hard
- True location may not be useful if there are obstacles



## Overview

- Objective: assign coordinates to nodes so that
  - the coordinates are computed efficiently,
  - routing works well using the computed coordinates
- Coordinates reflect true connectivity and not the geographic locations of the nodes
  - Need not be accurate representations of the underlying geography
  - Reflect the underlying connectivity
- Progress in three steps
  - The perimeter nodes and their locations are known
  - The perimeter nodes are known but not their coordinates are not known
  - Nothing is known

# Step by Step Approach



#### The Perimeter Nodes and Their Locations Are Known

- Image a rubber band from each node to each (connected) neighbor
- The force of a rubber band is proportional to its length, directed to the neighbor



The Perimeter Nodes and Their Locations Are Known

- Iterative process for picking coordinates for a node
- Some nodes along the periphery of the network know their correct (relative) locations and are fixed
- Other nodes compute coordinates by relaxation
  - Assume that nodes are connected by rubber bands and slowly converge to the equilibrium

#### The Perimeter Nodes and Their Locations Are Known

• The equilibrium is achieved when the position p of a node is equal to the average of its neighbors  $\sum (p_i - p) = 0 \Rightarrow p = \frac{\sum p_i}{n}$ 

where n is number of neighbors

- Algorithm
  - each node sends its position to its neighbors
  - A node updates its new position
    to be the average of those of its neighbors



$$p(t+1) = \frac{\sum p_i(t)}{n}$$

#### Perimeter Nodes Are Known (True Positions)



#### Perimeter Nodes Are Known (10 iterations)



#### Perimeter Nodes Are Known (100 iterations)



#### Perimeter Nodes Are Known (1000 iterations)



#### **Routing Performance**

 32000 packets with random source-destination pairs

	Success rate	Average path length
True position	0.989	16.8
Virtual position	0.993	17.1

Success rate: using (distance) greedy routing

#### **Two More Scenarios**



Weird Shapes



## The Perimeter Nodes Are Known But Their Locations Are Not Known

- Assume the distance between two perimeter nodes is the (minimum) number of hops to go from one to the other
- Distances can be derived by flooding the network
  - Each perimeter node sends a HELLO message with a hop counter of 0
  - when seeing a message from a perimeter node with a lower hop counter, a node increases the counter by 1 and forwards it

- Stage 1 : Each perimeter node broadcasts a HELLO message to the entire network
- Stage 2 : Each perimeter node broadcasts its perimeter vector to the entire network
- Stage 3 : Every perimeter node uses a triangulation algorithm to compute the coordinates of all other perimeter nodes

- Balls and Springs
  - Ball : each perimeter node
  - Spring : each ball is attached by a spring
  - Spring's length : the hop count distance

$$\sum_{i,j \in perimeter\_set} (measured\_dist(i,j) - dist(i,j))^2$$

• Seen as minimizing the potential energy when a ball attached to every other ball by a spring

# Virtual Coordinates by Triangulation

• Each perimeter node solves the minimization problem:

Detail 
$$\min_{i,j \text{ perimeter nodes}} \sum_{i,j \text{ perimeter nodes}} (\mathbf{d}_{i,j} - \|p_i - p_j\|)^2$$

Compute  $D^2$ , where  $D = [d_{ij}]$   $J = I - e^T e / n; e = [1,1,...1]$   $H = -\frac{1}{2}JD^2J$   $H = UVU^T$   $V_2$ : the largest 2 eigen values  $U_2$ : the first 2 columns of U  $X = U_2V_2^{\frac{1}{2}}$ 

#### **Convergence and Performance**



Ten iteration: success rate = 0.992; avg. path length = 17.2Ten iterations: success rate = 0.994; avg. path length = 17.2

# Nothing is Known about the Perimeter

- Bootstrap nodes
  - Special perimeter nodes or run leader election to select the two nodes
- Bootstrap Nodes flood the network and every node discovers its distance to these bootstrap nodes
- Nodes use the following criterion to decide whether they are perimeter nodes

#### **Selecting Perimeter Nodes**

Rule: A node is a perimeter node if: It is farthest away from the first bootstrap node among all its two-hop neighbors

#### **Perimeter Node Detection**



# Overall algorithm - Bootstrap the coordinate assignment

- 1. Two designated bootstrap beacon nodes broadcast to the entire network
- 2. Node uses the distance to determine whether is a perimeter node
- 3. Every perimeter node sends a broadcast message to the entire network to enable every other node to compute its perimeter vector
- 4. Perimeter and bootstrap nodes broadcast their perimeter vectors to the entire network
- 5. Each node uses these inter-perimeter distances to compute normalized coordinates for both itself and the perimeter nodes

# Overall Algorithms[Cont'd] - normal operation

- 5. Perimeter nodes stay fixed while other nodes run a relaxation algorithm
- 6. A designated bootstrap node periodically broadcasts by which nodes periodically reasses whether they lie on the perimeter or not

## **Projecting on Circle After First Computation**



□ Circle: center is center of gravity; radius is average of distance of the perimeter nodes to the CG

Motivation: maintain a consistent coordinate space

#### **Convergence and Performance**



Ten iterations: success rate = 0.996; avg. path length = 17.3

Cluster Based Routing Protocol

# CBRP

Mingliang Jiang, Jinyang Li and Y.C. Tay. National University of Singapore

"Cluster Based Routing Protocol (CBRP)", Internet Draft draft-ietf-manet-cbrp-spec-01.txt, August 1999.

- use clustering approach to minimize ondemand route discovery traffic
- use "local repair" to reduce route acquisition delay and new route discovery traffic
- suggest a solution to use uni-directional links


#### Some Terminologies

- A cluster head must have bi-directional links to all its member nodes.
- A node will be a member of all those clusters for which it has a bi-directional link to the cluster heads.
- These are called host clusters for the node.

#### **Data Structures**

- Neighbor Table
  - Id, Role, Status of the link
- Cluster Adjacency Table (CAT)
  - Keeps info. about adjacent clusters
  - Contains
    - Id of neighboring cluster
    - the gateway node (a member) to reach the neighboring cluster head
    - the status of the link

### Data Structures (Contd.)

- Two-hop Topology Database
  - each node broadcasts its neighbor table information periodically in HELLO packets.
  - Therefore, by examining the neighbor table from its neighbors, a node is able to gather 'complete' information about the network topology that is at most two-hops away from itself.

#### **HELLO Messages**

- Every node periodically broadcasts HELLO messages to its neighbors.
- HELLO message from a node contains its neighbor table and its cluster adjacency table (CAT).
- Nodes update their neighbor tables and CAT when they receive HELLO messages from their neighbors.

#### HELLO Messages (Contd.)

- When a node A receives HELLO message from a node B
  - A adds B to its neighbor table if B is not present in its table.
  - If B is already in the table update the status of link from B to A if required.
  - Update the role of B if it has changed.

#### **Cluster Formation**

- A node can be in any of the three states
  - A cluster head
  - A cluster member
  - Undecided (Looking for a head)
- An undecided node starts a timer and broadcasts a HELLO message.
- Any cluster head that receives this message sends out HELLO message back.

#### **Cluster Formation**

- If the node has bi-directional link to that cluster head it chooses that node as its cluster head and regards itself as a member of that cluster head.
- If it does not find any head till the timer expires and it declares itself as a cluster head.

#### **Cluster Formation**

- If two cluster heads have bi-directional links to each other one of them gives his status as a head and becomes member of the other head. The node with a smaller id continues to be a cluster head.
- However the cluster heads wait for a certain period of time before this
- This ensures that if two cluster heads are just close for a short time when they are on a move, cluster re-formation does not happen.

#### **Adjacent Cluster Discovery**

- For a member node neighboring cluster head is the one that is two hops away. i.e. one that can be reached via an intermediate node. This node is called a Gateway node.
- A node can find out about its neighboring cluster heads by looking at the neighbor tables of its neighbors received in the HELLO messages.

## Adjacent Cluster Discovery

Nodes also broadcasts their CAT in the HELLO message.

Cluster heads can learn about other cluster head that are three hops away by looking at the CAT they receive. e.g. 4's Cluster Adjacency Table

6

#### **Route Discovery**

- When a node say A wants to discover route to a node say D it broadcasts a RREQ packet.
- This packet contains a list of host and neighboring clusters heads. For neighboring cluster heads even the gateway nodes are mentioned.
- The idea is only cluster heads should forward the packet further.
- If a member node receives RREQ packet it simply drops it.
- However if a member node is listed as a Gateway node it unicasts the RREQ to the cluster head for which it is a Gateway node.

#### Route Discovery

- When a cluster head receives RREQ, it adds itself on the partial route contained in the packet.
- It adds the neighboring cluster heads to which the packet is to be forwarded from its own CAT along with their gateway nodes and then re-broadcasts their packet.
- Thus the RREQ passes through a number of cluster heads and eventually reaches D.
- D upon receiving the RREQ sends and RREP back.
- The RREP travels the same set of cluster heads that the RREQ traveled.
- On the way entire hop-by-hop path is added to the RREP along with the Gateway nodes.

Source S "floods" all clusterheads with Route Request Packets (RREQ) to discover destination D



- Route reply packet (RREP) is sent back to source along reversed "loose source route" of clusterheads.
- Each clusterhead along the way incrementally compute a hop-by-hop strict source route.



- Route reply packet (RREP) is sent back to source along reversed "loose source route" of clusterheads.
- Each clusterhead along the way incrementally compute a hop-by-hop strict source route.
  11 (D)



- Use source routing for actual packet forwarding
- A forwarding node sends a Route Error Message (ERR) to packet source if the next hop in source route is unreachable



## **Problems with CBRP**

- Pitfalls with uni-directional links
  - Discovery of (dead) uni-directional links
- Source Routing, overhead bytes per packet.
- Clusters small, 2 levels of hierarchy, scalable to an extend.

#### **Other Routing**

- Multipath Routing
- Energy-Conserving Routing
- Security-Aware Routing

#### **Energy-efficient unicast: Goals**

• Particularly interesting performance metric: Energy efficiency



Example: Send data from node A to node H

#### Basic options for path metrics

- Maximum total available battery capacity
  - Path metric: Sum of battery levels
  - Example: A-C-F-H
- Minimum battery cost routing
  - Path metric: Sum of reciprocal battery levels
  - Example: A-D-H
- Conditional max-min battery capacity routing
  - Only take battery level into account when below a given level
- Minimize variance in power levels
- Minimum total transmission power



#### A non-trivial path metric

- Previous path metrics do not perform particularly well
- One non-trivial link weight:  $w_{ij} = e_{ij} (\lambda^{lpha_i} -$ 
  - w<sub>ij</sub> weight for link node i to node j
  - $e_{ij}$  required energy,  $\lambda$  some constant,  $\alpha_i$  fraction of battery of node i already used up

1)

- Path metric: Sum of link weights
  - Use path with smallest metric
- Properties: Many messages can be send, high network lifetime
  - With admission control, even a competitive ratio logarithmic in network size can be shown

#### **Multipath unicast routing**

- Instead of only a single path, it can be useful to compute multiple paths between a given source/destination pair
  - Multiple paths can be *disjoint* or *braided*
  - Used simultaneously, alternatively, randomly, ...





## WSN LOCALIZATION

#### Goals of this chapter

- Means for a node to determine its physical position (with respect to some coordinate system) or symbolic location
- Using the help of
  - Anchor nodes that know their position
  - Directly adjacent
  - Over multiple hops
- Using different means to determine distances/angles locally

What is Localization

 A mechanism for discovering spatial relationships between objects

#### Why is Localization Important?

- Large scale embedded systems introduce many fascinating and difficult problems...
  - This makes them much more difficult to use...
  - BUT it couples them to the physical world
- Localization measures that coupling, giving raw sensor readings a physical context
  - Temperature readings  $\Rightarrow$  temperature map
  - Asset tagging  $\Rightarrow$  asset tracking
  - "Smart spaces"  $\Rightarrow$  context dependent behavior
  - Sensor time series  $\Rightarrow$  coherent beamforming

#### Variety of Applications

• Two applications:



# **Passive habitat monitoring:** Where is the bird?

What kind of bird is it?



#### Asset tracking: Where is the projector? Why is it leaving the room?

#### Variety of Application Requirements

## • Very different requirements!

- Outdoor operation
  - Weather problems
- Bird is not tagged
- Birdcall is characteristic but not exactly known
- Accurate enough to photograph bird
- Infrastructure:
  - Several acoustic sensors, with known relative locations; coordination with imaging systems

- Indoor operation
  - Multipath problems



- Projector is tagged
- Signals from projector tag can be engineered
- Accurate enough to track through building
- Infrastructure:
  - Room-granularity tag identification and localization; coordination with security infrastructure



#### **Multidimensional Requirement Space**

- Granularity & Scale
- Accuracy & Precision
- Relative vs. Absolute Positioning
- Dynamic vs. Static (Mobile vs. Fixed)
- Cost & Form Factor
- Infrastructure & Installation Cost
- Communications Requirements
- Environmental Sensitivity
- Cooperative or Passive Target

#### **Axes of Application Requirements**

- Granularity and scale of measurements:
  - What is the smallest and largest measurable distance?
  - e.g. cm/50m (acoustics) vs. m/25000km (GPS)
- Accuracy and precision:
  - How close is the answer to "ground truth" (accuracy)?
  - How consistent are the answers (precision)?
- Relation to established coordinate system:
  - GPS? Campus map? Building map?
- Dynamics:
  - Refresh rate? Motion estimation?

#### **Axes of Application Requirements**

- Cost:
  - Node cost: Power? \$? Time?
  - Infrastructure cost? Installation cost?
- Form factor:
  - Baseline of sensor array
- Communications Requirements:
  - Network topology: cluster head vs. local determination
  - What kind of coordination among nodes?
- Environment:
  - Indoor? Outdoor? On Mars?
- Is the target known? Is it cooperating?

#### Variety of Mechanisms

- We've seen a broad spectrum of application requirements
- There are also a broad spectrum of localization mechanisms appropriate for different applications

#### Returning to our two Applications...

• Choice of mechanisms differs:



#### **Passive habitat monitoring:** Minimize environ. interference No two birds are alike



Asset tracking: Controlled environment We know exactly what tag is like

#### Variety of Localization Mechanisms

## Very different mechanisms indicated!

- Bird is not tagged
  - Passive detection of bird presence
- Birdcall is characteristic but not exactly known
- Bird does not have radio; TDOA (Time Difference of Arrival) measurement
- Passive target localization
  - Requires
    - Sophisticated detection
    - Coherent beamforming
    - Large data transfers



- Projector is tagged
  - Projector might know it had moved
- Signals from projector tag can be engineered
- Tag can use radio signal to enable TOF (Time of Flight) measurement
- Cooperative Localization
  - Requires
    - Basic correlator
    - Simple triangulation
    - Minimal data transfers

#### **Active Mechanisms**

- Non-cooperative
  - System emits signal, deduces target location from distortions in signal returns
  - e.g. radar and reflective sonar systems
- Cooperative Target
  - Target emits a signal with known characteristics; system deduces location by detecting signal
  - e.g. ORL Active Bat, GALORE Panel, AHLoS

#### • Cooperative Infrastructure

- Elements of infrastructure emit signals; target deduces location from detection of signals
- e.g. GPS, MIT Cricket







#### Passive Mechanisms



- Passive Target Localization
  - Signals normally emitted by the target are detected (e.g. birdcall)
  - Several nodes detect candidate events and cooperate to localize it by cross-correlation
- Passive Self-Localization
  - A single node estimates distance to a set of beacons (e.g. 802.11 bases in RADAR [Bahl et al.], Ricochet in Bulusu et al.)
- Blind Localization
  - Passive localization without a priori knowledge of target characteristics
  - Acoustic "blind beamforming" (Yao et al.)






#### Active vs. Passive

- Active techniques tend to work best
  - Signal is well characterized, can be engineered for noise and interference rejection
  - Cooperative systems can synchronize with the target to enable accurate time-of-flight estimation
- Passive techniques
  - Detection quality depends on characterization of signal
  - Time difference of arrivals only; must surround target with sensors or sensor clusters
    - TDOA requires precise knowledge of sensor positions
- Blind techniques
  - Cross-correlation only; may increase communication cost
  - Tends to detect "loudest" event.. May not be noise immune

#### Active and Cooperative Ranging

- Measurement of distance between two points
  - Acoustic
    - Point-to-point time-of-flight, using RF synchronization
    - Narrowband (typ. ultrasound) vs. Wideband (typ. audible)
  - RF
    - RSSI from multiple beacons
    - Transponder tags (rebroadcast on second frequency), measure round-trip timeof-flight.
    - UWB ranging (averages many round trips)
    - Psuedoranges from phase offsets (GPS)
    - TDOA to find bearing, triangulation from multiple stations
  - Visible light
    - Stereo vision algorithms
      - Need not be cooperative, but cooperation simplifies the problem

#### Passive and Non-cooperative Ranging

- Generally less accurate than active/cooperative
  - Acoustic
    - Reflective time-of-flight (SONAR)
    - Coherent beamforming (Yao et al.)
  - RF
    - Reflective time-of-flight (RADAR systems)
    - "Database" techniques
      - RADAR (Bahl et al.) looks up RSSI values in database
      - "RadioCamera" is a technique used in cellular infrastructure; measures multipath signature observed at a base station
  - Visible light
    - Laser ranging systems
      - Commonly used in robotics; very accurate
      - Disadvantages: directionality, expense, no positive ID of target

### Using RF for Ranging

- RF TOF techniques
  - Accurate, deterministic transponders hard to build
    - Temperature-dependence problems in timing of path from receiver to transmitter
    - But, you can use "RBS" techniques... (compare receptions)
  - Measuring TOF requires fast, synchronized clocks to achieve high precision ( $c \approx 1$  ft/ns)
    - Fast synchronized clocks generally at odds with low power
    - Trade-off: synchronized infrastructure vs. nodes (e.g. GPS)
- Ultra wide-band ranging for sensor nets?
  - Current research focus in RF community
  - Based on very short wideband pulses, measure RTT to fixed, surveyed base stations
  - FCC licensing?

#### **Practical Difficulties with RSSI**

- RSSI is extremely problematic for finegrained, ad-hoc applications
  - Path loss characteristics depend on environment (1/r<sup>n</sup>)
  - Shadowing depends on environment
  - Short-scale fading due to multipath adds random high frequency component with huge amplitude (30-60dB) - very bad indoors
    - Mobile nodes might average out fading.. But static nodes can be stuck in a deep fade forever
  - The relative orientation of antennas among nodes makes difference.
- Potential applications
  - Approximate localization of mobile nodes, proximity determination
  - "Database" techniques (RADAR)





Ref. Rappaport, T, *Wireless Communications Principle and Practice*, Prentice Hall, 1996.

#### **Using Acoustics for Ranging**

- Key observation: Sound travels slowly!
  - Tight synchronization easily achieved using RF signaling
  - Slow clocks are sufficient (v = 1 ft/ms)
  - With LOS, high accuracy can be achieved cheaply
  - Coherent beamforming can be achieved with low sample rates
- Advantages
  - Acoustics have lower path loss than RF near the ground, because ground reflections in acoustics don't cancel
  - Audible acoustics have very wide range of wavelengths
- Disadvantages
  - Poor penetration  $\Rightarrow$  detector picks up reflections in Non-LOS
  - Audible sound: good channel properties, but often inappropriate

#### Typical Time-of-Flight AR System

- Radio channel is used to synchronize the sender and receiver (or use a service like RBS!)
- Coded acoustic signal is emitted at the sender and detected at the emitter. TOF determined by comparing arrival of RF and acoustic signals



#### **Localization System Components**

• Generally speaking, what is involved with a "localization system"?



#### **Example of a Localization System**

• SHM system, developed at Sensoria Corp.



Each node has 4 speaker/ microphone pairs, arranged along the circumference of the enclosure. The node also has a radio system and an absolute orientation sensor that senses magnetic north.

#### System Architecture

- Ranging between nodes based on detection of coded acoustic signals, with radio synchronization to measure time of flight
- Angle of arrival is determined through TDOA and is used to estimate bearing, referenced from the absolute orientation sensor
- An onboard temperature sensor is used to compensate for the effect of environmental conditions on the speed of sound



#### System Architecture

- Nodes periodically emit acoustic pulses. Other nodes detect these pulses and compute a range and angle of arrival.
- Range data, angle data, and absolute orientation are broadcast N hops away.
- Based on this table of ranges, angles, and orientations, each node applies a multilateration algorithm with iterative outlier rejection to compute a consistent coordinate system.



#### **Overview**

- Basic approaches
- Trilateration
- Multihop schemes

#### Localization & positioning

- Determine *physical position* or *logical location* 
  - Coordinate system or symbolic reference
  - Absolute or relative coordinates
- Options
  - Centralized or distributed computation
  - Scale (indoors, outdoors, global, ...)
  - Sources of information
- Metrics
  - Accuracy (how close is an estimated position to the real position?)
  - Precision (for repeated position determinations, how often is a given accuracy achieved?)
  - Costs, energy consumption, ...

## Main approaches (information sources)

- Proximity
  - Exploit finite range of wireless communication
  - E.g.: easy to determine location in a room with infrared room number announcements
- (Tri-/Multi-)*lateration* and *angulation* 
  - Use distance or angle estimates, simple geometry to compute position estimates
- Scene analysis
  - Radio environment has characteristic "signatures"
  - Can be measured beforehand, stored, compared with current situation



#### **Estimating distances - RSSI**

- Received Signal Strength Indicator
  - Send out signal of known strength, use received signal strength and path loss coefficient to estimate distance

$$P_{\mathsf{recv}} = c \frac{P_{\mathsf{tx}}}{d^{\alpha}} \Leftrightarrow d = \sqrt[\alpha]{\frac{cP_{\mathsf{tx}}}{P_{\mathsf{recv}}}}$$

- Problem: Highly error-prone process - Shown: PDF for a fixed RSSI



#### **Estimating distances - other means**

- Time of arrival (ToA)
  - Use time of transmission, propagation speed, time of arrival to compute distance
  - Problem: Exact time synchronization
- Time Difference of Arrival (TDoA)
  - Use two different signals with different propagation speeds
  - Example: ultrasound and radio signal
    - Propagation time of radio negligible compared to ultrasound
  - Compute difference between arrival times to compute distance
  - Problem: Calibration, expensive/energy-intensive hardware

#### **Determining angles**

- Directional antennas
  - On the node
  - Mechanically rotating or electrically "steerable"
  - On several access points
    - Rotating at different offsets
    - Time between beacons allows to compute angles



#### Some range-free, single-hop localization techniques

- Overlapping connectivity: Position is estimated in the center of area where circles from which signal is heard/not heard overlap
- Approximate point in triangle
  - Determine triangles of anchor nodes where node is inside, overlap them
  - Check whether inside a given triangle move node or simulate movement by asking neighbors

"Re

- Only approximately correct



#### **Overview**

- Basic approaches
- Trilateration
- Multihop schemes

#### Trilateration

- Assuming distances to three points with known location are exactly given
- Solve system of equations (Pythagoras!)
  - $(x_i, y_i)$ : coordinates of *anchor point* i,  $r_i$  distance to anchor i
  - (x v) · unknown coordinates of node

$$(x_i - x_u)^2 + (y_i - y_u)^2 = r_i^2$$
 for  $i = 1, ..., 3$ 

- Subtracting eq. 3 from 1 & 2:

$$(x_1 - x_u)^2 - (x_3 - x_u)^2 + (y_1 - y_u)^2 - (y_3 - y_u)^2 = r_1^2 - r_3^2$$
$$(x_2 - x_u)^2 - (x_2 - x_u)^2 + (y_2 - y_u)^2 - (y_2 - y_u)^2 = r_2^2 - r_3^2.$$

- Rearranging terms gives a linear equation in  $(x_u, y_u)!$ 

$$2(x_3 - x_1)x_u + 2(y_3 - y_1)y_u = (r_1^2 - r_3^2) - (x_1^2 - x_3^2) - (y_1^2 - y_3^2)$$
  
$$2(x_3 - x_2)x_u + 2(y_3 - y_2)y_u = (r_2^2 - r_2^2) - (x_2^2 - x_3^2) - (y_2^2 - y_3^2)$$

#### Trilateration as matrix equation

• Rewriting as a matrix equation:

$$2\begin{bmatrix} x_3 - x_1 & y_3 - y_1 \\ x_3 - x_2 & y_3 - y_2 \end{bmatrix} \begin{bmatrix} x_u \\ y_u \end{bmatrix} = \begin{bmatrix} (r_1^2 - r_3^2) - (x_1^2 - x_3^2) - (y_1^2 - y_3^2) \\ (r_2^2 - r_2^2) - (x_2^2 - x_3^2) - (y_2^2 - y_3^2) \end{bmatrix}$$

• Example:  $(x_1, y_1) = (2,1), (x_2, y_2) = (5,4), (x_3, y_3) = (8,2),$ 

$$\mathbf{r}_{2} = \mathbf{10}^{0.5} \quad \mathbf{r}_{2} = \mathbf{7} \quad \mathbf{r}_{3} = \mathbf{3}$$
$$2 \begin{bmatrix} 6 & 1 \\ 3 & -2 \end{bmatrix} \begin{bmatrix} x_{u} \\ y_{u} \end{bmatrix} = \begin{bmatrix} 64 \\ 22 \end{bmatrix}$$

$$! (x_u, y_u) = (5, 2)$$

#### Trilateration with distance errors

• What if only distance estimation  $r_i^0 = r_i + \varepsilon_i$ available?

$$2\begin{bmatrix} x_{n} - x_{1} & y_{n} - y_{1} \\ \vdots & \vdots \\ x_{n} - x_{n-1} & y_{n} - y_{n-1} \end{bmatrix} \begin{bmatrix} x_{u} \\ y_{u} \end{bmatrix} = \begin{bmatrix} (r_{1}^{2} - r_{n}^{2}) - (x_{1}^{2} - x_{n}^{2}) - (y_{1}^{2} - y_{n}^{2}) \\ \vdots \\ (r_{n-1}^{2} - r_{n}^{2}) - (x_{n-1}^{2} - x_{n}^{2}) - (y_{n-1}^{2} - y_{n}^{2}) \end{bmatrix} = \|\mathbf{A}\mathbf{x} - \mathbf{b}\|_{2}$$

Use (x<sub>u</sub>, y<sub>u</sub>) that minimize mean square error,
 i.e,

#### Minimize mean square error

 Look at square of the of Euclidean norm expression (note that ||v||<sup>2</sup><sub>2</sub> = v<sup>T</sup>v for all vectors v)

$$\|\mathbf{A}\mathbf{x} - \mathbf{b}\|_2^2 = (\mathbf{A}\mathbf{x} - \mathbf{b})^{\mathrm{T}}(\mathbf{A}\mathbf{x} - \mathbf{b}) = \mathbf{x}^{\mathrm{T}}\mathbf{A}^{\mathrm{T}}\mathbf{A}\mathbf{x} - 2\mathbf{x}^{\mathrm{T}}\mathbf{A}^{\mathrm{T}}\mathbf{b} + \mathbf{b}^{\mathrm{T}}\mathbf{b}$$

$$2\mathbf{A}^{\mathrm{T}}\mathbf{A}\mathbf{x} - 2\mathbf{A}^{\mathrm{T}}\mathbf{b} = 0 \Leftrightarrow \mathbf{A}^{\mathrm{T}}\mathbf{A}\mathbf{x} = \mathbf{A}^{\mathrm{T}}\mathbf{b}$$
  
Look at derivative with respect to x, set it equal to  
0:

- Normal equation
- Has unique solution (if A has full rank), which gives desired minimal mean square error
- Essentially similar for angulation as well

#### **Overview**

- Basic approaches
- Trilateration
- Multihop schemes

#### Multihop range estimation

- How to estimate range to a node to which no direct radio communication exists?
  - No RSSI, TDoA, ...
  - But: Multihop communication is possible
- Solutions:
  - Idea 1: Count number of hops, assume length of one hop is known (*DV-Hop*)
    - Start by counting hops between anchors, divide known distance

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- Idea 2: If range estimates between neighbors exist, use them to improve total length of route estimation in previous method (*DV-Distance*)
- Then, in presence of range estimates and a sufficient number of neighbors, a node can actually try to compute its true Euclidean distance to a faraway anchor.

#### Iterative multilateration

- Assume some nodes can hear at least three anchors (to perform triangulation), but not all
- Idea: let more and more nodes compute position estimates, spread position knowledge in the network
  - Problem: Errors accumulate



#### Probabilistic position description

- Similar idea to previous one, but accept problem that position of nodes is only probabilistically known
  - Represent this probability explicitly, use it to compute probabilities for further nodes



(a) Probability density func- (b) Probability (c) Probability tion of a node positions after density functions density function receiving a distance estimate of two distance of a node after from one anchor measurements intersecting two from two indepen- anchor's distance dent anchors measurements

#### Connectivity in multihop networks

What if the distances between nodes are know? Can we compute a coordinate system without beacons (or anchors)?

Yes, one possible way is to use MDS...

#### **Obtaining a Coordinate System from Distance Measurements: Introduction to MDS**

MDS maps objects from a high-dimensional space to a low-dimensional space, while preserving distances between objects.

similarity between objects — coordinates of points

Classical metric MDS:

- The simplest MDS: the proximities are treated as distances in an Euclidean space
- Optimality: LSE sense. Exact reconstruction if the proximity data are from an Euclidean space
- Efficiency: singular value decomposition,  $O(n^3)$

# LOCALIZATION USING MDS-MAP (Shang, et al., Mobihoc'03)

The basic MDS-MAP algorithm:

- 1. Given connectivity or local distance measurement, compute shortest paths between all pairs of nodes.
- 2. Apply multidimentional scaling (MDS) to construct a relative map containing the positions of nodes in a local coordinate system.
- Given sufficient anchors (nodes with known positions), e.g, 3 for 2-D or 4 for 3-D networks, transform the relative map and determine the absolute positions of the nodes.

It works for any n-dimensional networks, e.g., 2-D or 3-D.

#### **Applying Classical MDS**

- 1. Create a proximity matrix of distances D
- 2. Convert into a double-centered matrix B



NxN matrix of 1s

 $B = VAV^T$ 

- 3. Take the Singular Value Decomposition of B  $X = VA^{\frac{1}{2}}$
- 4. Compute the coordinate matrix X (2D coordinates will be in the first 2 columns)

## Example: Localization Using Multidimensional Scaling (MDS) (Yi Shang et. al)

The basic MDS-MAP algorithm:

- 1. Compute shortest paths between all pairs of nodes.
- 2. Apply classical MDS and use its result to construct a relative map.



3. Given sufficient anchor nodes, transform the relative map to an absolute map.

#### **MDS-MAPALGORITHM**

- Compute all-pair shortest paths. O(n<sup>3</sup>)
   Assigning values to the edges in the connectivity graph:
   Known connectivity only: all edges have value 1 (or R/2)
   Known neighbor distances: the edges have the distance values
- 2. Apply classical MDS and use its result to construct a 2-D (or 3-D) relative map.  $O(n^3)$
- 3. Given sufficient anchor nodes, convert the relative map to an absolute map via a linear transformation.  $O(n+m^3)$
- Compute the LSE transformation based on the positions of anchors.  $O(m^3)$ , *m* is the number of anchors
- Apply the transformation to the other unknown nodes. O(n)

#### **MDS-MAP (P)** – The Distributed Version

- 1. Set-up the range for local maps  $R_{lm}$  (# of hops to consider in a map)
- 2. Compute maps of individual nodes
  - 1. Compute shortest paths between all pairs of nodes
  - 2. Apply MDS
  - 3. Least-squares refinement
- 3. Patch the maps together
  - Randomly pick a node and build a local map, then merge the neighbors and continue until the whole network is completed
- 4. If sufficient anchor nodes are present, transform the relative map to an absolute map

MDS-MAP(P,R) – Same as MDS-MAP(P) followed by a refinement phase

#### MDS-MAP(P) (Shang and Ruml, Infocom'04)

The basic MDS-MAP works well on regularly shaped networks, but not on irregularly shaped networks.

MDS-MAP(P) (or *MDS-MAP* based on patches of local maps)

- 1. For each node, compute a local relative map using MDS
- 2. Merge/align local maps to form a big relative map
- 3. Refine the relative map based on the relative positions (optional). (When used, referred to as MDS-MAP(P,R))
- 4. Given sufficient anchors, compute absolute positions
- 5. Refine the positions of individual nodes based on the absolution positions (optional)

#### SOME IMPLEMENTATION DETAILS OF MDS-MAP(P)

- 1. For each node, compute a local relative map using MDS
  - Size of local maps: fixed or adaptive
- 2. Merge/align local maps to form a big relative map
  - Sequential or distributed; scaling or not
- 3. Refine the relative map based on the relative positions
  - Least squares minimization: what information to use
- 4. Given sufficient anchors, compute absolute positions
  - Anchor selection; centralized or distributed
- 5. Refine the positions of individual nodes based on the absolution positions
  - Minimizing squared errors or absolute errors
#### AN EXAMPLE OF C-SHAPE GRID NETWORKS

Connectivity information only



Known 1-hop distances with 5% range error



MDS-MAP(P) without both optional refinement steps.

Connectivity information only

Known 1-hop distances with 5% range error



200 nodes; 4 random anchors

Connectivity information only

Known 1-hop distances with 5% range error



160 nodes; 4 random anchors

#### Where is the challenge?

- The results are numerically correct based on the used measurement model
- Two main assumptions
  - Hops are proportional to distance
  - Error represented as a function of distance
- Is that the case in practice?

# Back to the Physical Layer: RSSI In Node Localization

Ultrasound ToA





Max range 3m, accuracy 2cm

Max range 20m, accuracy 7m Power levels: 7,10

#### Conclusions

- Determining location or position is a vitally important function in WSN, but fraught with many errors and shortcomings
  - Range estimates often not sufficiently accurate
  - Many anchors are needed for acceptable results
  - Anchors might need external position sources (GPS)
  - Multilateration problematic (convergence, accuracy)

# WSN SYNCHRONIZATION

#### **Time Synchronization**

- Time sync is critical at *many* layers in sensor nets
  - Coordination of wake-up and sleep times
  - TDMA schedules
  - Ordering of sensed events in habitat environments
  - Estimation of position information
  - ...
- Scope of a Clock Synchronization Algorithm
  - Packet delay/latency
  - Offset between clocks
  - Drift between clocks







Ref: based on slides by J. Elson

#### **Conventional Approaches**

- GPS at every node
  - E.g. some GPSs provide 1 pps @ O(10ns) accuracy
  - But
    - doesn't work everywhere
    - cost, size, and energy issues
- NTP
  - some well known "primary time servers" are synchronized via GPS, atomic clock etc.
  - pre-defined server hierarchy (stratums)
  - nodes synchronize with one of a pre-specified list of time servers
  - Problems:
    - potentially long and varying paths to time-servers due to multi-hopping and short-lived links
    - delay and jitter due to MAC and store-and-forward relaying
    - discovery of time servers
  - Perfectly acceptable for most cases
    - E.g. Internet (coarse grain synchronization)
    - Inefficient when fine-grain sync is required
      - e.g. sensor net applications: localization, beamforming, TDMA etc

#### **Network Time Protocol**

#### Tiered architecture



NTP [mills 1995] defines an architecture for a time service and a protocol to distribute time information over the Internet

Broadcast mode: least accurate Procedure call: medium accuracy Peer-to-peer mode: higher level servers use this for max accuracy

#### P2P mode of NTP





#### Limitations of What Exists

• Existing work is a critical building block

#### BUT...

- Energy
  - e.g., we can't always be listening or using CPU!
- Wide range of requirements within a single app; no method optimal on all axes
- Cost and form factor: can disposable motes have GPS receivers, expensive oscillators? Completely changes the economics...
- Needs to be fully decentralized, infrastructure-free
- Need microsecond synchronization in certain WSN applications

# Sources of time synchronization error Common denominator: non-determinism

- Send time •
  - Kernel processing
  - Context switches
  - Transfer from host to NIC
- Access time •
  - Specific to MAC protocol
    - E.g. in Ethernet, sender must wait for clear channel

Propagation time 

- Dominant factor in WANs
  - Router-induced delays
- Very small in LANs
- Asymmetric packet delays
- Receive time



#### **Overview**

- Protocols based on receiver/receiver synchronization
- Protocols based on sender/receiver synchronization
- Summary

### New Sync Method: Reference Broadcast

- *Reference-broadcast synchronization*: Very high precision sync with slow radios
  - Beacons are transmitted, using physical-layer broadcast, to a set of receivers
  - Time sync is based on the *difference* between reception times; don't sync sender w/ receiver!
- *Post-facto synchronization*: Don't waste energy on sync when it is not needed
  - Timestamp events using free-running clocks
  - After the fact, reconcile clocks
- *Peer-to-peer sync*: no master clock
- *Tiered Architectures*: Range of node capabilities

#### **Traditional Sync**

Problem: Many sources of unknown, nondeterministic latency between timestamp and its reception



Ref: based on slides by J. Elson

#### **Reference Broadcast Sync**

#### Sync 2 receivers with each other, NOT sender with receiver



Ref: based on slides by J. Elson

# RBS reduces error by removing much of it from the critical path





Traditional critical path: From the time the sender reads its clock, to when the receiver reads its clock

RBS: Only sensitive to the differences in receive time and propagation delay

Ref: based on slides by J. Elson

#### **Observations about RBS**

- RBS removes *send* and *access time* errors
- Broadcast is used as a *relative* time reference
- Each receiver synchronizing to a *reference* packet
  - Ref. packet was injected into the channel at the same instant *for all receivers*
- Message doesn't contain timestamp
  - Almost any broadcast packet can be used, e.g ARP, RTS/CTS, route discovery packets, etc

#### **Time Routing**

The physical topology can be easily converted to a logical topology; links represent possible clock conversions



Use shortest path search to find a "time route"; Edges can be weighted by error estimates

#### External Standards (UTC)

The multihop algorithm can also be easily used to sync an RBS domain to an external standard such as UTC



GPS's PPS generates a series of "fake broadcasts": "received" by node 11's local clock and UTC

#### Overview

- Protocols based on receiver/receiver synchronization
- Protocols based on sender/receiver synchronization
- Summary

## Time-sync Protocol for Sensor Networks (TSPN)

- Traditional sender-receiver synchronization (RTT-based)
- Initialization phase: Breadth-first-search flooding
  - Root node at level 0 sends out a *level discovery* packet
  - Receiving nodes which have not yet an assigned level set their level to +1 and start a random timer
  - After the timer is expired, a new level discovery packet will be sent
- Synchronization phase
  - Root node issues a *time sync* packet which triggers a random timer at all level 1 nodes
  - After the timer is expired, the node asks its parent for synchronization using a *synchronization pulse*
  - The parent node answers with an *acknowledgement*
  - Thus, the requesting node knows the round trip time and can calculate its clock offset
  - Child nodes receiving a synchronization pulse also start a random timer themselves to trigger their own synchronization

### Time-sync Protocol for Sensor Networks (TSPN)





- Time stamping packets at the MAC layer
- In contrast to RBS, the signal propagation time might be negligible
- About "two times" better than RBS
- Again, clock drifts are taken into account using periodical synchronization messages

#### **TPSN Error Analysis**



Here  $S^{UC}$ ,  $R^{UC}$  and  $P^{UC}$  stand for the uncertainty at sender, at receiver and in propagation time respectively. They are given by the following equations:

$$S^{UC} = S_A - S_B \dots (7) \qquad Error = \Delta - D_{t4}^{A \to B} = \frac{S^{UC}}{2} + \frac{P^{UC}}{2} + \frac{RD_{t1 \to t4}^{A \to B}}{2} \dots (10)$$
  

$$R^{UC} = R_B - R_A \dots (8)$$
  

$$P^{UC} = P_{A \to B} - P_{B \to A} \dots (9)$$

#### **RBS Error Analysis**



Here  $P_D^{UC}$  represents the uncertainty in propagation time between two distinct node pairs and is given by:

$$P_D^{UC} = P_{C \to B} - P_{C \to A} \dots (16)$$

#### Flooding Time Sync Protocol (FTSP)

- Implemented on Mica platform
- ~1 Microsec accuracy
- MAC-layer timestamp
- Skew compensation with linear regression (accounts for drift)
- Periodic flooding robust to failures and topology changes
- Handles large scale networks

#### **Mica2 experiment parameters**

Time	Magnitude	Distribution
Send and Receive	0 – 100 ms	nondeterministic, depends on the processor load
Access	10 – 500 ms	nondeterministic, depends on the channel contention
Transmission / Reception	10 – 20 ms	deterministic, depends on message length
Propagation	< 1µs for distances up to 300 meters	deterministic, depends on the distance between sender and receiver
Interrupt Handling	< 5µs in most cases, but can be as high as 30µs	nondeterministic, depends on interrupts being disabled
Encoding plus Decoding	100 – 200μs, < 2μs variance	deterministic, depends on radio chipset and settings
Byte Alignment	0 – 400µs	deterministic, can be calculated

Table 1. The sources of delays in message transmissions

#### **Remove Uncertainties**

- Eliminate Send Uncertainty
  - Get time in the MAC layer
- Eliminate Access Time
  - Get time after the message has access to the channel
- Eliminate Receive Time
  - Record local time message received at the MAC layer

#### **Time Stamping**

Reduce the jitter of the interrupt handling and encoding/ decoding times by recording multiple time stamps both on the sender and receiver sides When to time stamp the message

 Radio layer, after the second SYN sent out, 6 timestamps in row, take the average and send only 1 timestamp



#### Flooding Time Sync Protocol (FTSP)

- Root maintains global time for system
- All others sync to the root
- Nodes form an ad hoc structure rather than a spanning tree
- Root broadcasts a timestamp for the transmission time of a certain byte
- Every receiver time stamps reception of that byte
- Account for deterministic times
- Differences are the clock offsets

#### Flooding Time Sync Protocol (FTSP)

- (Below) MAC-layer timestamp
- Correct sender timestamp to account delays
  - Compute final offset error
- Result: 1.48 µsec accuracy for 1 hop
- Available in TinyOS

#### **Telos Platform**

#### Telos wireless platform (revision A)

• Texas Instruments 16-bit MSP430F149 microcontroller (2KB RAM, 60KB ROM)



- Chipcon 2420, 250kbps, 2.4GHz, IEEE 802.15.4 compliant wireless transceiver with programmable output power
- Integrated onboard antenna with 50m range indoors and 125m range outdoors
- Integrated humidity, temperature, and light sensors

#### Transmit Mode





#### **Receive Mode**



#### **Mechanism for Time Synchronization**


# Inserting the Timestamp

- Network coordinator
  - Starts the transmission (time sync header)
  - Captures timer and converts to a global timestamp
  - Inserts it into the message (sends over SPI)
- Is this enough time not to underrun the TxFIFO in CC2420?
  - Time capture and calculate timestamp:  $\approx$  150  $\mu s$
  - Send timestamp:  $\approx$  300  $\mu$ s
  - Sync message transmission:  $\approx 700 \ \mu s$



# **TinyOS Extensions**

- nesC interface
  - Get current global time
  - Calculate how long until the next sync message
    - Useful to put to motes to sleep mode
  - Convert a local time to the global time
- Timestamps are based on 32768 Hz crystal
  - Stable, but slow (limit the resolution)
- MSP430 can run up to 8MHz
  - Internal DCO (Digitally Controlled Oscillator)
  - Poor stability

# **Testing Environment**

- Master node + slave nodes connected to a common signal
- Synchronize the network
- Nodes report the global timestamp every time the common signal changes its state
- Compare the global time, reported from the master, versus global times reported from slaves



### Results

Scenario	A	В	С	D
Sync message frequency (sec)	2	10	30	30
Total duration (min)	2	2	2	120
Average error (ticks)	0.49	0.61	0.81	0.67
Std. Deviations(ticks)	0.56	0.53	0.48	0.49

#### **Overview**

- Protocols based on receiver/receiver synchronization
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## Summary

- Time synchronization is important for both WSN applications and protocols
- Using hardware like GPS receivers is typically not an option, so extra protocols are needed
- Post-facto synchronization allows for time-synchronization on demand, otherwise clock drifts would require frequent re-synchronization and thus a constant energy drain
- Some of the presented protocols take significant advantage of WSN peculiarities like:
  - small propagation delays
  - the ability to influence the node firmware to timestamp outgoing packets late, incoming packets early
- Of course, there are many, many more schemes ....